



# COMMUNITY RESILIENCE IN ALASKAN COMMUNITIES

## *CATALOG OF FEDERAL PROGRAMS*

PRODUCT OF THE  
DENALI COMMISSION

July 2018



**Denali Commission**  
510 L Street, Suite 410  
Anchorage, AK 99501

907.271.1414 (P)  
907.271.1415 (F)  
888.480.4321(TF)  
[www.denali.gov](http://www.denali.gov)

July 27, 2018

In February 2015, the newly established Arctic Executive Steering Committee (AESC), in one of its first actions, formed what is now known as the Community Resilience Working Group (CRWG). The CRWG, including representatives from the Executive Office of the President and Federal agencies (both headquarters and Alaska regional offices), was tasked with identifying potential Federal actions to address imminent threats to Alaskan communities posed by coastal erosion, flooding and permafrost degradation. One recommendation from the CRWG was to catalog Federal programs that could be utilized by Alaskan communities to implement solutions to specific threats and address other resiliency challenges. The “Catalog of Federal Resilience Programs for Alaskan Communities” (Catalog) was published in September of 2015 in response to this recommendation.

In the fall of 2017, the Denali Commission, an independent federal agency tasked with infrastructure development for rural communities in Alaska, assumed responsibility for updating the Catalog. This revision updates existing program descriptions with current information and adds new or overlooked programs. In addition, this version adds a subject index to help users more easily identify Federal programs related to their specific area of interest.

We hope this resource will be of use to Alaska communities who are currently seeking Federal partners and funding support needed to effectively respond to the challenges associated with erosion, flooding, and permafrost degradation.

A blue ink signature of John Torgerson, written in a cursive style.

John Torgerson  
Interim Federal Co-Chair  
Denali Commission

# **FORWARD**

## **PURPOSE**

The Denali Commission in partnership with Alaska’s Department of Commerce, Community, and Economic Development developed the Catalog of Federal Programs for Alaskan Communities as a resource for rural Alaskan communities that require funding for resiliency efforts. Although a variety of Federal programs are available to provide assistance, lack of information about the full range of resources available can present a barrier to communities securing assistance. This Catalog is intended to help Alaskan communities identify Federal resources that can be used to support local efforts to gather and evaluate information about the risks posed by coastal erosion and other hazards; build capacity to mitigate those risks; advance onsite protection measures; and, if necessary, relocate community assets. Communities should consider and prepare for the risks posed to their infrastructure and safety by environmental changes, such as more frequent and intense storms, erosion, greater flooding, sea level rise, and melting permafrost. Developing resilient systems and infrastructure fosters a sustainable community, environment and economy. This catalog is a compilation of existing Federal funding programs that may be of help with respect to the risks referenced above. The types of programs are varied and include discretionary grants, formula grants, and loans. Discretionary programs are typically competitive. Formula programs base funding allocations on program specific factors. Other programs include loans and loan guarantees.

## **ABOUT THE DENALI COMMISSION**

The Denali Commission is an independent federal agency created to provide critical utilities, infrastructure, and economic support throughout rural Alaska. With the creation of the Denali Commission, Congress acknowledged the need for increased inter-agency cooperation and focus on Alaska's remote communities. The Denali Commission has been designated as the lead federal agency for coordinating federal efforts to mitigate the impacts of erosion, flooding and permafrost degradation in rural Alaska.

## **FEDERAL FUNDING CATALOG DISCLAIMER**

The Catalog is provided as a resource. No particular program is endorsed nor are the programs herein all-inclusive. It includes programs whose application deadlines may have passed. Current funding does not guarantee future funding.

Required materials for grant applications must be provided to the targeted funding program or through Grants.gov as directed. We recommend that you review [www.Grants.gov](http://www.Grants.gov) for other applicable programs and various submission requirements. To avoid interpretation, the information for each program in this handbook was taken from the program’s instruction for application (e.g., Grants.gov) or from the program’s website. Contact the funding program manager to determine if a program meets your project’s needs. Finally, it is important to review the Uniform Administrative Requirements, 2 CFR 200, to understand the requirements for receiving and managing Federal awards.

## Table of Contents

|                                                                                                          |           |
|----------------------------------------------------------------------------------------------------------|-----------|
| <b>U.S. Department of Agriculture (USDA)</b> .....                                                       | <b>1</b>  |
| Business and Industry Loan Guarantee .....                                                               | 1         |
| Community Facilities Loans and Grants .....                                                              | 4         |
| Electric Infrastructure Loan & Loan Guarantee Program .....                                              | 7         |
| Rural Business Development Grant .....                                                                   | 9         |
| Rural Energy for America Loans and Grants .....                                                          | 11        |
| Sewer, Water, Solid Waste Loans and Grants .....                                                         | 13        |
| Single Family Direct Loans.....                                                                          | 15        |
| Single Family Guaranteed Loans .....                                                                     | 17        |
| Single Family Repair Loans and Grants .....                                                              | 19        |
| Telecommunications Infrastructure Loans & Loan Guarantees .....                                          | 21        |
| <b>U.S. Army Corps of Engineers (USACE)</b> .....                                                        | <b>23</b> |
| Continuing Authorities Program (CAP) – Section 205 Flood Risk Management Projects .....                  | 23        |
| Continuing Authorities Program (CAP) – Section 14 Emergency Streambank and Shoreline Protection .....    | 25        |
| Continuing Authorities Program (CAP) – Section 22 Planning Assistance to States and Tribes Program ..... | 27        |
| Continuing Authorities Program (CAP) – Section 107 Small Navigation Projects.....                        | 29        |
| <b>U.S. Department of Commerce (DOC)</b> .....                                                           | <b>31</b> |
| Public Works & Economic Adjustment Assistance (EAA) Programs.....                                        | 31        |
| EDA Planning Program and Local Technical Assistance Program .....                                        | 34        |
| Coastal Resilience Grants NOAA-NOS-NRPO-2017-2005159 .....                                               | 38        |
| <b>Department of Energy (DOE)</b> .....                                                                  | <b>39</b> |
| The START Program seeks to spur clean energy project development by providing.....                       | 39        |
| <b>Environmental Protection Agency (EPA)</b> .....                                                       | <b>42</b> |
| Alaska Native Village Grant .....                                                                        | 42        |
| Clean Water Act Indian Set-Aside Program .....                                                           | 45        |
| Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program .....                  | 49        |
| Environmental Justice Small Grants .....                                                                 | 52        |
| Indian General Environmental Assistance Program (IGAP) .....                                             | 55        |
| Office of Water Climate Ready Water Utilities Program (CRWU) – TA ONLY.....                              | 59        |

|                                                                                                                                                                                                                                                                                               |           |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| <b>Department of Health and Human Services (HHS).....</b>                                                                                                                                                                                                                                     | <b>60</b> |
| Administration for Children and Families (ACF) / Administration for Native Americans (ANA) Social and Economic Development Strategies for Alaska.....                                                                                                                                         | 60        |
| Administration for Children and Families (ACF) / Administration for Native Americans (ANA) Environmental Regulatory Enhancement .....                                                                                                                                                         | 62        |
| Agency for Toxic Substances and Disease Registry (ATSDR), Alaska Office.....                                                                                                                                                                                                                  | 64        |
| Center for Disease Control (CDC) / National Center for Infectious Diseases (NCID) / Arctic Investigations Program (AIP) Centers for Disease Control and Prevention (CDC) / National Center for Emerging and Zoonotic Infectious Diseases (NCEZID) / Arctic Investigations Program (AIP) ..... | 65        |
| CDC – National Institute for Occupational Safety and Health (NIOSH) American Indian/Alaska Native Program Initiative.....                                                                                                                                                                     | 66        |
| CDC –NIOSH Climate Change Initiative – TECHNICAL ASSISTANCE ONLY .....                                                                                                                                                                                                                        | 67        |
| National Institute of Health (NIH) / National Institute of Environmental Health Sciences (NIEHS) Alaska Community Action on Toxics - Protecting the Health of Future Generations: Assessing and Preventing Exposures .....                                                                    | 68        |
| NIH / NIEHS Research to Action .....                                                                                                                                                                                                                                                          | 69        |
| NIH-EPA Center of Excellence on Environmental Health Disparities Research .....                                                                                                                                                                                                               | 71        |
| <b>Department of Homeland Security (DHS) – Federal Emergency Management Agency (FEMA) .....</b>                                                                                                                                                                                               | <b>73</b> |
| FEMA’s Public Assistance Program.....                                                                                                                                                                                                                                                         | 73        |
| Hazard Mitigation Grant Program (HMGP) .....                                                                                                                                                                                                                                                  | 76        |
| Flood Mitigation Assistance (FMA) .....                                                                                                                                                                                                                                                       | 78        |
| Pre-Disaster Mitigation Grant Program (PDM) .....                                                                                                                                                                                                                                             | 80        |
| Risk Mapping, Assessment, and Planning (Risk MAP).....                                                                                                                                                                                                                                        | 83        |
| <b>U.S. Department of Housing and Urban Development (HUD).....</b>                                                                                                                                                                                                                            | <b>85</b> |
| Community Development Block Grant.....                                                                                                                                                                                                                                                        | 85        |
| Indian Community Development Block Grant .....                                                                                                                                                                                                                                                | 87        |
| Imminent Threat Funding Opportunity .....                                                                                                                                                                                                                                                     | 90        |
| Mortgage Insurance for Disaster Victims – 203(h).....                                                                                                                                                                                                                                         | 91        |
| Indian Housing Block Grant Program- Native American Housing and Self-Determination Act (NAHASDA).....                                                                                                                                                                                         | 92        |
| Section 184 Loan Guarantee Program .....                                                                                                                                                                                                                                                      | 94        |
| Title VI Loan Guarantee Program.....                                                                                                                                                                                                                                                          | 96        |
| HOME Investment Partnerships Program (HOME) .....                                                                                                                                                                                                                                             | 98        |

|                                                                                                                                                                           |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| <b>DOI – Department of Interior .....</b>                                                                                                                                 | <b>100</b> |
| The Alaska Climate Science Center- TECHNICAL ASSISTANCE ONLY .....                                                                                                        | 100        |
| Alaska National Interest Lands Conservation Act (ANILCA) Sec. 1318 Historic Assistance:<br>TECHNICAL ASSISTANCE ONLY .....                                                | 101        |
| Landscape Conservation Cooperatives .....                                                                                                                                 | 102        |
| North Slope Science Initiative.....                                                                                                                                       | 103        |
| Subsistence – ANILCA Title VIII .....                                                                                                                                     | 104        |
| Tribal Climate Resilience.....                                                                                                                                            | 105        |
| Indian Energy Resource Development Program .....                                                                                                                          | 108        |
| Tribal Transportation Program.....                                                                                                                                        | 110        |
| <b>DOT – Department of Transportation.....</b>                                                                                                                            | <b>113</b> |
| The Better Utilizing Investments to Leverage Development (BUILD) Transportation Grants<br>(Formerly Transportation Investments Generating Economic Recovery (TIGER))..... | 113        |
| Federal Aviation Administration-Airport Improvement Program .....                                                                                                         | 115        |
| Tribal Transportation Program (TTP) .....                                                                                                                                 | 117        |
| Public Transportation on Indian Reservations Program Tribal Transit Program (TTP).....                                                                                    | 119        |
| Federal Transit Administration (FTA).....                                                                                                                                 | 120        |
| Hazardous Materials Emergency Preparedness (HMEP) Grant .....                                                                                                             | 122        |
| <b>INDEX- Search Funding by Subject Matter</b>                                                                                                                            |            |

# INDEX

## B

### Broadband

- broadband access, 89, 93, 97
- broadband construction, maintenance, improvement, 21
- broadband installation service, 17
- distance learning equipment, 4
- information technology infrastructure, 31, 35
- telemedicine, 4
- telephone service, 21

## C

### Community Capacity and Governance

- capacity building, 107
- capacity to promote environmental health, 73
- community governance, 60
- costs of a qualified nonprofit entity to provide assistance, 47
- developing laws, regulations, and ordinances to protect the environment, 62
- energy capacity, 109
- environmental health literacy, 73
- environmental quality regulation, 62
- Leadership and entrepreneur training, 10
- social service needs, 60
- tribal capacity for developing and administering environmental protection programs, 56

### Community Development and Relocation

- community relocation, 27
- infrastructure projects, 89

### Community Facilities

- build, design or engineer critical infrastructure and facilities, 31
- community kitchens, 4
- construction of community facilities, 97
- health care facilities, 4
- health clinics, 86
- libraries, 4, 76
- museums, 4, 76

## D

### Disaster Mitigation and Response

- critical mitigation measures, 78
- disaster mitigation and recovery, 35
- natural disaster mitigation and recovery, 31
- pre-disaster natural hazard mitigation, 82
- recovery from major disasters, 75

## E

### Economic Development, 60

- development or expansion of small and emerging private businesses, 9
- economic development investments, 2, 9, 10, 31, 34, 35, 88, 113
- economic development projects, 88
- Long-term business strategic planning, 10
- tourist and recreational facilities, 2

### Energy

- electric distribution facilities, 7
- electric infrastructure, 7
- energy efficiency, 7, 11, 12, 17, 39, 40

energy projects not eligible for USDA Rural Energy for America Program, 2  
generation facilities, 7  
Geothermal for electric generation, 11  
hydropower, 11, 27  
purchase or lease of equipment for the development of energy resources, 109  
renewable energy systems, 7, 11, 12  
replacement of energy- inefficient equipment, 11  
strategic energy planning, 39

#### Erosion Control

emergency streambank and shoreline protection projects, 25  
stream bank erosion protection, 27  
tools to assess coastal hazards, 103

## F

#### Flood Protection

elevation, relocation and acquisition of flood prone structures (NFIP only), 80  
flood risk management projects, 23

## H

#### Harbors and Ports, 27

port infrastructure investments, 114  
study, plan, and construct small navigation projects, 29

#### Housing

additional funds for housing activities, 97  
build, rehabilitate, improve or relocate a dwelling, 17  
building, buying, and/or rehabilitating affordable housing, 99  
essential household equipment, 17  
home repairs, 19  
housing development, 93, 99  
new housing construction, 88  
payment assistance, 15, 16  
pro rata installment cost for utilities, 17  
purchase, construct, refinance, and/or rehabilitate single-family homes, 95

HVAC, 11

heating and cooling equipment, 17

## P

#### Planning

architectural & engineering plans, 97  
climate adaptation, 106, 107  
climate change adaptation plan, 55  
climate change vulnerability/risk assessment, 55  
collection and dissemination of ecosystem information, 104  
develop, improve, and implement emergency plans, 123  
ecosystems adaptation, 101  
extreme weather events and climate-related hazards, 38  
feasibility studies and energy resource assessments, 109  
ocean and coastal management planning, 106  
general planning activities, 87  
preservation of cultural resources, 102  
quality data and risk assessment tools, 85  
subsistence mapping in coastal communities, 105  
transportation planning and design, 45, 111, 112, 118  
tribal transit planning studies, 120, 121

#### Public safety services, 4

fatality investigations, 68  
hazmat emergency response training, 123  
health hazard evaluations, 68  
infectious disease surveillance, 66  
investigation of environmental / occupational exposures, 71  
occupational safety and health (OSH) support, 68  
public services, 89  
research project on highly contaminated animals, 70  
worker safety and health issues, 69

## S

#### Safety and Security

crime prevention, 93



emergency management powers, 55  
fire protection facilities, 87  
health information to prevent harmful exposures and diseases related to toxic  
substances, 64  
public health projects, 49, 50, 52, 53

#### Site Selection and Preparation

easements, 1  
easements for businesses, 9  
land acquisition, 97  
purchase and development of land for commercial or industrial purposes, 1  
Site preparation costs, 17

## T

#### Transportation

Airfield capital improvements/rehabilitation, 116  
airport access road, 113, 118  
airport hangars, 4  
airport infrastructure protection, 116  
construction and/or reconstruction of roads, bridges, docks, trails, 111  
hazmat planning and training, 123  
Rural transportation improvement, 9  
terminals, hangars, and non-aviation development, 116  
transportation improvements, 87  
transportation planning, surveying, and design, 116  
tribal transportation facilities, 111

## W

#### Water and Sanitation, 55

construction of new or improved drinking water and wastewater systems, 42  
Dam Safety/Failure, 27  
development of planning and design documentation, 45  
drinking water, 13, 42, 43, 47  
drinking water systems, 13  
equipment necessary for the operation of the overall wastewater treatment facility, 46  
preparation of a preliminary engineering report, 45  
replacement parts, 46  
routine sampling and monitoring, 46  
sewage, 13, 15  
sewage lagoons, 43  
solid waste disposal, 13  
storm water drainage, 13  
training of operators on new equipment, 46  
treatment and disposal, 13  
washers, dryers, 17  
wastewater infrastructure, 42, 43, 45, 47, 48  
wastewater sanitation facilities, 45  
water and sanitation research, 66  
water and sewer service lines and mains, 43  
water and sewer systems and waste disposal facilities, 86  
water quality, 27  
water related adaptation technical assistance, 59  
water storage tanks, 43  
water treatment plants, 43  
wetlands evaluation, 27

## U.S. Department of Agriculture (USDA)

| Business and Industry Loan Guarantee                                              |                                              |                                                                                                                                                                                           |                                                                                                                                                                           |
|-----------------------------------------------------------------------------------|----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                   | Phone/Fax                                    | Email Address                                                                                                                                                                             | Website                                                                                                                                                                   |
| USDA/RD Business Programs<br>800 W. Evergreen Ave,<br>Ste 201<br>Palmer, AK 99645 | Phone: (907) 761-7712<br>Fax: (907) 761-7793 | Program Director:<br>Renee Johnson<br>Renee.johnson@ak.usda.gov<br><br>Program Specialist<br>Terri Kingsley<br><a href="mailto:Terri.kingsley@ak.usda.gov">Terri.kingsley@ak.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/business-industry-loan-guarantees/ak">http://www.rd.usda.gov/programs-services/business-industry-loan-guarantees/ak</a> |

**Purpose:** The primary purpose of the Business & Industry Loan Guarantee Program is to create and maintain employment and improve the economic and environmental climate in rural communities. This is achieved by expanding the existing credit structure capability to make and service quality loans to provide lasting community benefits.

**Program Activities:** Eligible activities include but are not limited to: (1) business conversion, enlargement, repair, modernization, or development; (2) purchase and development of land, easements, rights-of-way, buildings, or facilities; (3) purchase of equipment, leasehold improvements, machinery, supplies, or inventory; (4) debt refinancing when new jobs will be created and other conditions are met; 5) business and industrial acquisitions when the loan will keep the business from closing and/or save or create jobs.

### Eligible loan purposes:

- Purchase and development of land, buildings, and associated infrastructure for commercial or industrial properties, including expansion or modernization
- Business acquisitions provided jobs will be created or saved
- Leasehold improvements under certain conditions
- Construction or equipping facilities for lease to private businesses engaged in commercial or industrial operations. Mixed-use properties eligible with some restrictions.
- Purchase of machinery and equipment
- Startup costs, working capital, inventory, and supplies in the form of a permanent working capital term loan
- Debt refinancing when determined project is viable and refinancing is necessary to improve cash flow and create or save existing jobs. Existing lender debt to be refinanced may not exceed 50% of overall new loan amount

- Take out of interim financing with USDA prior approval
- Tourist and recreational facilities, including hotels and motels
- Energy projects not eligible for USDA Rural Energy for America Program
- Pollution control and abatement
- Projects that produce, distribute, aggregate, store, and/or market locally or regionally produced agricultural food products
- Employee Stock Ownership Plans (ESOPS)

Eligible Area: The entire State of Alaska is eligible *except for* the Municipality of Anchorage.

Ineligible Borrowers: Corporations and businesses not at least 51% owned and controlled by U.S. citizens; and charitable institutions, churches, organizations affiliated with or sponsored by a church, or fraternal organizations.

Eligible Borrowers: Any legal entity, including individuals, cooperatives, public and private organizations and federally recognized Indian Tribal groups may borrow. There is no size restriction on the business. Local economic development organizations and investors may be considered. Non-profit organizations are eligible.

Ineligible loan purposes:

- Distribution or payment to individual or entity that will retain an ownership interest in the Borrower
- Residential housing, residential trailer parks, and housing development sites
- Owner occupied housing, such as B&B's, lodges, hotels, are only allowed when pro-rata value of owner's living quarters, based on square footage, are deducted from use of loan proceeds.
- Businesses that derive more than 10% of annual gross revenues from gambling
- Charitable or fraternal organizations. Businesses that derive more than 10% of annual gross revenues from tax deductible charitable donations
- Lending institutions, investment institutions, or insurance companies
- Guarantee of lease payments or any lines of credit
- Other: Illegal business activities, racetracks, golf courses, and cemeteries

Special Application Procedures/Requirements: Applications are accepted from lenders through local offices year round. Interested borrowers should inquire about the program with their lender. Lenders interested in participating in this program should contact the USDA Rural Development Business Programs Director in the state where the project is located.

Estimated Application Cycle: Varies based on complete application and documents. Approval usually within 60 days.

Maximum loan amounts:

Business and Industry loans are limited to a maximum of \$25 million per borrower.

Loan Guarantee Limits: *(maximum percentage applies to the entire loan)*

- 80% up to \$5 million
- 70% between \$5 - \$10 million
- 60% over \$10 million with RBS Administrator exception
- 90% *on loans to businesses located in high cost, isolated, off the road system area in Alaska*

USDA - Rural Development determines the percentage of guarantee based on:

- Borrower management
- Collateral
- Financial condition
- Lender's exposure
- Trends and conditions

Loan-to-Appraised Market Value Ratios:

The maximum loan-to-appraised market value ratios should generally match other loans in the lender's portfolio and are typically:

- 70-80% Real estate
- 50-60% Accounts receivable
- 50-60% Inventory
- 50-70% Machinery/ Equipment (project specific)

## U.S. Department of Agriculture (USDA)

| Community Facilities Loans and Grants                                                                 |                                              |                                                                                                                      |                                                                                                                                                                                                     |
|-------------------------------------------------------------------------------------------------------|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                       | Phone/Fax                                    | Email Address                                                                                                        | Website                                                                                                                                                                                             |
| USDA Rural Development<br>Alaska State Office<br>800 W. Evergreen Ave. Ste<br>201<br>Palmer, AK 99645 | Phone: (907) 761-7705<br>Fax: (907) 761-7793 | Program Director:<br>Tasha Deardorff<br><a href="mailto:tasha.deardorff@ak.usda.gov">tasha.deardorff@ak.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/community-facilities-direct-loan-grant-program/ak">http://www.rd.usda.gov/programs-services/community-facilities-direct-loan-grant-program/ak</a> |

Purpose: This program provides affordable funding to develop essential community facilities in rural areas. An essential community facility is defined as a facility that provides an essential service to the local community for the orderly development of the community in a primarily rural area and does not include private, commercial or business undertakings. Examples of essential community facilities include:

- Health care facilities such as hospitals, medical clinics dental clinics, nursing homes or assisted living facilities;
- Public facilities such as town halls, courthouses, airport hangars or street improvements;
- Community support services such as child care centers, community centers, fairgrounds or transitional housing;
- Public safety services such as fire departments, police stations, prisons, police vehicles, fire trucks, public works vehicles or equipment;
- Educational services such as museums, libraries or private schools;
- Utility services such as telemedicine or distance learning equipment;
- Local food systems such as community gardens, food pantries, community kitchens, food banks, food hubs or greenhouses.

Program Activities: Funds can be used to purchase, construct, and / or improve essential community facilities, purchase equipment and pay related project expenses.

Administration: RHA authorizes, monitors, and provides funding for administration of CF loans and grants. The USDA Rural Development State, local, and district and area offices monitor and evaluate the progress of the CF programs.

Eligible Applicants: Public bodies, Non-profits, Federally Recognized Tribes.

Eligibility for CF direct and guaranteed loan and grant assistance is based on:

- The type of organization applying for the loan and/or grant (public body, community based non-profit corporations, or federally recognized American Indian or Alaska Native (AI/AN) tribe;
- Whether the applicant can demonstrate that it is unable to finance the proposed project from its own resources or from commercial credit at reasonable rates and terms
- Whether the applicant has authority to develop, own, and operate the facility; and
- Whether the applicant can legally borrow money and make payments on debts obligated. In the case of CF grants, there are additional requirements based on the median household income of the community.

#### Assessing the Need

Applicants must have the legal authority to borrow and repay loans, pledge security for loans, and construct, operate, and maintain the facility. The applicant must also be financially sound and able to organize and manage the facility effectively. Repayment of the loan must be based on tax assessments, revenues, fees, or other sources of funding sufficient for operation and maintenance of reserves and debt retirement. The amount of CF grant assistance must be the minimum amount sufficient for feasibility purposes, which will provide for facility operation and maintenance, reasonable reserves, and debt repayment.

Restrictions/Requirements: Applicants must have legal authority to borrow money, obtain security, repay loans, construct, operate, and maintain the proposed facilities:

- Applicants must be unable to finance the project from their own resources and/or through commercial credit at reasonable rates and terms
- Facilities must serve rural area where they are/will be located
- Project must demonstrate substantial community support
- Environmental review must be completed/acceptable

Special Application Procedures/Requirements: Funding is provided through a competitive process. Applicants may obtain funding as a direct loan or as a grant.

Direct Loan: Loan repayment terms may not be longer than the useful life of the facility, state statutes, the applicants authority, or a maximum of 40 years, whichever is less. Interest rates are set by Rural Development, contact us for details and current rates

Grant: Grant assistance is further based on median household income and population.

Estimated Application Cycle: Applications for this program are accepted year round.

Funding Priorities: Priority point system based on population and median household income:

- Rural communities with lower population and median household income will receive higher priority points;

- The State Director may award discretionary points for grant initiative priorities

Recent Funding Activities:

- Yukon Kuskokwim Health Corporation- a new clinic and primary care facility in Bethel, AK.
- City of Saxman- complete roof replacement of the City owned Saxman Tribal House.

Funding Range: Grants are limited to 75% of project cost but average about \$50,000 due to limitation of funding. No loan limit.

## U.S. Department of Agriculture (USDA)

| Electric Infrastructure Loan & Loan Guarantee Program |                       |                                                                                          |                                                                                                                                                                                                         |
|-------------------------------------------------------|-----------------------|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                       | Phone/Fax             | Email Address                                                                            | Website                                                                                                                                                                                                 |
| Unavailable                                           | Phone: (509) 309-6923 | Rodney Peach<br><a href="mailto:Rodney.peach@wdc.usda.gov">Rodney.peach@wdc.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/electric-infrastructure-loan-loan-guarantee-program">http://www.rd.usda.gov/programs-services/electric-infrastructure-loan-loan-guarantee-program</a> |

**Purpose:** Build and repair electric infrastructure. Insured loans primarily finance the construction of electric distribution facilities in rural areas. The guaranteed loan program has been expanded and is now available to finance generation, transmission, and distribution facilities. The loans and loan guarantees finance the construction of electric distribution, transmission, and generation facilities, including system improvements and replacement required to furnish and improve electric service in rural areas, as well as demand side management, energy conservation programs, and on-grid and off-grid renewable energy systems.

**Program Activities:** Funds may be used to finance electric infrastructure for: maintenance; upgrades; expansion; replacement of distribution, sub transmission and headquarters (service and warehouse) facilities; energy efficiency; and renewable energy systems.

**Eligible Applicants:** Electric co-ops and other utilities (primarily).

**Restrictions/Requirements:** Generally, repayment may not exceed the useful life of the facility being financed, with a maximum repayment schedule of 35 years:

- Power supply borrowers are also limited by the terms of their wholesale power contracts
- Loan Guarantees and Treasury Rate Loans: interest rates are fixed at the time of each advance based on rates established daily by the United States Treasury plus 1/8 of 1%
- Hardship Loans: interest rates are fixed at a rate of 5% for up to 35 years
- Borrowers must have legal authority to provide, construct, operate and maintain the proposed facilities or services



- All facilities receiving federal financing must be used for a public purpose

Special Application Procedures/Requirements: All applications must be prepared and submitted in accordance with this NOSA and 7 CFR 1720.6 (Application Process). To ensure the proper preparation of applications, applicants should carefully read this NOSA and 7 CFR part 1720 (available online at <http://www.ecfr.gov/cgi-bin/text-idx?SID=9295e45c9a0f6a857d800fbec5dde2fb&mc=true&node=pt7.11.1720&rgn=div5>).

Estimated Application Cycle: Completed applications must be received by RUS no later than 5:00 p.m. Eastern Daylight Time (EDT) on April 28.

Funding Limit: No loan limit.

## U.S. Department of Agriculture (USDA)

| Rural Business Development Grant                                                  |                                              |                                                                                                                                                                                                                               |                                                                                                                                                                           |
|-----------------------------------------------------------------------------------|----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                   | Phone/Fax                                    | Email Address                                                                                                                                                                                                                 | Website                                                                                                                                                                   |
| USDA/RD Business Programs<br>800 W. Evergreen Ave,<br>Ste 201<br>Palmer, AK 99645 | Phone: (907) 761-7718<br>Fax: (907) 761-7793 | Program Director:<br>Renee Johnson<br><a href="mailto:Renee.johnson@ak.usda.gov">Renee.johnson@ak.usda.gov</a><br><br>Program Specialist:<br>Robin Ezzo<br><a href="mailto:robin.ezzo@ak.usda.gov">robin.ezzo@ak.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/rural-business-development-grants/ak">http://www.rd.usda.gov/programs-services/rural-business-development-grants/ak</a> |

Purpose: Facilitate the development of small and emerging business. RBDG is a competitive grant designed to support targeted technical assistance, training and other activities leading to the development or expansion of small and emerging private businesses in rural areas that have fewer than 50 employees and less than \$1 million in gross revenues. Programmatic activities are separated into enterprise or opportunity type grant activities. Congress historically has mandated a portion of this program's funding specifically for Federally Recognized Tribes. Enterprise type grant funds must be used on projects to benefit small and emerging businesses in rural areas as specified in the grant application.

Program Activities: Uses may include:

- Training and technical assistance, such as project planning, business counseling/training, market research, feasibility studies, professional/technical reports, or product/service improvements
- Acquisition or development of land, easements, or rights of way; construction, conversion, renovation, of buildings, plants, machinery, equipment, access streets and roads, parking areas, utilities
- Pollution control and abatement
- Capitalization of revolving loan funds including funds that will make loans for start-ups and working capital
- Distance adult learning for job training and advancement
- Rural transportation improvement
- Community economic development
- Technology-based economic development
- Feasibility studies and business plans
- Leadership and entrepreneur training

- Rural business incubators
- Long-term business strategic planning

Opportunity type grant funding must be used for projects in rural areas and they can be used for:

- Community economic development
- Technology-based economic development
- Feasibility studies and business plans
- Leadership and entrepreneur training
- Rural business incubators
- Long-term business strategic planning

Eligible Applicants: Towns, Communities, State Agencies, Authorities, Nonprofit Corporations, Institutions of Higher Education, Federally-recognized Tribes and Rural Cooperatives.

Restrictions/Requirements: All applications are evaluated based on:

- Evidence showing job creation to occur with local businesses;
- Percent of nonfederal funding committed to the project;
- Economic need in the area to be served;
- Consistency with local economic development priorities
- Experience of the grantee with similar efforts; and other factors described in the current Notice of Solicitation of Applications (NOSA), if one is published.

Special Application Procedures/Requirements: RBDG applications compete at the state office level, which are dependent on appropriations.

Estimated Application Cycle: Applications are accepted through USDA Rural Development's local or State offices once per year, and is usually announced in early spring.

Funding Priorities: There is no maximum grant amount for enterprise or opportunity type grants; however, smaller requests are given higher priority. Generally, grants range from \$10,000 up to \$500,000. There is no cost sharing requirement. Opportunity type grant funding is limited statutorily to up to 10% of the total RBDG annual funding.

Funding Range: Alaska typically funds 3 to 4 project per year at \$10,000 to \$50,000 per Award.

## U.S. Department of Agriculture (USDA)

| Rural Energy for America Loans and Grants                                         |                                              |                                                                                                                                      |                                                                                                                                                                                                                                                       |
|-----------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                   | Phone/Fax                                    | Email Address                                                                                                                        | Website                                                                                                                                                                                                                                               |
| USDA/RD Business Programs<br>800 W. Evergreen Ave,<br>Ste 201<br>Palmer, AK 99645 | Phone: (907) 761-7768<br>Fax: (907) 761-7793 | Program Director:<br>Renee Johnson<br>Renee.johnson@ak.usda.gov<br><br>Program Specialist:<br>Jessie Huff<br>jessie.huff@ak.usda.gov | <a href="http://www.rd.usda.gov/programs-services/rural-energy-america-program-renewable-energy-systems-energy-efficiency/ak">http://www.rd.usda.gov/programs-services/rural-energy-america-program-renewable-energy-systems-energy-efficiency/ak</a> |

Purpose: Purchase or install renewable energy systems or make energy efficiency improvements.

Program Activities: Funds may be used for the purchase, installation and construction of renewable energy systems, such as: Biomass (for example biodiesel and ethanol, anaerobic digesters, and solid fuels); Geothermal for electric generation or direct use; Hydropower below 30 megawatts; Hydrogen; Small and large wind generation; Small and large solar generation; Ocean (tidal, current, thermal) generation. Funds may also be used for the purchase, installation and construction of energy efficiency improvements, such as: High efficiency heating, ventilation and air conditioning systems (HVAC); Insulation; Lighting; Cooling or refrigeration units; Doors and windows; Electric, solar or gravity pumps for sprinkler pivots; Switching from a diesel to electric irrigation motor; Replacement of energy- inefficient equipment.

Eligible Applicants: Agricultural producers and rural small businesses with at least 50 percent of gross income coming from agricultural operations and rural small businesses.

### Restrictions/Requirements:

- Applicants must provide at least 75% of the project cost if applying for a grant only.
- Applicants must provide at least 25% of the project cost if applying for loan, or loan and grant combination.
- Projects greater than \$200,000 require a technical report.
- Energy efficiency projects require an energy audit or assessment.

Special Application Procedures/Requirements: Applications for this program is accepted year round at the Alaska State Office. Contact the State Rural Development Energy Coordinator.

Estimated Application Cycle:

- Grants of \$20,000 or less: October 31, 2016 and March 31, 2017;
- Unrestricted Grants (up to \$500,000): March 31, 2017;
- Loan Guarantees are completed continuously throughout the year.

Funding Priorities: Applications for this program are accepted year round. Contact Renewable energy coordinator.

Funding Range: Loan guarantees to \$25M; Grants to \$250,000 for energy efficiency improvements or \$500,000 for renewable energy systems.

## U.S. Department of Agriculture (USDA)

| Sewer, Water, Solid Waste Loans and Grants     |                                                 |                                                                                                                      |                                                                                                                                                                                 |
|------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                | Phone/Fax                                       | Email Address                                                                                                        | Website                                                                                                                                                                         |
| 510 L Street, Suite 410<br>Anchorage, AK 99501 | Phone:<br>(907) 271-2424<br>Fax: (855) 201-1074 | Program Director:<br>Tasha Deardorff<br><a href="mailto:Tasha.deardorff@ak.usda.gov">Tasha.deardorff@ak.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/water-waste-disposal-loan-grant-program">http://www.rd.usda.gov/programs-services/water-waste-disposal-loan-grant-program</a> |

**Purpose:** Provides funding for clean and reliable drinking water systems, sanitary sewage disposal, sanitary solid waste disposal, and storm water drainage to households and businesses in eligible rural areas.

**Program Activities:** Funds may be used to finance the acquisition, construction or improvement of: drinking water sourcing, treatment, storage and distribution; sewer collection, transmission, treatment and disposal; solid waste collection, disposal and closure; and storm water collection, transmission and disposal. In some cases, funding may also be available for related activities such as:

- Legal and engineering fees;
- Land acquisition, water and land rights, permits and equipment;
- Start-up operations and maintenance;
- Interest incurred during construction;
- Purchase of existing facilities to improve service or prevent loss of service

### Recent Funding Activities:

City of Palmer – The City of Palmer applied for a direct loan \$4,816,000 and \$3,518,290 grant for the construction and upgrades to the wastewater treatment plant, including the installation of a Moving Bed Bioreactor (MBBR) with Secondary Clarifiers.

**Eligible Applicants:** This program assists qualified applicants that are not otherwise able to obtain commercial credit on reasonable terms. Eligible applicants include: Most State and local governmental entities, Private non-profits and Federally-recognized Tribes.

**Legal Authority and Responsibility:** Each applicant must have or will obtain the legal authority necessary for owning, constructing, operating, and

maintaining the proposed facility or service and for obtaining, giving security for, and repaying the proposed loan.

Special Application Procedures/Requirements: Funding is provided through competitive process. Applicants may obtain funding as a direct loan or grant.

Estimated Application Cycle: Applications for the Water & Waste Disposal Loan and Grant program is accepted year round.

Funding Priorities: Priority point system is based on median household income, population of the service area and health priorities.

Funding Range:

Loan Limitations: No limits

Grants limitations: Grants may not be made in excess of the following percentages of RUS eligible project development costs:

- 75 percent when the median household income in the service area is below the higher of the poverty line or 80 percent of the non-metropolitan median income and the project is necessary to alleviate a health and sanitary problem;
- 45 percent when the median household income of the service area exceeds the 80 percent requirements described above.
- Grant funds subject to availability

## U.S. Department of Agriculture (USDA)

| Single Family Direct Loans                                                   |                                              |                                                                                                            |                                                                                                                                                                                 |
|------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                              | Phone/Fax                                    | Email Address                                                                                              | Website                                                                                                                                                                         |
| Palmer State Office<br>800 W. Evergreen Ave., Ste<br>201<br>Palmer, AK 99645 | Phone: (907) 761-7705<br>Fax: (907) 761-7794 | Program Director<br>Greg Stuckey<br><a href="mailto:Greg.stuckey@ak.usda.gov">Greg.stuckey@ak.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/single-family-housing-direct-home-loans">http://www.rd.usda.gov/programs-services/single-family-housing-direct-home-loans</a> |

**Purpose:** The Single Family Housing Direct Home Loans program assists low- and very-low-income applicants obtain decent, safe and sanitary housing in eligible rural areas by providing payment assistance to increase an applicant's repayment ability. Payment assistance is a type of subsidy that reduces the mortgage payment for a short time. The amount of assistance is determined by the adjusted family income. No down payment is typically required. Applicants with assets higher than the asset limits may be required to use a portion of those assets.

**Program Activities:** Funds can be used to build, repair, renovate or relocate a home, or to purchase and prepare sites, including providing water and sewage facilities.

**Recent Funding Activities:** In fiscal year 2016, almost 100 loans were obligated statewide for over \$20 million; 45% of that funding went to very-low income applicants. This was almost 300% of the original amount allocated to Alaska.

**Eligible Applicants:** Low and very low income individuals in rural areas of 35,000 people or less. Applicants must:

- Be without decent, safe and sanitary housing
- Be unable to obtain a loan from other resources on terms and conditions that can reasonably be expected to meet
- Agree to occupy the property as your primary residence
- Have the legal capacity to incur a loan obligation
- Meet citizenship or eligible noncitizen requirements
- Not be suspended or debarred from participation in federal programs

**Restrictions/Requirements:** Properties financed with direct loan funds must:

- Generally be 2,000 square feet or less
- Not have market value in excess of the applicable area loan limit



- Not have in-ground swimming pools
- Not be designed for income producing activities

Special Application Procedures/Requirements: There are no special application procedures or requirements.

Estimated Application Cycle: Processing times vary depending on funding availability and program demand in the area in which an applicant is interested in buying and completeness of the application package.

Funding Priorities: Priority is given to applicants facing housing-related hardships and applicants who will obtain part of their funding elsewhere through a leveraging arrangement or contribute sweat equity through an Agency-approved Self-Help project.

Funding Range: The maximum loan amount an applicant may qualify for will depend on the applicant's repayment ability. The applicant's ability to repay a loan considers various factors such as income, debts, assets and the amount of payment assistance applicants may be eligible to receive. Regardless of repayment ability, applicants may never borrow more than the [Area's Loan Limits](#) (plus certain costs allowed to be financed) for the county in which the property is located.

## U.S. Department of Agriculture (USDA)

| Single Family Guaranteed Loans                        |                                              |                                                                                                                     |                                                                                                                                                                                             |
|-------------------------------------------------------|----------------------------------------------|---------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                       | Phone/Fax                                    | Email Address                                                                                                       | Website                                                                                                                                                                                     |
| 800 W. Evergreen Ave.,<br>Ste 210<br>Palmer, AK 99645 | Phone: (907) 761-7732<br>Fax: (907) 761-7794 | Program Specialist:<br>Karen LaMouria<br><a href="mailto:Karen.lamouria@ak.usda.gov">Karen.lamouria@ak.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/single-family-housing-guaranteed-loan-program">http://www.rd.usda.gov/programs-services/single-family-housing-guaranteed-loan-program</a> |

Program Activities: Funds backed by loan guarantees be used for:

- Purpose: This program assists approved lenders in providing low- and moderate-income households the opportunity to own adequate, modest, decent, safe and sanitary dwellings as their primary residence in eligible rural areas. Eligible applicants may build, rehabilitate, improve or relocate a dwelling in an eligible rural area. The program provides a 90% loan note guarantee to approved lenders in order to reduce the risk of extending 100% loans to eligible rural homebuyers.
- New or existing residential property to be used as a permanent residence. Closing cost and reasonable/customary expenses associated with the purchase may be included in the transaction
- A site with a new or existing dwelling
- Repairs and rehabilitation when associated with the purchase of an existing dwelling
- Refinancing of eligible loans
- Special design features or permanently installed equipment to accommodate a household member who has a physical disability
- Reasonable and customary connection fees, assessments or the pro rata installment cost for utilities such as water, sewer, electricity and gas for which the buyer is liable
- A pro rata share of real estate taxes that is due and payable on the property at the time of loan closing. Funds can be allowed for the establishment of escrow accounts for real estate taxes and/or hazard and flood insurance premiums
- Essential household equipment such as wall-to-wall carpeting, ovens, ranges, refrigerators, washers, dryers, heating and cooling equipment as long as the equipment is conveyed with the dwelling
- Purchasing and installing measures to promote energy efficiency (e.g. insulation, double-paned glass and solar panels)
- Installing fixed broadband service to the household as long as the equipment is conveyed with the dwelling
- Site preparation costs, including grading, foundation plantings, seeding or sod installation, trees, walks, fences and driveways

Eligible Applicants: There is no requirement that states have to be 35,000 people or less. All applicants, Low, Very Low and Moderate are eligible as long as they are purchasing in an eligible area

- Meet income-eligibility
- Agree to personally occupy the dwelling as their primary residence
- Be a U.S. Citizen, U.S. non-citizen national or Qualified Alien
- Have the legal capacity to incur the loan obligation
- Have not been suspended or debarred from participation in federal programs
- Demonstrate the willingness to meet credit obligations in a timely manner
- Purchase a property that meets all program criteria

Restrictions/Requirements: Household income cannot exceed area loan limits for the guaranteed program. Cannot refinance a leveraged loan into the GRH program. There are some restrictions on owning another home – doesn't mean they can't but there is a criteria list that must be met before this could be determined and they must work directly with the lender.

Special Application Procedures/Requirements: None. Applicants submit applications directly to an Approved Lender.

Estimated Application Cycle: The processing timeframe is determined by the lender but usually 30 days start to finish possibly up to 45 days. However, once the lender submits the file to USDA, the guaranteed turnaround time is typically 24 to 48 hours max to provide the commitment to the lender.

Funding Priorities: None

Funding Range: There is no maximum loan amount. The loan amount is based on what the applicant(s) can qualify to borrow. The lender will determine the amount eligible based on income, credit, repayment ability. The Guaranteed Loan Program is not a subsidized program however if a borrower can qualify for HOP, NAHASDA etc., they can be combined with the guaranteed program. The guaranteed program does not have a requirement that states applicant can never borrow more than the area loan limits. The maximum loan is what the borrower can qualify for.

## U.S. Department of Agriculture (USDA)

| Single Family Repair Loans and Grants                 |                                              |                                                                                                            |                                                                                                                                                                                     |
|-------------------------------------------------------|----------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                       | Phone/Fax                                    | Email Address                                                                                              | Website                                                                                                                                                                             |
| 800 W. Evergreen Ave.,<br>Ste 210<br>Palmer, AK 99645 | Phone: (907) 761-7778<br>Fax: (907) 761-7794 | Program Director<br>Greg Stuckey<br><a href="mailto:Greg.stuckey@ak.usda.gov">Greg.stuckey@ak.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/single-family-housing-repair-loans-grants">http://www.rd.usda.gov/programs-services/single-family-housing-repair-loans-grants</a> |

**Purpose:** Also known as the Section 504 Home Repair program, this provides loans to very-low-income homeowners to repair, improve or modernize their homes or grants to elderly very-low-income homeowners to remove health and safety hazards.

**Program Activities:** Loans may be used to repair, improve or modernize homes or remove health and safety hazards. Grants must be used to remove health and safety hazards.

**Eligible Applicants:** Very low income homeowners in rural areas. Grants are only available to very-low income homeowners in rural areas that are at least 62 years old.

**Restrictions/Requirements:**

- Maximum loan is \$20,000
- Maximum grant is \$7,500
- Loans and grants can be combined for up to \$27,500 in assistance
- Loans can be repaid over 20 years
- Loan interest rate is fixed at 1%
- Full title service is required for loans of \$7,500 or more
- Escrow for taxes and insurance, along with an appraisal is required for loans of \$15,000 or more.
- Grants must be repaid if the property is sold in less than 3 years
- If applicants can repay part, but not all of the costs, applicants may be offered a loan and grant combination
- Only \$5,000 is available if property is located in a flood zone.

**Special Application Procedures/Requirements:** Scope of work must be provided, along with bids to complete the work.

**Estimated Application Cycle:** Home loans are available year round as long as funding is available. Home loan applications are processed in the order they are received. Grant funding is limited and awarded on a first-come basis.

Funding Priorities: Priority is given to loans and then loan/grant combination funding.

Recent Funding Activities: In fiscal year 2016, almost \$230,000 was obligated for 34 home owners to repair their homes; the majority of these being in underserved and off-road communities.

Funding Range: Loans up to \$20,000 at 1%, grants up to \$7,500. Loan grant combinations up to \$27,500 in certain circumstances.

## U.S. Department of Agriculture (USDA)

| Telecommunications Infrastructure Loans & Loan Guarantees                                                                                                                      |                       |                                                                                                   |                                                                                                                                                                                                                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                                                | Phone/Fax             | Email Address                                                                                     | Website                                                                                                                                                                                                         |
| USDA Rural Development<br>Telecommunications Staff<br>U.S. Department of Agriculture<br>1400 Independence Ave., S.W.,<br>Room 5151<br>Mail STOP 1590.<br>Washington D.C. 20250 | Phone: (316) 570-3249 | Shekinah Bailey<br><a href="mailto:Shekinah.bailey@wdc.usda.gov">Shekinah.bailey@wdc.usda.gov</a> | <a href="http://www.rd.usda.gov/programs-services/telecommunications-infrastructure-loans-loan-guarantees">http://www.rd.usda.gov/programs-services/telecommunications-infrastructure-loans-loan-guarantees</a> |

**Purpose:** This program provides financing for the construction, maintenance, improvement and expansion of telephone service and broadband in rural areas.

**Program Activities:** Funds may be used to finance broadband capable telecommunications service: Improvements; Expansions; Construction; Acquisitions (in certain cases); Refinancing (in certain cases).

**Eligible Applicants:** Most entities that provide telecommunications in qualified rural areas including: State and local governmental entities, Federally Recognized Tribes, Non-profits, including Cooperatives and limited dividend or mutual association and For-profit businesses (must be a corporation or limited liability company).

**Restrictions/Requirements:**

- Borrowers must have legal authority to provide, construct, operate and maintain the proposed facilities or services
- All facilities financed with the aid of federal dollars must be used for a public purpose
- May not duplicate similar services available in the same area
- Partnerships with other federal, state, local, private and non-profit entities are encouraged

**Special Application Procedures/Requirements:** All applications must be submitted through RD Apply online application system. Visit [RD Apply](#). Program details may change over time. Before you begin an application, please confirm you have the most current information by contacting a [General Field Representative](#) who serves your area for assistance

Estimated Application Cycle: There will be two application windows for each FY. The first application submission will be from March 1 through March 31 and the second application window will be from September 1 through September 30.

Funding Priorities: N/A

Funding Range: No loan limit.

## U.S. Army Corps of Engineers (USACE)

| Continuing Authorities Program (CAP) – Section 205 Flood Risk Management Projects                                                           |                                           |                                                                        |                                                                                                                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                             | Phone/Fax                                 | Email Address                                                          | Website                                                                                                                                                                                         |
| U.S. Army Corps of Engineers,<br>Alaska District<br>ATTN: CEPOA-PM-C<br>P.O. Box 6898<br>Joint Base Elmendorf-<br>Richardson, AK 99506-0898 | Phone (907)753-5674<br>Fax (907) 753-5645 | <a href="mailto:POA.AKCAP@usace.army.mil">POA.AKCAP@usace.army.mil</a> | <a href="http://www.usace.army.mil/Missions/Civil-Works/Project-Partnership-Agreements/model_cap/">http://www.usace.army.mil/Missions/Civil-Works/Project-Partnership-Agreements/model_cap/</a> |

**Purpose:** Under the U.S. Army Corps of Engineers Continuing Authorities Program (CAP), Section 205 of the 1948 Flood Control Act, as amended, allows the U.S. Army Corps of Engineers to study, plan, and construct small flood risk management projects without specific authorization by Congress.

**Program Activities:** Flood damage reduction to protect public infrastructure such as roads, schools, utilities, and public buildings.

**Eligible Applicants:** State, Local, and Tribal Governments and ANCSA Corporations.

**Restrictions/Requirements:** A project is recommended for implementation only after a feasibility study clearly shows the engineering feasibility and economic justification of the improvement. Each project must be complete within itself and not be a component of a larger project. For structural flood risk management projects, the non-Federal sponsor must pay a minimum of 5 percent of the project cost in cash during construction.

**Special Application Procedures/Requirements:** The Corps may begin a Section 14 study after it receives a formal letter of request from the prospective sponsoring community.

**Estimated Application Cycle:** Ongoing



| Funding Information                                                                                            |                                                                                                                                                                |                                                                            |       |
|----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------|
| Total Funds Available                                                                                          | Average Award                                                                                                                                                  | Match Requirements                                                         | Other |
| The maximum Federal expenditure per project is \$10 million and includes both planning and construction costs. | The amount of Federal participation is limited by Congress, and varies for each individual authority, however it is typically \$5 million Federal, cost shared | 35% match for project costs and 50% for study costs in excess of \$100,000 |       |

## U.S. Army Corps of Engineers (USACE)

| Continuing Authorities Program (CAP) – Section 14 Emergency Streambank and Shoreline Protection                                            |                                           |                                                                        |                                                                                                                                                                                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                            | Phone/Fax                                 | Email Address                                                          | Website                                                                                                                                                                                                                                               |
| U.S. Army Corps of Engineers, Alaska<br>District<br>ATTN: CEPOA-PM-C<br>P.O. Box 6898<br>Joint Base Elmendorf-Richardson, AK<br>99506-0898 | Phone (907)753-5674<br>Fax (907) 753-5645 | <a href="mailto:poatribal@usace.army.mil">poatribal@usace.army.mil</a> | <a href="http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section14EmergencyStreambankandShorelineProtection.pdf">http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section14EmergencyStreambankandShorelineProtection.pdf</a> |

**Purpose:** Under the U.S. Army Corps of Engineers Continuing Authorities Program (CAP), Section 14 of the 1946 Flood Control Act, as amended, allows the Corps to plan and construct emergency streambank and shoreline protection projects to protect roads and highways, highway bridge approaches, public facilities such as water and sewer lines, churches, public and private nonprofit schools and hospitals, and other nonprofit public facilities.

**Program Activities:** The project may include new erosion protection works or it may repair, restore, or modify existing works. Each project must be a complete solution to the problem and not commit the Federal government to additional improvements.

**Eligible Applicants:** State, Local, and Tribal Governments and ANCSA Corporations.

**Restrictions/Requirements:** A project is accepted for construction only after a study shows its engineering feasibility, environmental acceptability, and economic justification.

**Special Application Procedures/Requirements:** The Corps may begin a Section 205 Flood Risk study after it Receives a formal letter of request from the prospective sponsoring community. A sample letter of request is located at <http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section205FloodRiskManagementProjects.pdf>.

**Estimated Application Cycle:** Ongoing

| Funding Information                                                                                     |               |                    |       |
|---------------------------------------------------------------------------------------------------------|---------------|--------------------|-------|
| Total Funds Available                                                                                   | Average Award | Match Requirements | Other |
| Federal costs are limited to \$5 million in one locality during any fiscal year, including study costs. |               | 35% Non-Federal    |       |

## U.S. Army Corps of Engineers (USACE)

| Continuing Authorities Program (CAP) – Section 22 Planning Assistance to States and Tribes Program                                    |                                           |                                                                        |                                                                                                                                                                                                                                           |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                       | Phone/Fax                                 | Email Address                                                          | Website                                                                                                                                                                                                                                   |
| U.S. Army Corps of Engineers,<br>Alaska District<br>ATTN: CEPOA-PM-C<br>P.O. Box 6898<br>Joint Base Elmendorf-Richardson,<br>AK 99506 | Phone (907)753-5674<br>Fax (907) 753-5645 | <a href="mailto:POA.AKCAP@usace.army.mil">POA.AKCAP@usace.army.mil</a> | <a href="http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section22PlanningAssistancetoStatesandTribes.pdf">http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section22PlanningAssistancetoStatesandTribes.pdf</a> |

**Purpose:** Section 208 of the 1992 Water Resources Development Act (WRDA) of 1974, amended the WRDA of 1974 to authorize the Corps to partner with Native American Tribes to assist in the preparation of comprehensive plans for the development, utilization, and conservation of water and related land resources.

**Program Activities:** The program can encompass many types of studies dealing with water resource issues. Types of studies conducted in recent years under the program include the following

- Hydropower
- Water Quality
- Environmental Conservation/Ecosystem Restoration
- Wetlands Evaluation
- Dam Safety/Failure
- Flood Risk Management
- Flood Plain Management
- Stream Bank Erosion Protection
- Coastal Erosion Protection
- Harbor and Port (Navigation Improvements)
- Community Relocation
- Water Supply and Demand

**Eligible Applicants:** State, Local, and Tribal Governments

**Restrictions/Requirements:** Typical studies are only planning level of detail; they do not include detailed design for project construction.

**Special Application Procedures/Requirements:** Every year, each State and Indian Tribe can provide the Corps of Engineers its request for studies under the program, and the Corps then accommodates as many studies as possible within the funding allotment. A sample letter of request is located at <http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section22PlanningAssistancetoStatesandTribes.pdf>.

Estimated Application Cycle: Ongoing

| Funding Information                                                                              |                                                         |                    |                                                                                 |
|--------------------------------------------------------------------------------------------------|---------------------------------------------------------|--------------------|---------------------------------------------------------------------------------|
| Total Funds Available                                                                            | Average Award                                           | Match Requirements | Other                                                                           |
| Allotments for each State or Tribe from the nation-wide appropriation are limited to \$2,000,000 | Individual studies generally cost \$25,000 to \$200,000 | 50%                | Up to 100 percent of the non-Federal share may be provided by in-kind services. |

## U.S. Army Corps of Engineers (USACE)

| Continuing Authorities Program (CAP) – Section 107 Small Navigation Projects                                                               |                                           |                                                                        |                                                                                                                                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                            | Phone/Fax                                 | Email Address                                                          | Website                                                                                                                                                                                               |
| U.S. Army Corps of Engineers,<br>Alaska District<br>ATTN: CEPOA-PM-C<br>P.O. Box 6898<br>Joint Base Elmendorf-Richardson,<br>AK 99506-0898 | Phone (907)753-5674<br>Fax (907) 753-5645 | <a href="mailto:POA.AKCAP@usace.army.mil">POA.AKCAP@usace.army.mil</a> | <a href="http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section107SmallBoatHarbors.pdf">http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section107SmallBoatHarbors.pdf</a> |

**Purpose:** Under the U.S. Army Corps of Engineers Continuing Authorities Program (CAP), Section 107 of the 1960 River and Harbor Act, as amended, allows the U.S. Army Corps of Engineers to study, plan, and construct small navigation projects such as boat harbors and channel dredging that have not been specifically authorized by Congress.

**Program Activities:** Federal funds can be spent only for general navigation features, including:

- A safe entrance channel leading to the anchorage basin or berthing area (protected by breakwaters or jetties, if needed)
- A protected maneuvering area or turning basin
- Maintenance dredging for the above items

**Eligible Applicants:** State, Local, and Tribal Governments

**Restrictions/Requirements:** A project is approved for construction only after detailed study shows engineering feasibility, economic justification, and environmental acceptability. Each project must be complete within itself and not be a component of a larger project.

**Special Application Procedures/Requirements:** The Corps may begin a Section 107 study after it receives a formal letter of request from the prospective sponsoring community. After a State or local agency requests a project, the Corps will conduct a feasibility study if the Division Commander agrees that the project may have a Federal interest and if funds are available. A sample letter of request is located at <http://www.poa.usace.army.mil/Portals/34/docs/civilworks/CAP/Section107SmallBoatHarbors.pdf>

**Estimated Application Cycle:** Ongoing

| Funding Information                                         |                                                         |                                                                                  |                                                                           |
|-------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| Total Funds Available                                       | Average Award                                           | Match Requirements                                                               | Other                                                                     |
| The maximum Federal expenditure per project is \$10 million | Individual studies generally cost \$25,000 to \$200,000 | Projects 20 feet deep or less, 10%. More than 20 but less than 45 feet deep, 25% | Sponsor must pay an additional 10% over 30 years for Navigation Features. |

U.S. Department of Commerce (DOC)  
Economic Development Administration (EDA)

| Public Works & Economic Adjustment Assistance (EAA) Programs                                |                                              |                 |                                                       |
|---------------------------------------------------------------------------------------------|----------------------------------------------|-----------------|-------------------------------------------------------|
| Mailing Address                                                                             | Phone/Fax                                    | Email Address   | Website                                               |
| Alaska Field Office<br>Shirley Kelly<br>510 'L' Street,<br>Suite 444<br>Anchorage, AK 99501 | Phone: (907) 271-2272<br>Fax: (907) 271-2272 | skelly2@eda.gov | <a href="https://www.eda.gov">https://www.eda.gov</a> |

Purpose: Provides strategic investments on a competitive merit basis to support economic development, foster job creation, and attract private investment in economically distressed areas. EDA solicits application from applicants in rural and urban areas to provide investments that support construction, non-construction, technical assistance and revolving loan fund projects. Grants and cooperative agreements made under these programs are designed to leverage existing regional assets and support the implementation of economic development strategies that advance new ideas and creative approaches to advance economic prosperity in distressed communities:

- Public Works Program: Provides investments to build, design or engineer critical infrastructure and facilities that will help implement regional development strategies; and clearly leads to the creation and retention of long-term high-quality jobs;
- Economic Adjustment Assistance: Provides investments that support a wide range of construction and non-construction activities: technical assistance, economic recovery strategies and capitalization or re-capitalization of Revolving Loan Funds in regions experiencing severe economic dislocation.

Program Activities: Support the growth of existing and emerging industries; Projects that encourage job growth and business expansion in manufacturing; increase economic resiliency, including resilience to the effects of natural disasters and climate change, natural disaster mitigation and recovery; support information technology infrastructure (broadband or smart grid); job driven skill development, access to capital for small and medium sized and ethnically diverse enterprises; innovation and science and health care and the advancement of science and research parks; other technology transfer or technology commercialization efforts.

Eligible Applicants: (1) District Organization of a designated Economic Development District; (2) Indian Tribe or a consortium of Indian Tribes; (3) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (4) institution of higher education or a consortium of institutions of higher education; or (5) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.



**Restrictions/Requirements:** In order to be eligible for funding, an applicant must propose a project located in a Region that meets EDA's distress criteria as of the date EDA receives the application. EDA will base its decision on the most recent American Community Survey (ACS) data published by the US Census Bureau, if unavailable, EDA will accept other Federal data sources.

**Special Application Procedures/Requirements:** EDA has a two phase process for EDA investment assistance, applicants must first submit a Proposal. Should the Proposal be scored Responsive then EDA invites the applicant to submit a full application package within sixty (60) days. EDA conducts reviews of Proposals and Full applications on a rolling basis. Applicants should refer to the specific requirements of the current Federal Funding Opportunity to determine what documents must be submitted at the time of submission.

**Estimated Application Cycle:** There are no submission deadlines under this opportunity. Proposals and applications will be accepted on an ongoing basis until the publication of a new funding opportunity. Applicants will be notified within 30 days of receipt of their complete proposal of the Proposal Review Committee's (PRC) determination regarding their proposal. If the PRC determines that a proposal is "Responsive" to the Federal Funding Opportunity (FFO), the applicant will be asked to submit a complete application to EDA for further evaluation. Applicants should expect written notification from EDA regarding the outcome of the Investment Review Committee (IRC) within 60 days of EDA's receipt of their complete application. Project periods generally these do not exceed five years. Funds are disbursed on a reimbursement basis.

**Funding Priorities:** Recent investment priorities include proposals that align with at least of the priorities (a) Collaborative Regional Innovation, (b) Public/Private Partnerships, (c) National Strategic Priorities, (d) Global Competitiveness, (e) Environmentally-Sustainable Development, (f) Economically Distressed and Underserved Communities.

#### Recent Public Works Funding Activities:

- \$839,784 was awarded to the City of Oroville, Washington, to support critical road infrastructure and related appurtenances necessary to provide access to an industrial area in Oroville serving major businesses. The EDA investment will enhance the marketability of the region and its assets by enabling existing companies to expand while attracting new industrial development leading to more employment opportunities with higher pay for the regions workforce.
- \$1,237,500 was awarded to City of Sanger, California, to support the installation of a new water storage tank and supporting infrastructure. The improvements will support sufficient water capacity to ensure that local manufacturers have consistent water flow to their facilities enabling them to operate more efficiently and expand, and will accommodate new commercial and industrial development and provide more employment opportunities for the City's workforce.

#### Recent Economic Adjustment Assistance Funding Activities:

- \$83,900 was awarded to Central Council Tlingit Haida Indian Tribes of Alaska to determine the feasibility/cost effectiveness of renovating the Thane Ore House for development of a Cultural Immersion Park in Juneau. The proposed park will house a cultural center, restaurant, gift shop and light manufacturing businesses, which is expected to bring job training opportunities and new jobs to the region hit hard by the recent closure of Walmart and offset the State of Alaska layoffs.
- \$300,000 was awarded to Coconino County to support the development and implementation of a resiliency plan for Flagstaff, Arizona. The project will focus on workforce development, creating jobs, and strengthening the local economy to reduce the dependence on the coal industry.

| Funding Information                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available                                                                                                                                                                                                             | Average Award                                                                                                                                                                                                                                                                                | Match Requirements                                                                                                                                                                                                                                                                                                                                                                                                         | Other                                                                                                                                                                                                                                             |
| <p>FY15-\$99 million for the Public Works Program; and \$35 million for the EAA Program.</p> <p>FY16- EDA operated under the authority of H.R. 719 which continues the FY2015 Consolidated Appropriations Act funding levels.</p> | <p>The average, Public Works investment has been \$1.4 million, and investment generally range from \$200,00 - \$3,000,000.</p> <p>The average Economic Adjustment Assistance investment has been approximately \$820,000, and investments generally range from \$100,000 - \$1,250,000.</p> | <p>Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. For tribes, EDA's regulations provide discretion to establish a maximum EDA investment rate of up to 100 percent for projects.</p> | <p>Historically, EDA has awarded Public Work investments between 80 – 150 Public Works projects nationally a year.</p> <p>Historically, EDA has awarded Economic Adjustment Assistance investments between 70 – 140 projects national a year.</p> |

U.S. Department of Commerce (DOC)  
Economic Development Administration (EDA)

| EDA Planning Program and Local Technical Assistance Program                                 |                                              |                 |                                                       |
|---------------------------------------------------------------------------------------------|----------------------------------------------|-----------------|-------------------------------------------------------|
| Mailing Address                                                                             | Phone/Fax                                    | Email Address   | Website                                               |
| Alaska Field Office<br>Shirley Kelly<br>510 'L' Street,<br>Suite 444<br>Anchorage, AK 99501 | Phone: (907) 271-2272<br>Fax: (907) 271-2272 | skelly2@eda.gov | <a href="https://www.eda.gov">https://www.eda.gov</a> |
| Seattle Regional Office<br>915 Second Avenue<br>Room 1890<br>Seattle, WA 98174              | Phone (206) 220-7660<br>F: (206) 220-7669    | asmith@eda.gov  |                                                       |

**Purpose:** EDA provides Partnership Planning grants to Indian Tribes to help develop and implement CEDS and associated economic development activities. The Planning program also helps support organizations, including District Organizations, Indian Tribes, and other eligible recipients, with Short-Term and State Planning investments designed to guide the eventual creation and retention of high-quality jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions.

**Program Activities:** The Planning program helps eligible recipients, including District Organizations and Indian Tribes, with Short-Term and State Planning efforts in order to stimulate and guide the creation and/or retention of high-quality jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions. The Local Technical Assistance program strengthens the capacity of local or State organizations, institutions of higher education, and other eligible recipients to undertake and promote effective economic development programs through projects such as feasibility analyses and impact studies.

**Eligible Applicants:** Eligible applicants for EDA financial assistance under the Planning and Local Technical Assistance programs include a(n): (i) District Organization of a designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.

**Restrictions/Requirements:** (1) EDA is not authorized to provide grants or cooperative agreements to individuals or to for-profit entities. Requests from such entities will not be considered for funding; (2) In general, EDA does not reimburse pre-award project costs. Applicants that are in need of

such reimbursement should work closely with the EDA representative for their state to determine if their pre-award costs may be considered for reimbursement. In order for contracted pre-award costs to be eligible for reimbursement, the applicant must competitively procure services pursuant to the Federal government's procurement procedures. All pre-award costs are incurred at an applicant's own risk and will be considered for reimbursement, in EDA's sole discretion, only if an applicant receives an award and must be approved by EDA in writing.

Special Application Procedures/Requirements: Applicants are strongly encouraged to contact the appropriate EDA regional office for their State to clarify technical matters involving their proposed project, its alignment with EDA's mission and investment priorities, and all other relevant publicly available information relating to technical matters before submitting an application to EDA.

Estimated Application Cycle: There are no application deadlines under this FFO. Applications will be accepted on an ongoing basis until the publication of a new Planning Federal Funding Opportunity. A project's period of performance is dependent on the project scope of work, the nature of the project, and the EDA program under which the grant is awarded. In general, a Planning or Local Technical Assistance investment is usually one year in duration, but a specific award may allow for up to three years for completion of the scope of work.

#### Funding Priorities:

- Collaborative Regional Innovation Projects that support the development and growth of innovation clusters<sup>1</sup> based on existing regional competitive strengths. Such initiatives must engage relevant stakeholders; facilitate collaboration among urban, suburban, and rural (including tribal) areas; provide stability for economic development through long-term intergovernmental and public/private collaboration; and support the growth of existing and emerging industries.
- Public/Private Partnerships Projects that use both public and private sector resources and complementary investments by other government/public entities and/or nonprofits.
- National Strategic Priorities Projects that:
  - a. encourage job growth and business expansion in manufacturing, including advanced manufacturing, sustainable manufacturing, and manufacturing supply chains;
  - b. assist communities severely impacted by the declining use of coal;
  - c. increase economic resiliency, including resilience to the effects of natural disasters and climate change;
  - d. assist with natural disaster mitigation and recovery;
  - e. are aimed at restoring or improving urban waters and the communities that surround them; and
  - f. assist and/or support:
    - i. information technology infrastructure (for example, broadband or smart grid);
    - ii. communities severely impacted by automotive industry restructuring;

- iii. job-driven skills development;
  - iv. access to capital for small- and medium-sized and ethnically diverse enterprises;
  - v. innovations in science and health care; and
  - vi. advancement of science and research parks, other technology transfer, or technology commercialization efforts.
- g. Environmentally-Sustainable Development Projects that promote job creation and economic prosperity through enhancing environmental quality and developing and implementing green products, processes, places, and buildings as part of the green economy. This includes projects that encourage job growth, business expansion, and innovations in energy-efficient technologies and clean energy, including alternative fuel technologies.
- h. Underserved Communities Projects that strengthen diverse communities that have suffered disproportionate economic distress and job losses and/or are rebuilding to become more competitive in the global economy.

Recent Planning Funding Activities:

- \$60,000 in Partnership Planning funds to the Native Village of Barrow, Barrow/North Slope, AK to support the development and implementation of a Comprehensive Economic Development Strategy (CEDS) for the Native Village of Barrow.
- \$70,000 Alaska Native Planning funds to Kawerak, Inc. to support the development and implementation of a Comprehensive Economic Development Strategy (CEDS) for the region served by Kawerak, Inc.

Recent Technical Assistance Funding Activities:

- \$46,425 in Technical Assistance funds to the Alaska Fisheries Development Association, to support the Alaskan Mari-culture Initiative Economic Analysis to carry out Phase 2 and 3 of a statewide strategic plan that will expedite the development of the Mari-culture farming of shellfish and marine plants industry. The project will include a cost/benefit and economic analysis of the Strategic plan's impact.
- \$125,000 in Technical Assistance funds to the Washington State University, to support the fifth year of a five-year University Center Economic Development Program at the Washington State University. The program leverages applied research, technical assistance and development tools to increase productivity, spur innovation, and promote entrepreneurship to increase long-term regional competitiveness and economic diversification.

| Funding Information                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                               |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available                                                                                                                                                                                                              | Average Award                                                                                                                                                                                                                                                                                                                                          | Match Requirements                                                                                                                                                                                                                                                                                                                                                                                                         | Other                                                                                                                                                         |
| <p>FY15 Planning program- \$30 million<br/>FY15 Local Technical Assistance program-\$11 million</p> <p>EDA operated under the authority of H.R. 719 which continues the FY2015 Consolidated Appropriations Act funding levels.</p> | <p>The average size of a Planning investment has been approximately \$70,000, and investments generally range from \$40,000 to \$200,000.</p> <p>The average size of a Local Technical Assistance investment has been approximately \$64,000, and investments generally range from \$50,000 to \$300,000.<br/>*Maximum amount available is 300,000</p> | <p>Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. For tribes, EDA's regulations provide discretion to establish a maximum EDA investment rate of up to 100 percent for projects.</p> | <p>Historically, EDA has awarded funds for between 320 and 470 Planning projects a year and between 30 and 50 Local Technical Assistance projects a year.</p> |

U.S. Department of Commerce (DOC)  
National Oceanic and Atmospheric Administration (NOAA)

| Coastal Resilience Grants NOAA-NOS-NRPO-2017-2005159<br>National Oceanic and Atmospheric Administration (NOAA) |                       |                     |                                                                                                         |
|----------------------------------------------------------------------------------------------------------------|-----------------------|---------------------|---------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                | Phone/Fax             | Email Address       | Website                                                                                                 |
| Mailing Address                                                                                                | Phone: (907) 271-5334 | amy.holman@noaa.gov | <a href="https://www.coast.noaa.gov/resilience-grant/">https://www.coast.noaa.gov/resilience-grant/</a> |

Purpose: The NOAA Coastal Resilience Grants Program supports two categories of activities: strengthening coastal communities and habitat restoration. Applicants can submit proposals for both categories through the same funding opportunity.

Program Activities:

1. **Strengthening Coastal Communities:** activities that improve capacity of multiple coastal jurisdictions (states, counties, municipalities, territories, and tribes) to prepare and plan for, absorb impacts of, recover from, and/or adapt to extreme weather events and climate-related hazards.
2. **Habitat Restoration:** activities that restore habitat to strengthen the resilience of coastal ecosystems and decrease the vulnerability of coastal communities to extreme weather events and climate-related hazards.
3. **The competition funds projects that build resilience,** including activities that protect life, property, and infrastructure; strengthen the economy; or conserve and restore coastal and marine resources.

Eligible Applicants: nonprofit organizations, institutions of higher education, regional organizations, private entities, and local, state, and tribal governments.

Restrictions/Requirements: Projects must be located in one or more of the 35 U.S. coastal states or territories.

Additional Funding Information: Typical award amounts range from \$250,000 to \$1 million for projects lasting up to three years. Cost-sharing through cash or in-kind contributions is expected.

## Department of Energy (DOE)

| Program: Alaska Strategic Technical Assistance Response Team (START) Program |              |                                                                                                                                  |                                                                                                                                                                 |
|------------------------------------------------------------------------------|--------------|----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                              | Phone/Fax    | Email Address                                                                                                                    | Website                                                                                                                                                         |
| 420 L Street, Suite 305,<br>Anchorage, AK 99501                              | 907-271-1423 | <a href="mailto:indianenergy@hq.doe.gov">indianenergy@hq.doe.gov</a><br><a href="mailto:tribal@ee.doe.gov">tribal@ee.doe.gov</a> | <a href="https://energy.gov/indianenergy/office-indian-energy-policy-and-programs">https://energy.gov/indianenergy/office-indian-energy-policy-and-programs</a> |

**Purpose:** The START Program seeks to spur clean energy project development by providing Tribes with tools and resources needed to foster energy self-sufficiency, sustainability, and economic competitiveness. With the support of technical experts from the National Renewable Energy Laboratory (NREL), START assists tribal leadership with project development and financing and helps tribal communities strategically plan their energy future.

**Program Activities:** The START team, which consists of DOE, including its national laboratories, and the Denali Commission, along with DOE's national laboratories and other local and national experts, assists rural Alaska Native communities in developing strategic energy plans to help mitigate the impacts of climate change by conducting energy awareness and training programs, and pursuing new renewable energy and energy efficiency opportunities. As a competitive technical assistance opportunity, Alaska START is aimed at achieving the following goals:

- Reducing the cost and use of energy for rural Alaska consumers and communities
- Increasing local capacity, energy efficiency, and conservation through training and public education
- Increasing renewable energy deployment and financing opportunities for communities and utilities.

**Eligibility:** Funds are available to any Indian tribe, band, nation, or other organized group or community, including any Alaska Native community or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Only Alaska Native village or village corporation applicants meeting these criteria will be considered.

**Application Requirements:** Questions concerning the application process can be submitted to [STARTsupport@nrel.gov](mailto:STARTsupport@nrel.gov) or [IndianEnergy@hq.doe.gov](mailto:IndianEnergy@hq.doe.gov).

**Estimated Timeline for Selection and Technical Assistance:**



- Application Deadline: February 6, 2015 (midnight Eastern Time)
- Review Period: February – March 2015
- Date of Notification: March – April 2015
- Initial Conferences (via telephone and email): April – May 2015
- Period of Technical Assistance: April 2015 – April 2018

Funding Priorities: The most likely successful applications will demonstrate:

- Magnitude of achievable energy or cost savings across the Alaska Native community at completion of technical assistance.
- Likelihood of implementation of roadmap activities developed through technical assistance. This will be evaluated by explanation within the application of previous efforts to implement energy efficiency and renewable energy, the existence of an energy goal, and the identification of an energy champion.
- Commitment from Alaska Native community leadership, as well as key community stakeholders, showing support for START technical assistance.
- Likelihood of implementation of a renewable energy or energy efficiency project(s). This will be evaluated through existing feasibility or resource assessment studies or identified project designs or descriptions.
- Involvement in DOE- or other agency-sponsored technical assistance, trainings, or workshops.
- Dedicated effort to addressing climate resiliency and/or adaptation planning.

Recent Funding Activities:

- Arctic Village Council: Assistance with optimizing the community's powerhouse generators, supporting Power Cost Equalization (PCE) reinstatement, installing pre-pay meters for residential buildings, and exploring feasibility of community-scale solar energy generation.
- Native Village of Kwinhagak/ Quinhagak: Assistance with developing a community energy plan, increasing residential energy efficiency, implementing a heat recovery project, producing a wind-to-heat report, and preparing the village to pursue additional funding opportunities.
- Native Village of Teller: Assistance to address long-term bulk fuel storage capacity needs; identify opportunities to increase residential energy efficiency, including education and training; implement retrofits and repairs for public facilities; and prepare for additional funding opportunities.
- Organized Village of Kake: Assistance with developing a community energy plan, relocating a wind met-tower closer to the village, conducting biomass and hydro generation feasibility studies, identifying bulk diesel improvements, and initiating residential energy efficiency activities.
- Venetie Village Council: Assistance with developing a community energy plan, repairing public utility generation infrastructure, supporting PCE reinstatement, developing a utility rate structure, installing solar photovoltaic monitoring equipment, and conducting a biomass feasibility study with project design review.

Additional Information: To apply for climate resilience technical assistance, complete the online technical assistance request form at the following website: [http://apps1.eere.energy.gov/tribalenergy/request\\_assistance.cfm](http://apps1.eere.energy.gov/tribalenergy/request_assistance.cfm)

## Environmental Protection Agency (EPA)

| Alaska Native Village Grant                                                                                                                  |                                                                                                                                                                                                          |                                                                                                                                                                                             |                                                                                                                                                                                                                                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                              | Phone/Fax                                                                                                                                                                                                | Email Address                                                                                                                                                                               | Website                                                                                                                                                                                                                                                       |
| Department of Environmental Conservation<br>Division of Water<br>410 Willoughby Ave., Ste. 303,<br>P.O. Box 111800, Juneau, AK<br>99811-1800 | Region 10-Dennis Wagner<br>Phone: (907) 271-3651<br>Fax: (907) 271-3424<br><br>EPA HQ-Matthew Richardson<br>Phone: (202) 564-2947<br>Fax: (202) 501-2396<br><br>State of Alaska<br>Phone: (907) 269-7613 | Dennis Wagner<br><a href="mailto:wagner.dennisx@epa.gov">wagner.dennisx@epa.gov</a><br><br>Matthew Richardson<br><a href="mailto:richardson.matthew@epa.gov">richardson.matthew@epa.gov</a> | <a href="https://www.epa.gov/small-and-rural-wastewater-systems/alaska-native-villages-and-rural-communities-water-grant-program">https://www.epa.gov/small-and-rural-wastewater-systems/alaska-native-villages-and-rural-communities-water-grant-program</a> |

Purpose: To assist Alaska Native Villages and Alaska rural communities with the construction of new or improved drinking water and wastewater systems. Communities can also use the funding for training and technical assistance in system operations and maintenance. EPA provides funds to the Alaska Department of Environmental Conservation (DEC) to address the needs of rural and native Alaska communities. The DEC, in turn, administers these funds through its Village Safe Water (VSW) program. [The VSW program's goal is "to improve public health and compliance with environmental laws by upgrading the level of sanitation facilities in rural \[Alaskan\] communities through financial and technical assistance."](#)

Alaska DEC VSW program: Communities apply each year to VSW for grants for sanitation projects. The applications are scored based heavily on the proposed project's ability to address assessed critical public health needs, as well as the communities' demonstrated capacity to operate and maintain the facilities. Grants are awarded for the highest ranking projects. In some cases, funding is awarded by VSW through the Alaska Native Tribal Health Consortium to assist communities in design and construct of sanitation projects.

Program Activities: The program is planning, designing and constructing new and or improved water and wastewater infrastructure in various communities throughout the State of Alaska to improve the health and sanitation conditions in rural Alaska. The ANV Program provides technical support to communities to design and construct water and wastewater systems. It is meant to assist Alaska Native Villages and Alaska rural communities with the construction of new or improved drinking water and wastewater systems. This funding can also be used to provide training and technical assistance in the operations and maintenance of these systems.

## Recent Funding Activities

- Water Storage Tanks
- Sewage Lagoons
- Water and Sewer Service Lines and Mains
- Sewage Lift Stations
- Water Treatment Plants
- Community Water Source

Eligible Applicants: The applicant must be an unincorporated community that has between 25 and 600 people; a second-class city (no population limits); or a first class city with not more than 600 residents.

## Restrictions/Requirements:

- Funds appropriated for the ANV Program may not be awarded solely to repay loans received from a State Revolving Fund or other indebtedness unless there are explicit instructions to do so in the annual Appropriations Act.
- Funded infrastructure projects will be administered by the State of Alaska in accordance with the EPA Alaska Rural and Native Villages Program Management Control Policy. This Policy identifies typical project schedules, expenditure rates and corrective actions in the event projects vary significantly. Contact the ANV program contacts listed at: <https://www.epa.gov/small-and-rural-wastewater-systems/forms/contact-us-about-small-and-rural-wastewater-systems> to request a copy of this policy.
- Funds are to provide infrastructure to served year round occupied homes

Special Application Procedures/Requirements: A Preliminary Engineering Report (Report) is a planning document required by many state and federal funding agencies as part of the process of obtaining financial assistance for development of drinking water, wastewater, solid waste, and stormwater facilities. The ANV program recommends that all projects use the standardized Preliminary Engineering Report (PER) template to develop and submit a PER. The PER is consistent with requirements across five different federal agencies that build tribal water & wastewater infrastructure. The template is available at <https://www.epa.gov/sites/production/files/2015-07/documents/preliminary-engineering-report-508.pdf>.

Estimated Application Cycle: Check the State of Alaska Village Safe Water Program for the next application cycle.  
<http://dec.alaska.gov/water/GrantsApply>.

## EPA Recent Funding Priorities:

- Health, Sanitation, and Security. Application should describe concerns and include relevant regulations and correspondence from/to federal and state regulatory agencies. Include copies of such correspondence as an attachment to the report.
- Aging Infrastructure. Application should describe the concerns and indicate those with the greatest impact. Describe water loss, inflow and infiltration, treatment or storage needs, management adequacy, inefficient designs, and other problems. Describe any safety concerns.

- Reasonable Growth. Application should describe the reasonable growth capacity that is necessary to meet needs during the planning period. Facilities proposed to be constructed to meet future growth needs should generally be supported by additional revenues. Consideration should be given to designing for phased capacity increases. Provide number of new customers committed to this project.

Alaska Priority List: The Multi-Year Priority List is used to identify capital projects will receive funding through the Village Safe Water Program. It includes projects which require multiple years to complete design and construction. Projects are added to the list when communities submit applications that receive high overall scores. Scoring is based primarily on critical health-related needs and local capacity to operate and maintain existing facilities. The current list is available at: <http://dec.alaska.gov/water/vsw/vswcpj.html>

| Funding Information                                                                                                                                      |                      |                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                              |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available                                                                                                                                    | Average Award Amount | Match Requirements                                                                                                                                                                                                                                                  | Other                                                                                                                                                                                                                                                                                                                                                                                                        |
| The amount available to the State of Alaska will vary annually based on the funding levels in future Federal Appropriations Acts.<br>FY 16- \$20,000,000 | FY16 \$2,000,000     | 50% *The US Department of Agriculture, Rural Development (USDA-RD) program funds may be used to provide all or part of the program match requirement for ANV Program grants. Also, funds from other federal sources can be used if specifically allowed by statute. | The annual appropriations act identifies a specific percentage that the State of Alaska must provide each year, for example in FY 2016, the Consolidated Appropriations Act, 2016, required "... the State of Alaska shall provide a match of 25 percent." The programmatic match requirement is, therefore, identified as 50 percent, of which at least 25 percent must be provided by the State of Alaska. |

## Environmental Protection Agency (EPA)

| Clean Water Act Indian Set-Aside Program                                                            |                       |               |                                                                                                                                                                                                       |
|-----------------------------------------------------------------------------------------------------|-----------------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                     | Phone/Fax             | Email Address | Website                                                                                                                                                                                               |
| Environmental Protection Agency<br>1200 Pennsylvania Avenue, N.W.<br>#4201M<br>Washington, DC 20460 | Phone: (202) 564-0748 | Unavailable   | <a href="https://www.epa.gov/small-and-rural-wastewater-systems/clean-water-indian-set-aside-program">https://www.epa.gov/small-and-rural-wastewater-systems/clean-water-indian-set-aside-program</a> |

Purpose: The program mission is to protect public health and the environment by improving wastewater sanitation facilities for tribes. Two percent of the CWA SRF annual Federal appropriation is provided for wastewater infrastructure to Indian tribes and Alaska Native Villages. The CWISA Program is administered in cooperation with the Indian Health Service (IHS). EPA uses the IHS Sanitation Deficiency System priority lists to identify and select projects for CWISA program funding. To be considered for CWISA Program funding, tribes must identify their wastewater needs to the IHS Sanitation Deficiency System. [https://www.epa.gov/sites/production/files/2015-11/documents/cw\\_indian\\_set-aside\\_program\\_guidance.pdf](https://www.epa.gov/sites/production/files/2015-11/documents/cw_indian_set-aside_program_guidance.pdf)

Program Activities: Only those projects that are listed in the SDS database are eligible for CWISA funding. To improve the health and sanitation conditions in Alaska Native Villages, the program funds planning, design and construction of new and or improved wastewater infrastructure for Federally Recognized Tribes and Alaskan Native Villages in various communities throughout the State of Alaska. To the greatest extent practicable, projects should include sustainability concepts to ensure the wastewater infrastructure meets or exceeds its design life.

Costs associated with planning and design that may be funded by CWISA include:

- Preparation of a preliminary engineering report;
- Development of planning and design documentation; and
- Travel costs associated with planning and design, site inspections, and construction administration.

Examples of eligible construction activities under the CWISA program include:

- Acquisition of land necessary for construction of treatment works;
- Construction of centralized wastewater treatment facilities (conventional or alternative);
- Major sewer rehabilitation;

- Decentralized, onsite wastewater treatment systems, including both new and replacement systems;
- Collector sewer pipelines;
- Correction of combined sewer overflow systems;
- Construction of public modular bathrooms provided that wastewater is treated (e.g., composted or incinerated) within the bathroom system;
- Construction of wastewater treatment systems that include grey water reuse or water recycling components;
- Construction of ancillary plumbing facilities such as a bathroom or laboratory sink within a new or upgraded treatment works plant;
- Infrastructure associated with biosolids management, such as equipment to support sludge drying, transportation, pelletization and/or land application;
- Wastewater collection and treatment for homes built with HUD funds;
- Purchase of wastewater pumping & hauling vehicles;
- Lateral/service lines to existing homes that will increase access to basic sanitation; and
- Temporary signage during construction with EPA seal or logo describing the amount of EPA funds that were used for construction or a permanent sign with EPA logo or seal attached to above ground structures.

Examples of CWISA funded project follow up activities include:

- Drafting as-built drawings of the funded wastewater treatment system(s);
- Developing site specific operation and maintenance (O&M) manuals for equipment directly associated with the project;
- Creating and posting a certificate to operate;
- Replacement parts (e.g., pumps, motors) for equipment directly associated with the project and necessary to ensure uninterrupted operation of the facility, provided they are critical parts or major systems components;
- The cost of a reasonable inventory of laboratory chemicals and supplies necessary to initiate plant operations and laboratory items necessary to conduct tests required for plant operation for one year following construction completion and for equipment directly associated with the project;
- Cost of routine sampling and monitoring for one year following construction completion;
- Costs associated with the training of operators on new equipment or infrastructure as long as training occurs within one year of construction completion.
- Costs for mobile equipment necessary for the operation of the overall wastewater treatment facility, transmission of wastewater or sludge, or for the maintenance of equipment; and
- Costs of a qualified nonprofit entity, as determined by the Administrator, to provide assistance to small and medium Publicly Owned Treatment Works (POTWs) to achieve compliance.

Eligible Applicants: All federally recognized tribes, Alaska Native Villages, and tribes on former reservations in Oklahoma are eligible for CWISA

Program funds.

Restrictions/Requirements: Annually in the fall, IHS takes a “snapshot” of the database and this “snapshot” is used to establish tribal wastewater need by IHS area. Projects listed in the “snapshot” are the only ones that are eligible for CWISA funding in that FY. CWISA program funds can only be used for projects that will increase a tribe’s or ANV’s access to wastewater sanitation, and these projects must be listed in the IHS SDS database.

Special Application Procedures/Requirements for Direct Grants: CWISA recipients are subject to assistance agreement regulations, Office of Management and Budget (OMB) cost principles, the Cash Management Improvement Act, and Agency policies. In addition, the region must determine that the tribe has the necessary capacity to successfully complete and manage the project. CWISA projects that are administered through direct grants with EPA are exempt from National Environmental Policy Act (NEPA) requirements; however, there is a voluntary NEPA process available to tribes.

- A Preliminary Engineering Report (Report) is a planning document required by many state and federal funding agencies as part of the process of obtaining financial assistance for development of drinking water, wastewater, solid waste, and stormwater facilities. The CWISA program recommends that all projects use the standardized Preliminary Engineering Report (PER) template to develop and submit a PER. The PER is consistent with requirements across five different federal agencies that build tribal water & wastewater infrastructure. The template is available at <https://www.epa.gov/sites/production/files/2015-07/documents/preliminary-engineering-report-508.pdf>.

Special Application Procedures/Requirements for IHS Interagency Agreement (IA) Awards: Under an IA, the tribe must meet the IHS’s requirements for the project (e.g., environmental review, historic preservation, archeology, etc.).

Estimated Application Cycle: The IHS evaluation methodology is initiated in April and completed August 1.

#### Evaluation Methodology:

Each IHS area uses the same SDS project evaluation methodology, which considers eight factors with unique point ranges. IHS areas use these eight factors and point ranges to uniformly evaluate proposed sanitation facility projects within an area. The eight factors and their relative importance (described as a point spread) are listed below:

- Health impact (0 to 30 points): The reporting of a disease or other adverse human health effect that is directly attributable to water, sewer, or solid waste, or a water, sewer, or solid waste condition that could adversely impact human health, but has not affected it at that time.
- Existing DL (0 to 18 points): Each DL is assigned points.
- Adequate previous service (0 to 4 points): Piped water and sewer were brought into the home (except for some remote arctic and desert locations) by IHS or with other federal funds and the sanitation facilities provided met the existing standards at the time.
- Capital cost (-20 to 16 points): The unit cost of the proposed facilities is compared to the average unit cost (not the allowable unit cost) of all water, sewer, and solid waste services in the IHS area.



- Local tribal priority (0 to 16 points): IHS area offices consult with tribes. Tribal priority setting should be based on established tribal procedures which give consideration to health conditions.
- O&M capability (0 to 16 points): Based on past performance and current tribal intent and capability. The "ability to afford" the proposed facilities should be factored into the score.
- Tribal contributions (0 to 8 points): This is an optional factor per collective tribal consultation and should be applied uniformly for all tribes and all projects across the IHS area. Area offices are instructed to prorate points based on the amount of contributions received from other sources. The contributed funds must be available to be spent during the next fiscal year.
- Local conditions (0 to -15 points): This factor is only used as a negative value and only with specific tribal concurrence. Points for this factor should only be assigned in unusual situations and only by the Director, Division of Sanitation Facilities Construction, for the IHS area. Some reasons for assigning points under this factor might be the need to phase projects, a backlog of current projects, or project impediments such as legal or jurisdictional disputes.

| Funding Information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |               |                    |       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|--------------------|-------|
| Total Funds Available                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Average Award | Match Requirements | Other |
| \$30,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | \$500,000     | None               |       |
| Funding is allocated to the regions based on the tribal wastewater infrastructure needs that are listed in the IHS SDS database. The funds for planning and design costs can either be provided to the tribe at the time a construction grant is awarded or they can be provided in advance of construction, if the project is for a community that otherwise could not complete an application for a construction grant. EPA issues all or the vast majority of funds to the Indian Health Service for Administration. Tribes may also apply for CWSRF loan funds from the state in which the project is located in addition to requesting grant funding through the CWISA program. |               |                    |       |

## Environmental Protection Agency (EPA)

| Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program       |                                  |                                                                                                                                                               |                                                                                                                                                                                                                                                           |
|-----------------------------------------------------------------------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                         | Phone/Fax                        | Email Address                                                                                                                                                 | Website                                                                                                                                                                                                                                                   |
| OECA-OEJ<br>WJC-S<br>Mail Code 2201A<br>1200 Pennsylvania Ave NW<br>Washington DC 20460 | Region 10<br>Phone: 206-553-0250 | Region 10<br><a href="mailto:stohts.sheryl@epa.gov">stohts.sheryl@epa.gov</a><br><br>OEJ HQ<br><a href="mailto:burney.jacob@epa.gov">burney.jacob@epa.gov</a> | <a href="https://www.epa.gov/environmental-justice/environmental-justice-collaborative-problem-solving-cooperative-agreement-0">https://www.epa.gov/environmental-justice/environmental-justice-collaborative-problem-solving-cooperative-agreement-0</a> |

**Purpose:** Provides funding for eligible applicants for projects that address local environmental and public health issues within an affected community. The CPS Program assists recipients in building collaborative partnerships to help them understand and address environmental and public health concerns in their communities. The program is designed to support community-based organizations to collaborate and partner with other stakeholders (e.g., industry, government, academia, etc.) as they develop and implement solutions that address environment and/or public health issue(s) at the local level.

**Program Activities:** The Collaborative problem-solving involves developing and implementing a well-designed strategic plan with a built-in evaluation component to measure and achieve results on local environmental and/or public health issues and to sustain the partnerships. A key starting point for any collaborative problem-solving project is the identification of an environmental and/or public health issue and the community's leadership in formulating goals (e.g., diesel reduction, lead abatement, reducing high incidence of asthma, land use changes, pollution prevention, implementation of fish advisories, hazardous waste, emissions reduction, risk reduction, community cleanups, or compliance with local environmental and/or public health regulations, etc.) These elements are cross-cutting and interdependent and should be utilized in a proactive, strategic, and iterative manner. The CPS Model and its seven elements can be viewed as a "tool box," are as follows:

1. Issue Identification, Visioning, and Strategic Goal-Setting;
2. Community Capacity-Building and Leadership Development;
3. Development of Multi-Stakeholder Partnerships and Leveraging of Resources;
4. Consensus Building and Dispute Resolution;
5. Constructive Engagement with Other Stakeholders;
6. Sound Management and Implementation; and
7. Evaluation

Projects must use the CPS Model, comprised of seven elements of a successful collaborative partnership, to address local environmental and/or public health issues.

**Eligible Applicants:** An eligible applicant must be one of the following: Nonprofit organization; Federally recognized tribal governments; Native American Organizations (includes Indian groups, cooperatives, partnerships, associations). Eligible entities must be located within the same state, territory, commonwealth, or tribe that the proposed project will be located.

**Restrictions/Requirements:** Proposed project activities must relate to at least one of the following federal environmental statutes. Failure to identify at least one of the federal statutes in the proposal narrative may result in your project not being considered for award. Proposals that address more than one statute will not benefit by receiving higher scores.

1. Clean Air Act, Section 103(b)(3)
2. Clean Water Act, Section 104(b)(3);
3. Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a);
4. Marine Protection, Research, and Sanctuaries Act, Section 203;
5. Safe Drinking Water Act, Section 1442(b)(3)
6. Solid Waste Disposal Act, Section 8001(a); and
7. Toxic Substances Control Act, Section 10(a)

Proposals submitted should address the following program objectives:

1. Address local environmental and/or public health issue(s)
2. Demonstrate the utility of the Environmental Justice Collaborative Problem Solving Model (EJCPS Model)
3. Engage, educate and empower
4. Partner and collaborate

**Special Application Procedures/Requirements:**

- Proposals must substantially comply with the proposal submission instructions. Initial proposals must be submitted through Grants.gov on or before the submission deadline. Projects must explain how it is tied to the local environmental and/or public health issue in the affected community.
- Applicants must have at least THREE partners from at least THREE different stakeholder groups.
- Applicants must ensure a minimum of THREE signed letters of commitment.
- Only proposals from eligible entities that meet all criteria will be evaluated against solicitation ranking factors. Proposals will be evaluated on the extent and quality of how well the project addresses program objectives and allowable activities.

- Applicants will also be evaluated based on their approach, procedures and controls for ensuring that awarded grant fund will be expended in a timely and efficient manner.
- All EJCPS recipients will be required to attend a two-day virtual training workshop, in early January 2017. Recipients will need to identify one authorized official to participate. The purpose of this training is to help the recipient with strategic planning and management of cooperative agreements, as well as afford recipients opportunities to learn from their peers and other experts

If an applicant does not have the technical capability to apply electronically through grants.gov because of limited or no internet access which prevents them from being able to upload the required application materials to Grants.gov, the applicant must contact OGDWaivers@epa.gov or the address listed below in writing (e.g., by hard copy or email) at least 15 calendar days prior to the submission deadline under this announcement to request approval to submit application materials through an alternate method.

Estimated Application Cycle: The FY17 application period is expected to open October 2017 and close sometime in January 2018. Funded assistance agreements will have a two-year project period. The estimated project period start date for awards is expected to be September 2018.

| Funding Information   |                 |                                                                 |                           |
|-----------------------|-----------------|-----------------------------------------------------------------|---------------------------|
| Total Funds Available | Average Award   | Match Requirements                                              | Other                     |
| FY16 \$1,200,000      | Up to \$120,000 | For FY17, no matching funds are required under this competition | One EPA award per region. |

## Environmental Protection Agency (EPA)

| Environmental Justice Small Grants                                                              |                                                                                                                           |                                                                                                                                      |                                                                                                                                                                                       |
|-------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                 | Phone/Fax                                                                                                                 | Email Address                                                                                                                        | Website                                                                                                                                                                               |
| Environmental Justice Small Grants<br>USEPA Region 10<br>1200 Sixth Avenue<br>Seattle, WA 98101 | OEJ HQ Contact<br>Jacob Burney<br>Phone: (202) 564-2907<br><br>Region 10 Contact<br>Sheryl Stohs<br>Phone: (206) 553-0250 | <a href="mailto:burney.jacob@epa.gov">burney.jacob@epa.gov</a><br><br><a href="mailto:stohs.sheryl@epa.gov">stohs.sheryl@epa.gov</a> | <a href="https://www.epa.gov/environmentaljustice/environmental-justice-small-grants-program">https://www.epa.gov/environmentaljustice/environmental-justice-small-grants-program</a> |

**Purpose:** The Environmental Justice Small Grants (EJSG) Program provides funding for eligible applicants for projects that address local environmental and public health issues within an affected community. The EJSG Program is designed to help communities understand and address exposure to multiple environmental harms and risks. Applying organizations are encouraged to have a direct connection to the vulnerable affected, community impacted by environmental harms and risks. The long-term goals of the EJSG Program are to help build the capacity of communities with environmental justice concerns and to create self-sustaining, community-based partnerships that will continue to improve local environments in the future.

**Program Activities:** EJSG supports activities designed to empower and educate affected communities to understand environmental and public health issues and to identify ways to address these issues at the local level. Workplans should include strategies for addressing local environmental and public health issues, educating and empowering the community about those issues, and approaches to building consensus and setting community priorities.

**Recent Funding Activities:**

Chickaloon Native Village (traditional ancestral territory), Alaska

**Project Title:** Promoting Environmental Justice, Public Health, and Climate Resiliency in Response to Three Industrial-Scale Coal Mining Projects Proposed in the Fastest Growing Community in Alaska

This project seeks to educate the local community, empower them to take action regarding public health, air, water quality, fish, wildlife health, and climate in response to proposed coal surface strip mining. In addition, the community will increase their awareness of the connection between coal

surface strip mining, transporting, exporting, and consumption in relation to climate impacts, how climate impacts are being experienced locally, statewide, nationally, and globally.

### Beyond Toxics, West Eugene, Oregon

Project Title: New Minority Residents in West Eugene: Strengthening public health and community resilience in an environmental justice community

This project is designed to achieve community-identified solutions and accomplish measurable results by identifying areas of vulnerability, collecting community data, and building community effectiveness. This project seeks to help residents learn about how protecting natural and local wetlands can reduce the risk of flooding in flood prone areas.

Eligible Applicants: Incorporated non-profit organizations including, but not limited to, environmental justice networks, faith based organizations and those affiliated with religious institutions; federally recognized tribal governments; or tribal organizations. Applicants must be located within the same region, territory, commonwealth, or tribe in which the proposed project is located. In addition, eligible applicants must demonstrate that they have worked directly with the affected community.

Restrictions/Requirements: Proposed projects must not be used for the purposes of routine program implementation, implementation of routine environmental protection restoration measures, or completion of work which was to have been completed under a prior grant. Failure to identify/cite and relate to at least one of the following federal environmental statutes in the proposal narrative may result in your project not being considered for award.

Special Application Procedures/Requirements: All completed application packages must be submitted electronically to EPA through Grants.gov (<http://www.grants.gov>) no later than January 31, 2017, 11:59 p.m., Eastern Time (ET). Allow for enough time to successfully submit your application for processing and allow for unexpected errors that may require you to resubmit. Applications submitted through Grants.gov will be time and date stamped electronically.

Applicants whose projects support community climate resiliency must self-identify in the work plan. The work plan should demonstrate how the proposed project will increase the adaptive capacity and or reduce vulnerability in the affected community. Each project must include activities that are authorized by one of the federal environmental statutes listed in the funding announcement.

The EJSG Program is designed to address multi-statute environmental and/or public health issues. For this reason, each project must include activities related to **at least one** of the following federal environmental statutes. Any failure to clearly and conclusively identify and cite at least one of the federal statutes in your work plan may result in your project not being considered for award. Awards will be made in the form of one-year federal grants from EPA regional offices in amounts of up to approximately \$30,000 per award for projects related to at least one of the seven statutes

1. Clean Air Act, Section 103(b)(3)

2. Clean Water Act, Section 104(b)(3);
3. Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a);
4. Marine Protection, Research, and Sanctuaries Act, Section 203;
5. Safe Drinking Water Act, Section 1442(b)(3)
6. Solid Waste Disposal Act, Section 8001(a); and
7. Toxic Substances Control Act, Section 10(a)

**Estimated Application Cycle:** The FY17 application period opened on November 1, 2016, and will close on January 31, 2017. Selected finalists are expected to be notified in May 2017. All awards are subject to the availability of funds, the quality of the proposals submitted, and other applicable considerations.

**Funding Priorities:** The FY 2017 EJ SG places special emphasis on high ranking proposals to be performed in Underrepresented States as defined by the program. This means a project's location in an Underrepresented State may be a factor when making final selections. The goal of this emphasis is to encourage and increase project performance in underrepresented states in the EJSG program.

EPA emphasis will focus on projects proposed by eligible organizations that have not received an EPA Environmental Justice Grant award since FY2012. This means that EPA may factor in an applicant's award history with the EPA EJ grant programs when making final selections among high ranking proposals.

| Funding Information   |                |                                                                                                   |                                                                                                                                 |
|-----------------------|----------------|---------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available | Average Award  | Match Requirements                                                                                | Other                                                                                                                           |
| FY15 \$1,200,000      | Up to \$30,000 | No cost-sharing or matching is required as a condition of eligibility or otherwise considered for | Each year funds are made available and divided equally among the ten EPA regions where the actual grant is awarded and managed. |

## Environmental Protection Agency (EPA)

| Indian General Environmental Assistance Program (IGAP)                  |                                                                     |                                                           |                                                                                                                                                           |
|-------------------------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                         | Phone/Fax                                                           | Email Address                                             | Website                                                                                                                                                   |
| U.S. EPA, Region 10, 1200 Sixth Avenue, TTAU-202 Seattle, WA 98101-1128 | Region 10 Tribal Trust and Assistance Unit<br>Phone:1-800-424-4372. | Sally Thomas<br>TTAU Unit Manager<br>thomas.sally@epa.gov | <a href="https://www.epa.gov/tribal/region-10-tribal-environmental-gap-funding">https://www.epa.gov/tribal/region-10-tribal-environmental-gap-funding</a> |

**Purpose:** Provide Indian General Environmental Assistance Program (GAP) grants to federally recognized tribes and tribal consortia for planning, developing, and establishing environmental protection programs. The GAP program is exempt from competition, therefore, applications that meet the stated requirements in program regulations and guidance will be funded if funds are available.

**Program Activities:** Funding is provided under GAP for the purposes of planning, developing, and establishing tribal environmental protection programs. For example, a tribe could use GAP funds to develop a climate change adaptation plan or to establish environmental protection programs that compliment non-environmental protection programs. Activities related to establishing environmental education, outreach, public participation, compliance assistance, and coordination programs for tribal environmental staff to work effectively with regulated entities are allowable.

**Specific examples of allowable activities:** climate change vulnerability/risk assessment; climate change preparedness/adaptation program (e.g., zoning rules and regulations; tax incentives; building codes/design standards; utility rates/fee setting; public safety rules and regulations); outreach and education; emergency management powers; community outreach/education programs; developing voluntary or partial environmental protection programs; participating in environmental policy making; coordinating with EPA or other federal agencies on the implementation of federal environmental protection programs; and entering into joint environmental protection programs with neighboring tribal, state, or local environmental agencies.

**GAP funding related to tribal solid and hazardous waste programs** is unique in that the GAP statute provides EPA with the authority to fund both the development of program capacity as well as program implementation in accordance with the purposes and requirements of applicable provisions of law, including the Solid Waste Disposal Act (42 U.S.C. 6901 et seq.).

**Eligible Applicants:** All federally recognized tribes in Region 10 are eligible to receive funds. Tribal consortia that meet the eligibility requirements may also receive funding, if available.

**Restrictions/Requirements:** In 2020, the Solid Waste provisions of the 2013 GAP Guidance will apply to all IGAP Grantees. Until then, solid waste



implementation is allowed under an exception to the guidance.

**Special Application Procedures/Requirements:** Current GAP grantees should submit their draft proposal (with budget, work plan, and narrative if applicable) to their EPA Project Officer. New applicants that have not received a GAP grant before should mail or email their proposal (with budget, work plan, and narrative if applicable) to Sally Thomas, TTAU Unit Manager, US EPA, Region 10, 1200 Sixth Avenue, TTAU-202, Seattle, WA 98101-1128, [thomas.sally@epa.gov](mailto:thomas.sally@epa.gov).

**Submission Instructions:** After EPA and grantees complete negotiations of GAP work plans and budgets, Project Officers will ask applicants to submit a full application package. Letters/emails will include:

- the amount of tentatively approved funding;
- any final requests for revisions; and
- the due date by which the revised application package must be submitted in Grants.gov

**Environmental Plans:** All tribal government grantees must negotiate a joint EPA-Tribal Environmental Plan with the Agency by September 30, 2018. Tribes that do not yet have an ETEP should add a commitment to complete one to their FY18 work plans.

**Estimated Application Cycle:** The FY16 application period opened on mid October 2016 and will close on January 17, 2017. Tribes typically apply for new funding every year. However, current GAP recipients may apply for multi-year funding to reduce their administrative burden if they are completing proposed activities as scheduled, submitting progress reports on time, fulfilling close-out requirements, regularly drawing funds down, and meeting all other grant requirements.

**Funding Priorities:** Based on Region 10's GAP budget allocation, EPA will prioritize applicant funding in the following order:

- (1) Individual Tribes. The primary purpose of GAP grants is to build tribal capacity for developing and administering environmental protection programs. Therefore, providing GAP grants to individual tribal governments is the highest priority.
- (2) Intertribal Consortia. EPA will consider funding for intertribal consortia after it has funded individual tribes' proposals. Consortia work plans must meet the capacity building needs of member tribes without duplicating individual tribal efforts.

**Recent Funding Activities:**

- Akiak, AK is a traditional subsistence-based Yup'ik Eskimo community located on the Yukon/Kuskokwim delta. Concerns over leachate from the landfill affecting water quality and subsistence in the Kuskokwim River, motivated Akiak Native Community's IGAP to create an environmental committee and start a community recycling program to reduce the amount of trash that goes to the landfill.  
<https://www.epa.gov/sites/production/files/2016-01/documents/r10-igap-success-story-akiak.pdf>

- The Cow Creek Band of Umpqua Tribe of Indians used their GAP grant to expand GIS (Geographic Information Systems) applications into their programs. The use of GIS allows them to create and analyze computerized maps essential to their strategic growth.  
<https://www.epa.gov/sites/production/files/2016-01/documents/r10-igap-success-story-cowcreek.pdf>

Additional Solid Waste Funding: Beginning in FY21, some solid waste implementation activities will no longer be funded through GAP, including trash/recycling collection, transportation/backhaul, and disposal services. Region 10 is offering an opportunity for tribes and intertribal consortia to request additional funding for these activities in FY18. If GAP funds are available, EPA will award up to \$30,000 for solid waste activities per tribe or consortium that requests it and meets the qualifying criteria. To qualify for additional solid waste funding, tribes and/or consortia must:

- have an Integrated Waste Management Plan in place;
- identify solid waste planning and capacity-building activities as a component in their work plan, and include the specific capacity indicators the tribe will address;
- identify solid waste implementation activities (i.e., materials trash/recycling collection, transportation/backhaul, and/or disposal services) as an additional component in their FY18 work plans, and include the specific capacity indicators the tribe will address; and
- include solid waste as a priority in their ETEP

Additional Information:

- EPA's Tribal Solid Waste Costing Tool: designed to help Alaska villages estimate the costs of developing, implementing, and maintaining solid waste programs. The tool will provide cost indexes tailored to different regions of Alaska and will be posted at [www.epa.gov/tribal/region-10-tribal-program](http://www.epa.gov/tribal/region-10-tribal-program).
- Landfill Reports: The State of Alaska's Solid Waste Information Management System contains landfill reports from the State of Alaska on community waste management practices and systems. EPA encourages tribes in Alaska that are developing a solid waste component related to landfills to visit <http://dec.alaska.gov/Applications/EH/SWIMS/Default.aspx> and to include specific tasks in their work plans that address landfill deficiencies. <http://dec.alaska.gov/Applications/EH/SWIMS/Default.aspx> and to include specific tasks in their work plans that address landfill deficiencies.

| Funding Information   |                                                                      |                                                                |                                                                                                                                                                                    |
|-----------------------|----------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available | Average Award                                                        | Match Requirements                                             | Other                                                                                                                                                                              |
|                       | Grantees may request funding between \$75,000 and \$128,000 for FY18 | The GAP grant does not require cost sharing or matching funds. | Additional solid waste funding:<br>Provided that GAP funds are available in FY18, EPA will fund up to \$30,000 for solid waste activities per tribe or consortia that requests it. |

## Environmental Protection Agency (EPA)

| Office of Water Climate Ready Water Utilities Program (CRWU) – TECHNICAL ASSISTANCE ONLY                                                             |                                                                      |                                                                                                                                                                                   |                                                                                                                                                       |
|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                      | Phone/Fax                                                            | Email Address                                                                                                                                                                     | Website                                                                                                                                               |
| U.S. EPA Office of Ground Water and Drinking Water<br>Water Security Division<br>Mail Code 4608T<br>1200 Pennsylvania Ave NW<br>Washington, DC 20460 | EPA Region 10 -Seattle<br>Phone: (206) -553-1200<br>-or 800-424-4372 | <a href="https://www.epa.gov/crwu/forms/contact-us-about-creating-resilient-utilities-crwu">https://www.epa.gov/crwu/forms/contact-us-about-creating-resilient-utilities-crwu</a> | <a href="http://water.epa.gov/infrastructure/watersecurity/climate/index.cfm">http://water.epa.gov/infrastructure/watersecurity/climate/index.cfm</a> |
| Alaska Operations Office<br>222 West 7th Ave. #19<br>Anchorage, AK 99513-7588                                                                        | Alaska Operations Office<br>(907) 271-5083                           |                                                                                                                                                                                   |                                                                                                                                                       |

**Purpose:** Assists drinking water, wastewater, and stormwater utilities, in addressing climate change impacts. Through the development of practical and easy-to-use tools, EPA promotes a clear understanding of climate science and adaptation options by translating complex climate projections into accessible formats. This information helps utility owners and operators better prepare their systems for the impacts of climate change.

**Program Activities:** Extreme weather events, sea level rise, shifting precipitation patterns and temperature variability, all intensified by climate change, have significant implications for the sustainability of the water sector. By planning for, assessing and adapting to these challenges, the water sector can fulfill their public health and environmental missions and begin the process of becoming climate ready.

**Eligible Applicants:** All water utilities can ask for assistance.

## Department of Health and Human Services (HHS)

| Administration for Children and Families (ACF) / Administration for Native Americans (ANA) Social and Economic Development Strategies for Alaska |                                           |                         |                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|-------------------------|--------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                  | Phone/Fax                                 | Email Address           | Website                                                                        |
| ANA Help Desk Switzer Building -330 C ST, SW Washington, DC 20201                                                                                | Phone: (877) 922-9262 Fax: (202) 690-7441 | anacomments@acf.hhs.gov | <a href="http://www.acf.hhs.gov/programs/ana">www.acf.hhs.gov/programs/ana</a> |

Purpose: ANA promotes social and economic self-sufficiency in communities through SEDS grants. These competitive financial assistance grants support locally determined projects designed to reduce or eliminate community problems and achieve community goals.

### Program Activities:

- Governance: Governance is defined as increasing the ability of tribal and Alaska Native Village governments to exercise local control and decision-making, and to develop and enforce laws, regulations, codes, and policies that reflect and promote the interests of community members.
- Economic development: Projects that support the creation of sustainable local economies and promote self-sufficiency.
- Social Development: Projects that develop and implement culturally appropriate strategies to meet the social service needs of Alaska Natives.

Eligible Applicants: Federally recognized Indian tribes in Alaska; Alaska Native Villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit Village consortia; incorporated nonprofit Alaska Native multi-purpose community-based organizations; Nonprofit Alaska Native Regional Corporations in Alaska with village-specific projects; and nonprofit Native organizations in Alaska with Village specific projects

### Restrictions/Requirements:

- Limitation on the Number of Awards: Under a Single CFDA Number: Grantees can have only one active grant per Catalog of Federal Domestic Assistance (CFDA) number.
- Limitation on the Number of Awards Based on Two Consecutive Funding Cycles: ANA will maximize the reach of its limited funding. Therefore, applicants that have received funding from ANA for at least two consecutive projects within one CFDA number may not be funded for a third consecutive project within the same CFDA number if other applicants who have not received ANA funding in the past three years are within the scoring range to be funded

### Special Application Procedures/Requirements:

- Post Award Training: Applicants must include costs in the budget to attend post award training, which is held in each region. Post-award training is designed to provide newly awarded ANA grantees with information on how to effectively administer, manage, track and report their ANA-funded projects. This is a mandatory training only in the first budget period for the project director and financial administrator to attend. Applicants should follow their own travel policies to budget for this 2-day meeting; however, an average amount is \$3,000.
- Annual ANA Grantee Meeting: Applicants must include costs in the budget to attend an annual ANA-sponsored grantee meeting. Each year, ANA conducts a conference with training and technical assistance workshops and support. However, every other year, this meeting is held in coordination with the Administration for Children and Families' Native American grantee meeting. Attendance at the annual grantee meeting is a requirement of the grant and should be included in each year of the proposed project's budget. The meeting is typically held in the Washington, DC area and it must be attended by two individuals that are directly working on the project. Applicants should follow their own travel policies to budget for this 3-day meeting that is held nationally, however, an average amount is \$5,500 for Alaska.
- Paper submissions: Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format.

Estimated Application Cycle: For FY 2017, the FOA will be open for application submission 90 days prior to the listed due dates. The period of performance is up to 36 months. An initial grant award will be for a 12- month budget period. The award of continuation grants for projects approved for more than one 12-month project period will be subject to the availability of funds.

Funding Priorities: ANA has a preference for funding projects that are community-driven, reflecting a strong relationship between planned activities and intended results, demonstrate a realistic action plan for sustainability, and promote social and economic self-sufficiency in emerging, unserved, or underserved native communities.

| Funding Information   |                                                                             |                    |                                                                                                         |
|-----------------------|-----------------------------------------------------------------------------|--------------------|---------------------------------------------------------------------------------------------------------|
| Total Funds Available | Average Award                                                               | Match Requirements | Other                                                                                                   |
| \$1,000,000           | Average Award: 125,000<br>Award Floor: \$50,000<br>Award Ceiling: \$200,000 | 20%                | Applicants may request a waiver of the 20 percent match requirement. Contact ANA for waiver procedures. |

## Department of Health and Human Services (HHS)

| Administration for Children and Families (ACF) / Administration for Native Americans (ANA) Environmental Regulatory Enhancement |                                              |                                                                      |                                                                                |
|---------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Mailing Address                                                                                                                 | Phone/Fax                                    | Email Address                                                        | Website                                                                        |
| ANA Help Desk Switzer<br>Building -330 C ST, SW<br>Washington, DC 20201                                                         | Phone: (877) 922-9262 Fax:<br>(202) 690-7441 | <a href="mailto:anacomments@acf.hhs.gov">anacomments@acf.hhs.gov</a> | <a href="http://www.acf.hhs.gov/programs/ana">www.acf.hhs.gov/programs/ana</a> |

Purpose: To provide funding for the costs of planning, developing, and implementing programs designed to improve the capability of tribal governing bodies to regulate environmental quality pursuant to federal and tribal environmental laws.

Program Activities: The ERE program supports the principle that projects must follow tribal cultural preservation and natural resource management priorities in order to achieve environmentally healthy, sustainable Native American and Alaska Native communities. The Administration for Native Americans (ANA) is therefore interested in supporting locally designed projects that strengthen tribal environmental regulatory programs in a manner consistent with the goals of native communities. Program areas of interest for this FOA include, but are not limited to, the following:

Providing training and education to employees responsible for enforcing, or monitoring compliance with, environmental quality laws; Developing laws, regulations, and ordinances to protect the environment; Enforcing and monitoring environmental quality laws, regulations, and ordinances; Establishing baseline condition for regulatory purposes; Informing the community about regulations and environmental stewardship; Building the technical and program capability of the tribe or organization to perform essential environmental program functions to meet tribal and federal regulatory requirements; Establishing demonstration projects to exhibit technologies, which can lead to compliance with environmental regulations.

Eligible Applicants: Federally recognized Indian tribes; Consortia of Indian tribes; Incorporated non- federally recognized tribes; Incorporated state-recognized tribes; Alaska Native villages, as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or non-profit village consortia; Non-profit Alaska Native Regional Corporation/Associations in Alaska with village specific projects; Other tribal or village organizations or consortia of Indian tribes; and Tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs.

### Restrictions/Requirements:

- Limitation on the Number of Awards: Under a Single CFDA Number: Grantees can have only one active grant per Catalog of Federal Domestic Assistance (CFDA) number.
- Limitation on the Number of Awards Based on Two Consecutive Funding Cycles: ANA will maximize the reach of its limited funding.

Therefore, applicants that have received funding from ANA for at least two consecutive projects within one CFDA number may not be funded for a third consecutive project within the same CFDA number if other applicants who have not received ANA funding in the past three years are within the scoring range to be funded.

#### Special Application Procedures/Requirements:

- Paper submissions: Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format.
- Post Award Training: Applicants must include costs in the budget to attend post award training, which is held in each region. Post-award training is designed to provide newly awarded ANA grantees with information on how to effectively administer, manage, track and report their ANA-funded projects. This is a mandatory training only in the first budget period for the project director and financial administrator to attend. Applicants should follow their own travel policies to budget for this 2-day meeting; however, an average amount is \$3,000.
- Annual ANA Grantee Meeting: Applicants must include costs in the budget to attend an annual ANA-sponsored grantee meeting. Each year, ANA conducts a conference with training and technical assistance workshops and support. However, every other year, this meeting is held in coordination with the Administration for Children and Families' Native American grantee meeting. Attendance at the annual grantee meeting is a requirement of the grant and should be included in each year of the proposed project's budget. The meeting is typically held in the Washington, DC area and it must be attended by two individuals that are directly working on the project. Applicants should follow their own travel policies to budget for this 3-day meeting that is held nationally, however, an average amount is \$5,500 for Alaska.

Estimated Application Cycle: The FY16 application period opened on January 26, 2016 and closed on April 6, 2016. The period of performance is up to 36 months. An initial grant award will be for a 12- month budget period. The award of continuation grants for projects approved for more than one 12-month project period will be subject to the availability of funds.

Funding Priorities: ANA has a preference for funding projects that are community-driven, reflecting a strong relationship between planned activities and intended results, demonstrate a realistic action plan for sustainability, and promote social and economic self-sufficiency in emerging, unserved, or underserved native communities.

| Funding Information   |                                                                                |                    |                                                                                                         |
|-----------------------|--------------------------------------------------------------------------------|--------------------|---------------------------------------------------------------------------------------------------------|
| Total Funds Available | Average Award<br>(Per Budget Period)                                           | Match Requirements | Other                                                                                                   |
| \$700,000             | Average Award: \$182,000<br>Award Ceiling: \$300,000<br>Award Floor: \$100,000 | 20%                | Applicants may request a waiver of the 20 percent match requirement. Contact ANA for waiver procedures. |



## Department of Health and Human Services (HHS)

### Agency for Toxic Substances and Disease Registry (ATSDR), Alaska Office

| Mailing Address                                                               | Phone/Fax                                    | Email Address     | Website                                                           |
|-------------------------------------------------------------------------------|----------------------------------------------|-------------------|-------------------------------------------------------------------|
| 222 W. 8 <sup>th</sup> Avenue<br>Stop 45, Room 261<br>Anchorage, Alaska 99513 | Phone: (907) 271-4073<br>Fax: (907) 271-4077 | jsarccone@cdc.gov | <a href="http://www.atsdr.cdc.gov/">http://www.atsdr.cdc.gov/</a> |

Purpose: ATSDR serves the public by using the best science, taking responsive public health actions, and providing trusted health information to prevent harmful exposures and diseases related to toxic substances.

Program Activities: ATSDR works with individuals and communities to create a better understanding of the relationship between chemical contaminants in the environment and the potential for human health impacts.

ATSDR is directed by congressional mandate to perform specific functions concerning the effect on public health of hazardous substances in the environment. These functions include public health assessments of waste sites, health consultations concerning specific hazardous substances, health surveillance and registries, response to emergency releases of hazardous substances, applied research in support of public health assessments, information development and dissemination, and education and training concerning hazardous substances.

The ATSDR Alaska Office serves as the U.S. Department of Health and Human Services (HHS) Immediate Response Cadre (HIRC) member for the Office of Assistant Secretary for Preparedness and Response (ASPR). ATSDR provides short term disaster response for Emergency Support Function (ESF) 8 - Health and Medical Services in the event of a disaster that delays or precludes HHS, ASPR personnel travel to Alaska.

The ATSDR Alaska Office represents the U.S. Department of Health and Human Services as a member of the Alaska Regional Response Team (ARRT) for oil spill preparedness and response.

## Department of Health and Human Services (HHS)

| Center for Disease Control (CDC) / National Center for Infectious Diseases (NCID) / Arctic Investigations Program (AIP) Centers for Disease Control and Prevention (CDC) / National Center for Emerging and Zoonotic Infectious Diseases (NCEZID) / Arctic Investigations Program (AIP) |                                                                                                       |                                                                                               |                                                                                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                                                                                                                                                         | Phone/Fax                                                                                             | Email Address                                                                                 | Website                                                                               |
| Centers for Disease Control and Prevention<br>1600 Clifton Rd<br>Atlanta, GA 30333<br><br>AIP:<br>4055 Tudor Centre Dr.<br>Anchorage, Alaska 99508                                                                                                                                      | 800-CDC-INFO<br>(800-232-4636)<br>TTY: (888) 232-6348<br><br>AIP:<br>(907) 729-3400<br>(800) 699-0767 | <a href="https://wwwn.cdc.gov/dcs/ContactUs/Form">https://wwwn.cdc.gov/dcs/ContactUs/Form</a> | <a href="http://www.cdc.gov/ncezid/dpei/aip/">http://www.cdc.gov/ncezid/dpei/aip/</a> |

Purpose: AIP's mission is the prevention of infectious diseases in people of the Arctic and sub-Arctic. AIP places a special emphasis on diseases of high incidence and concern among the Alaska Native and other northern indigenous peoples. AIP conducts infectious disease surveillance, evaluates prevention services, and conducts applied research in collaboration with our partners.

Program Activities: NAIP is an established, successful research station based in Anchorage, Alaska. AIP focuses its research on priority areas that are of regional importance. These priority areas include:

- Infectious Disease
- [Surveillance in Alaska](#)
- [Elimination of health disparities](#)
- [Emerging infectious diseases](#)
- [Preparedness and response](#)
- [Circumpolar health](#)
- [Water and sanitation](#)

These research priorities also provide a platform for strong partnerships, which combine CDC subject-matter expertise with local knowledge and community involvement. By working together with Alaska partners, AIP has become a national and international research leader.

## Department of Health and Human Services (HHS)

| CDC – National Institute for Occupational Safety and Health (NIOSH) American Indian/Alaska Native Program Initiative |                                          |                 |                                                                   |
|----------------------------------------------------------------------------------------------------------------------|------------------------------------------|-----------------|-------------------------------------------------------------------|
| Mailing Address                                                                                                      | Phone/Fax                                | Email Address   | Website                                                           |
| NIOSH<br>Western States Division<br>W 6 <sup>th</sup> Ave and Kipling St.<br>Denver, CO 80225                        | Phone: 303-236-5955<br>Fax: 303-236-6072 | edalsey@cdc.gov | <a href="http://www.cdc.gov/niosh/">http://www.cdc.gov/niosh/</a> |

**Purpose:** Collaborate with American Indian and Alaska Native (AI/AN) communities, organizations and partners to provide occupational safety and health (OSH) support.

**Program Activities:** Addresses occupational safety and health in tribal communities. NIOSH provides technical expertise in OSH through field studies and investigations, conducts health hazard evaluations (HHEs) and fatality investigations, and provides resources on specific OSH topics. We can offer technical assistance (tribal representatives, tribal employers/employees, and their designees). These include Health Hazard Evaluations, Fatality Investigations, and safety program support.

**Eligible Applicants:** Tribal representatives, tribal employers, or their designees in need of occupational safety and health support can contact CDC/NIOSH directly to access a variety of programs.

## Department of Health and Human Services (HHS)

| CDC –NIOSH Climate Change Initiative – TECHNICAL ASSISTANCE ONLY             |                                          |                                                |                                                                                                                         |
|------------------------------------------------------------------------------|------------------------------------------|------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                              | Phone/Fax                                | Email Address                                  | Website                                                                                                                 |
| NIOSH Western States Division<br>315 E. Montgomery Ave, Spokane, WA<br>99207 | Phone: 509-354-8063<br>Fax: 509-354-8099 | <a href="mailto:wgg6@cdc.gov">wgg6@cdc.gov</a> | <a href="http://www.cdc.gov/niosh/topics/climate/default.html">http://www.cdc.gov/niosh/topics/climate/default.html</a> |

**Purpose:** Ensure current, emerging, and anticipated worker safety and health issues associated with climate change are appropriately identified and prioritized, and to determine the most important actions that are appropriate for CDC/NIOSH to address.

**Program Activities:** Promote and coordinate intramural and extramural research, support and help facilitate other CDC/NIOSH initiatives with climate change implications, establish research priorities, recommend appropriate policies to CDC/NIOSH Leadership, interact with other agencies and organizations involved with climate change and participate on standard setting or technology development committees and work groups; prepare and publish communication products regarding worker safety and health and climate change; coordinate the provision of occupational safety and health related technical assistance to communities affected by climate change. Technical assistance can be obtained by contacting the NIOSH Climate Change Initiative (see above for contact details).

## Department of Health and Human Services (HHS)

### National Institute of Health (NIH) / National Institute of Environmental Health Sciences (NIEHS) Alaska Community Action on Toxics - Protecting the Health of Future Generations: Assessing and Preventing Exposures

| Mailing Address                 | Phone/Fax      | Email Address         | Website                                                                                                                                                                                                   |
|---------------------------------|----------------|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 111 TW Alexander Drive, RTP, NC | (919) 541-7733 | ofallon@niehs.nih.gov | <a href="http://tools.niehs.nih.gov/portfolio/index.cfm/portfolio/grantDetail/grant_number/R01ES019620">http://tools.niehs.nih.gov/portfolio/index.cfm/portfolio/grantDetail/grant_number/R01ES019620</a> |

**Purpose:** This community-based participatory research project investigates has been investigating exposures to two classes of emerging endocrine-disrupting chemicals (EDCs) with the Yupik people of St. Lawrence Island (SLI) in the Alaskan Arctic. Exposure to POPs from both distant and local sources is a trend in the Arctic that is likely to increase due to increased global use and production of EDCs and climate warming. The aim of this exposure assessment is to provide information, ownership of data, and training for the people of SLI so that they can plan and participate in public health actions to reduce environmental health risks.

**Program Activities:** The purpose of this project has been to initiate research partnerships that work in collaboration with the two Yupik villages of SLI to assess multiple exposure routes of two emerging EDCs-polybrominated diphenyl ethers (PBDEs) and perfluorinated compounds (PFCs). The project has been assessing exposures to PBDEs and PFCs in surface waters through analyses of contaminant levels and biomarkers for xenobiotic chemicals in the ninespine stickleback fish. The research team has been analyzing household dust for PBDEs and PFCs. Because the Yupik people of SLI depend on the harvest of wild foods to sustain them and their way of life, the research team will analyze levels of PBDEs and PFCs in traditional foods which are likely a major exposure pathway due to the biomagnification of POPs in marine mammals and fish that are critical components of the Yupik diet. This study included a human biomonitoring component to assess levels of PBDEs and PFCs in human blood serum in relation to measures of thyroid health.

Finally, the research team collaborates with the leadership, elders, and youth of SLI to develop measures to prevent and mitigate environmental exposures through community educational programs and public policy actions, including community-based research institutes for college credit, health fairs for all community members, and workshops for health care providers.

## Department of Health and Human Services (HHS)

| NIH / NIEHS Research to Action                                                                                  |                                                      |                                                              |                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                 | Phone/Fax                                            | Email Address                                                | Website                                                                                                                                                                           |
| National Institute of<br>Environmental Health Sciences<br>P.O. Box 12233 Research Triangle<br>Park, NC<br>27709 | Symma Finn, PhD Program<br>Officer<br>(919) 541-4258 | <a href="mailto:finns@niehs.nih.gov">finns@niehs.nih.gov</a> | <a href="http://www.niehs.nih.gov/research/supported/dert/programs/peph/prog/rta/index.cfm">http://www.niehs.nih.gov/research/supported/dert/programs/peph/prog/rta/index.cfm</a> |

**Purpose:** Bring together community members and environmental and occupational health researchers to investigate the potential health risks of environmental and occupational exposures that are of concern to the community. The overall goal is to support changes to prevent or reduce exposure to harmful environmental exposures and improve the health of a community.

**Program Activities:** Partnerships with local community members/organizations, characterization of exposures and health effects/data collection, translation of research into public health action, and project evaluation are all required. Information collected will be translated in partnerships with community participants into public health action using a variety of strategies; applicants must develop an education, outreach, prevention or intervention program(s) designed to improve overall understanding of the problem amongst community members, healthcare professionals or policymakers and to promote actions that will prevent or reduce harmful environmental / occupational exposures and improve human health. Finally, applicants must implement an evaluation plan to assess project outputs and impacts relevant to the proposed project's goals and objectives.

Five projects are currently funded by NIEHS. Information on these grantees can be found at <http://www.niehs.nih.gov/research/supported/translational/peph/prog/rta/cfg/index.cfm>.

**Eligible Applicants:** All projects must include at least one research scientist in environmental or occupational health sciences in addition to at least one member of a community-based organization (CBO) who works directly and regularly with the affected community. The partnership between the research scientist and CBO should be equitable and draw upon the unique strengths that each brings to the partnership. Alaska Native and Native Hawaiian Serving Institutions are encouraged to apply.

**Restrictions/Requirements:** Established research partnership with community organizations prior to submitting an application.

**Estimated Application Cycle:** Regular Grant Cycle for R01 Applications - February 5th, June 5th and October 5th

Funding Priorities: Geographic distribution and inclusion of as many ethnic/racial and socioeconomic subpopulations as possible.

Funding Range: No direct funding, no cost for technical assistance. Direct costs must be less than \$500,000 in any year, and need to reflect actual needs of the proposed project. The maximum period is 5 years.

## Department of Health and Human Services (HHS)

| NIH-EPA Center of Excellence on Environmental Health Disparities Research                                       |                                                      |                                                              |                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                 | Phone/Fax                                            | Email Address                                                | Website                                                                                                                                                                                                                                                                                                                                           |
| National Institute of<br>Environmental Health Sciences<br>P.O. Box 12233 Research Triangle<br>Park, NC<br>27709 | Symma Finn, PhD Program<br>Officer<br>(919) 541-4258 | <a href="mailto:finns@niehs.nih.gov">finns@niehs.nih.gov</a> | <a href="http://projectreporter.nih.gov/project_info_description.cfm?aid=8994391&amp;icde=25964664&amp;ddparam=&amp;dvalue=&amp;ddsub=&amp;cr=3&amp;csb=default&amp;cs=ASC">http://projectreporter.nih.gov/project_info_description.cfm?aid=8994391&amp;icde=25964664&amp;ddparam=&amp;dvalue=&amp;ddsub=&amp;cr=3&amp;csb=default&amp;cs=ASC</a> |

**Purpose:** The Center of Excellence for Environmental Health Disparities Research, located at the University of Arizona, partners with American Indian and Alaskan Native communities to build capacity for research to evaluate environmental health exposures, increase environmental health literacy and resilience, and inform program and policy development. The Center's Community Engagement Core will collaborate with American Indian and Alaska Native (AI/AN) communities to develop culturally-relevant policies and assets-based programs that reinforce resilience to mitigate adverse health effects.

**Program Activities:** The specific aims of the CEC are:

- 1) **Dialogue:** To equitably engage AI/AN stakeholders and CIEHR members for the ethical and culturally-appropriate translation and application of Center findings;
- 2) **Knowledge:** To strengthen the environmental health literacy (EHL) of AI/AN leaders, policy-makers and community members;
- 3) **Action:** To strengthen community resilience and capacity to promote environmental health in AI/AN communities on tribal lands and in urban settings; and
- 4) **Evaluation:** To assess the effectiveness of the CEC activities and contributions to the mission of the Center. The CEC will achieve the aims by utilizing long-term partnerships with tribal, rural, and urban AI/AN communities. The CEC will also build on the knowledge, lessons learned, strategies, and resources from the two established Centers located at the same institution: the Southwest Environmental Health Sciences Center and Center for American Indian Resilience. All CEC strategies and activities will be informed by community-based participatory research (CBPR) principles, which have been shown to be effective in AI/AN communities.



Funding Priorities: Geographic distribution, established partnerships with local community organizations, multidisciplinary project team.

Recent Funding Activities: There are five Centers of Excellence for EHD Research funded. Information on these centers can be found at:  
<http://www.niehs.nih.gov/research/supported/centers/ehd/grantees/index.cfm>

## Department of Homeland Security (DHS) – Federal Emergency Management Agency (FEMA)

| FEMA's Public Assistance Program                                                                  |                                                                                                        |                                                                                                                                                                                                                                                    |                                                                                                   |
|---------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                   | Phone/Fax                                                                                              | Email Address                                                                                                                                                                                                                                      | Website                                                                                           |
| FEMA Region 10 Alaska<br>Area Office<br>222 West 8th Avenue, Suite<br>#A13<br>Anchorage, AK 99513 | Phone: (907) 271-4300<br>Fax: (907) 271-4301<br><br>Tribal Liaison<br>(907) 271-4302<br>(907) 271-4303 | Main<br><a href="mailto:Robert.Forgit@fema.dhs.gov">Robert.Forgit@fema.dhs.gov</a><br><br><a href="mailto:Ramona.VanCleve@fema.dhs.gov">Ramona.VanCleve@fema.dhs.gov</a><br><a href="mailto:Paul.Valley@fema.dhs.gov">Paul.Valley@fema.dhs.gov</a> | <a href="https://www.fema.gov/region-x-ak-id-or-wa">https://www.fema.gov/region-x-ak-id-or-wa</a> |
| FEMA Region X Office<br>Federal Regional Center<br>130-228th St, Southwest<br>Bothell, WA 98021   | Tribal Liaison<br>(425) 487-4567                                                                       |                                                                                                                                                                                                                                                    | <a href="https://www.fema.gov/region-x-ak-id-or-wa">https://www.fema.gov/region-x-ak-id-or-wa</a> |

Purpose: The mission of the Federal Emergency Management Agency's (FEMA) Public Assistance (PA) Grant Program is to provide assistance to State, Tribal and local governments, and certain types of Private Nonprofit organizations so that communities can quickly respond to and recover from major disasters or emergencies declared by the President. All projects fall into one of two types of work:

- Emergency work includes debris removal and emergency protective measures. Eligible emergency work must eliminate or reduce immediate threats to life, health, safety or improved property. Emergency work must be completed within six months of declaration
- Permanent work includes permanent repair or restoration of eligible facilities. Examples of permanent work include roads, bridges, water control facilities, buildings, utility systems, and parks. Permanent work must be completed within 18 months of declaration. All work must be required as a result of the declared disaster event and be located within an area designated by the President.

### Public Assistance Projects Categories:

- Category A: Debris removal
- Category B: Emergency protective measures
- Category C: Roads and bridges
- Category D: Water control facilities
- Category E: Public buildings and contents
- Category F: Public utilities

- Category G: Parks, recreational, and other

#### Program Activities:

**Small Projects:** Projects falling below a certain threshold are considered "small." The threshold is adjusted annually for inflation. For fiscal year 2017, that threshold is \$123,100. For small projects, payment of the federal share of the estimate is made upon approval of the project and notification is required upon completion of the project.

**Large Projects:** For large projects, payment is made on the basis of actual costs determined after the project is completed; although interim payments may be made as necessary. Once FEMA obligates funds to the grantee, further management of the assistance, including disbursement to sub-grantees is the responsibility of the grantee. FEMA will continue to monitor the recovery progress to ensure the timely delivery of eligible assistance and compliance with the law and regulations. The federal share of assistance is not less than 75% of the eligible cost for emergency measures and permanent restoration. The grantee determines how the no-federal share (up to 25%) is split with the sub-grantees (eligible applicants).

**Eligible Applicants:** Eligible applicants include state governments, local governments and any other political subdivision of the state, Native American tribes and Alaska Native Villages. Certain private non-profit (PNP) organizations may also receive assistance. Eligible PNPs include educational, utility, emergency, medical, temporary or permanent custodial care facilities (including those for the aged and disabled), irrigation, museums, zoos, community centers, libraries, homeless shelters, senior citizen centers, rehabilitation, shelter workshops and health and safety services and other PNP facilities that provide essential services of a governmental nature to the general public. PNPs that provide "critical services" (power, water - including water provided by an irrigation organization or facility, sewer, wastewater treatment, communications and emergency medical care) may apply directly to FEMA for a disaster grant. All other PNPs must first apply to the Small Business Administration (SBA) for a disaster loan. If the PNP is declined for a SBA loan or the loan does not cover all eligible damages, the applicant may reapply for FEMA assistance.

**Restrictions/Requirements:** Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Program activates only after issuance of a presidential declaration. Public Assistance is awarded in the form of cost-shared grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. Grants may be made for: removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

**Special Application Procedures/Requirements:** As soon as practicable after the declaration, the grantee (state or tribe), assisted by FEMA, conducts the Applicant Briefings for state, local and PNP officials to inform them of the assistance available and how to apply for it. A Request for Public

Assistance must be filed with the state or tribe within 30 days after the area is designated eligible for assistance. Following the Applicant's Briefing, a Kickoff Meeting is conducted where damages will be discussed, needs assessed, and a plan of action put in place. A combined federal/state/tribal/local team proceeds with Project Formulation, which is the process of documenting the eligible facility, the eligible work, and the eligible cost for fixing the damages to every public or PNP facility identified by State, tribal, or local representatives. The team prepares a Project Worksheet (PW) for each project.

#### Recent Funding Activities:

On October 11, 2013, Governor Tafoya requested a declaration for Public Assistance and Hazard Mitigation for the Santa Clara Pueblo. On October 24, 2013, President Obama declared that a major disaster exists in the Santa Clara Pueblo. This declaration made debris removal and emergency protective measures (Categories A and B) under the Public Assistance program requested by Governor Tafoya available to the Santa Clara Pueblo and eligible associated lands on a cost-sharing basis for damages resulting from the severe storms and flooding. Additional forms of assistance may be added after the tribe has adopted an approved Tribal Mitigation Plan.

During the incident period for DR 4103, January 14, 2013 to January 17, 2013, severe storms and heavy rainfall caused widespread flooding and slope failures on the EBCI tribal lands for the Eastern Band of Cherokee Indians in North Carolina. Flooding conditions throughout the EBCI jurisdiction caused hazardous conditions, blocked roadways and threaten improved public and private property. FEMA obligated \$3,397,380.52 for 68 project worksheets, with one of the projects (Category B) receiving funding to help with slope repair from the previous rainfall in an affected community.

| Funding Information        |                  |                    |       |
|----------------------------|------------------|--------------------|-------|
| Total Funds Available      | Average Award    | Match Requirements | Other |
| Up to 75% of project costs | \$709,375,638.56 | 25%                |       |

## Department of Homeland Security (DHS) – Federal Emergency Management Agency (FEMA)

| Hazard Mitigation Grant Program (HMGP)                                                                                                                                                         |                                                                                                      |                        |                                                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                                                                | Phone/Fax                                                                                            | Email Address          | Website                                                                                                                                   |
| Alaska State Office<br>Department of Military and Veterans Affairs (DMVA)<br>Division of Homeland Security and Emergency Management (DHS&EM)<br>P.O Box 5750<br>Fort Richardson, AK 99505-5750 | Alaska State Office<br>Kevin Reeve<br>Phone: (907) 428-7045<br><br>FEMA HMA Helpline<br>866-222-3580 | kevin.reeve@alaska.gov | <a href="https://www.fema.gov/hazard-mitigation-grant-program">https://www.fema.gov/hazard-mitigation-grant-program</a> ; ak-prepared.com |

**Purpose:** To ensure that the opportunity to take critical mitigation measures to reduce the risk of loss of life and property from future disasters is not lost during the reconstruction process following a disaster.

**Program Activities:** State, tribal, and local governments engage in hazard mitigation planning to identify risks and vulnerabilities associated with natural disasters, and develop long-term strategies for protecting people and property from future hazard events. FEMA requires state, tribal, and local governments to develop and adopt hazard mitigation plans as a condition for receiving certain types of non-emergency disaster assistance, including funding for HMA mitigation projects.

**Eligible Applicants:** States, U.S. territories, Federally-recognized tribes

**Restrictions/Requirements:** Requires Presidential major disaster declaration.

**Special Application Procedures/Requirements:** Applications for mitigation projects are encouraged as soon as possible after the disaster occurs so that opportunities to do mitigation are not lost during reconstruction. The state or tribe will set a deadline for application submittal. You should contact your State Hazard Mitigation Officer for specific application dates. State agencies and federally-recognized tribes applying for HMGP funding must have a FEMA-approved State or Tribal (Standard or Enhanced) Mitigation Plan at the time of the Presidential major disaster declaration and at the time HMGP funding is obligated to the Recipient or subrecipient.

**Estimated Application Cycle:** The period of performance for the Grant Program begins with the opening of the application period and ends no later than 36 months from the date that FEMA announces selected sub-applications.

**Funding:** The amount of HMGP funding available to the Applicant is based on the estimated total Federal assistance, subject to the sliding scale formula that FEMA provides for disaster recovery under Presidential major disaster declarations. The formula provides for up to 15 percent of the first \$2 billion of estimated aggregate amounts of disaster assistance, up to 10 percent for amounts between \$2 billion and \$10 billion, and up to 7.5 percent for amounts between \$10 billion and \$35.333 billion. For States with enhanced plans, the eligible assistance is up to 20 percent for estimated aggregate amounts of disaster assistance not to exceed \$35.333 billion.

## Department of Homeland Security (DHS) – Federal Emergency Management Agency (FEMA)

| Flood Mitigation Assistance (FMA)                                                                                                                            |                                                                 |                        |                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                              | Phone/Fax                                                       | Email Address          | Website                                                                                                           |
| Michael Grimm<br>Assistant Administrator for<br>Mitigation<br>Federal Insurance and Mitigation<br>Administration<br>400 C Street, SW<br>Washington, DC 20472 | Mitigation eGrants System<br>Helpdesk Phone: 1-855-228-<br>3362 | MTeGrants@fema.dhs.gov | <a href="https://www.fema.gov/hazard-mitigation-assistance">https://www.fema.gov/hazard-mitigation-assistance</a> |

**Purpose:** Authorized to reduce or eliminate claims under the National Flood Insurance Program (NFIP) by eliminating the long-term risk of flood damage to structures insured under the NFIP. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

**Program Activities:** Projects include the elevation, relocation and acquisition of flood prone structures, and projects to address minor, localized flooding issues, such as upgrading culverts, building detention ponds, and otherwise improving local stormwater management facilities. The recent focus of the program has been on mitigating severe repetitive loss structures in order to reduce the drain on the National Flood Insurance Fund (NFIF).

**Eligible Applicants:** States, U.S. territories, Federally-recognized tribes apply on behalf of local communities, who must be participating in the NFIP.

**Restrictions/Requirements:** FMA funds can only be used for the flood hazard component of a hazard mitigation plan that meets the planning criteria outlined in 44 CFR Part 201. Individual planning grants using FMA funds shall not exceed \$50,000 to any applicant or \$25,000 to any subapplicant. Projects must meet all eligibility criteria including technical feasibility, cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements.

**Special Application Procedures/Requirements:** Preapplication coordination is required. An environmental impact statement is required for this program. Applications and sub-applications for the Program must be submitted via the Mitigation eGrants system on the FEMA Grants Portal: <https://portal.fema.gov>. If a sub-applicant does not use the eGrants system, then the applicant must enter the paper sub-application(s) into the eGrants system on the sub-applicant's behalf.

**Estimated Application Cycle:** The FY16 application period opened on February 10 and closed on June 15, 2016. The period of performance begins with the opening of the application period and ends no later than 36 months from the date of subapplication selection.

Funding Priorities: In FY17, the FMA Program will prioritize proposals that address community flood risk by setting aside \$70 million for this purpose. FEMA will seek to fund two types of community flood mitigation activities:

- Advance Assistance for flood mitigation design and development of community flood mitigation projects.
- Mitigation projects that address community flood risk for the purpose of reducing NFIP flood claim payments

Recent Funding Activities:

- In 2016, Louisiana’s Jefferson Parish was awarded 28.9 million in Flood Mitigation Assistance (FMA) funds for four (4) project applications with 36 reconstruction properties and 115 elevation properties. Jefferson Parish has included climate resilience mitigation measures such as rain barrels, pervious pavement, and bio-swales into the applications in an effort to assist with erosion control and water retention.
- Contact Regional or Area Office for recent examples that will align most closely with applicant’s needs

| Funding Information   |                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                |                                                                                                                             |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available | Average Award                                                                                                                                                                                                                          | Match Requirements                                                                                                                                                                                                                             | Other                                                                                                                       |
| \$160,000,000         | Contact Regional or Area Office for recent examples that will align most closely with applicant’s needs<br>Maximum Federal share for planning sub-applications per Applicant is \$50,000 for State plans and \$25,000 for local plans. | Severe Repetitive Loss structures can be funded at 100% federal cost; repetitive loss structures can be cost-shared at 90% federal cost. Insured structures and planning grants are cost-shared at 75 percent federal, 25 percent non-federal. | Technical assistance up to \$50,000 is available for states who were awarded FMA grant funds totaling at least \$1 million. |



## Department of Homeland Security (DHS) – Federal Emergency Management Agency (FEMA)

| Pre-Disaster Mitigation Grant Program (PDM)                                                                                                                  |                                                |                                                                                                 |                                                                                                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                              | Phone/Fax                                      | Email Address                                                                                   | Website                                                                                                                             |
| Michael Grimm<br>Assistant Administrator for<br>Mitigation<br>Federal Insurance and Mitigation<br>Administration<br>400 C Street, SW<br>Washington, DC 20472 | Phone: 1 (866) 222-3580<br>FAX: (202) 646-2880 | Helpline:<br><a href="mailto:HMAGrantsHelpline@fema.dhs.gov">HMAGrantsHelpline@fema.dhs.gov</a> | <a href="https://www.fema.gov/pre-disaster-mitigation-grant-program">https://www.fema.gov/pre-disaster-mitigation-grant-program</a> |

**Purpose:** Designed to assist states, territories, federally-recognized tribes, and local communities in implementing a sustained pre-disaster natural hazard mitigation program. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards

**Program Activities:** Elevation, acquisition, or floodproofing structures, seismic or wind retrofit of structures, major or minor flood hazard reduction activities, mitigation planning.

**Eligible Applicants:** States, U.S. territories, Federally-recognized tribes

**Restrictions/Requirements:** FEMA requires state, territorial, tribal, and local governments to develop and adopt hazard mitigation plans as a condition for receiving certain types of non-emergency disaster assistance, including funding for PDM mitigation projects.

**Special Application Procedures/Requirements:** Applications and sub-applications for the PDM Grant Program must be submitted via the Mitigation eGrants system on the FEMA Grants Portal: <https://portal.fema.gov>. If a sub-applicant does not use the eGrants system, then the applicant must enter the paper sub-application(s) into the eGrants system on the sub-applicant's behalf. Applicants may submit a maximum of 19 sub-applications, including 1 management cost sub-application for Applicant management costs. Of the 18 sub-applications for mitigation activities, a maximum of 8 projects may be included. Applicants must rank their sub-applications in priority order. If any applicant submits more than 18 sub-applications for mitigation activities, FEMA will only consider the 18 highest ranked sub-applications. If any Applicant submits more than 8 project sub-applications, FEMA will only consider the 8 highest ranked projects. The Applicant's highest ranked planning and/or project sub-applications must not exceed \$575,000 Federal share to be eligible for the State/Territory or Tribal allocations. If an Applicant's highest ranked planning or project sub-application

exceeds \$575,000 Federal share, then the Applicant will not receive an allocation. Instead, all of the Applicant's eligible sub-applications will be considered on a competitive basis only.

**Estimated Application Cycle:** The FY16 application period opened on February 10 and closed on June 15, 2016. The period of performance for the PDM Grant Program begins with the opening of the application period and ends no later than 36 months from the date that FEMA announces selected sub-applications.

**Funding Priorities:** FEMA will prioritize the competitive projects for selection up to the available funding as follows:

- Climate Resilient Mitigation Activities (CRMA), including Aquifer Storage and Recovery (ASR), Floodplain and Stream Restoration (FSR), and Flood Diversion and Storage (FDS); and pre- or post-wildfire mitigation activities or any mitigation action that utilizes green infrastructure approaches
- Non-flood hazard mitigation projects (e.g., seismic, wildfire, landslide and wind) and nonacquisition/elevation/mitigation reconstruction flood mitigation activities (e.g., stormwater management and flood control measures)
- Acquisition, elevation and mitigation reconstruction projects
- Generators for critical facilities as identified in a FEMA-approved Mitigation Plan

FEMA will further prioritize competitive sub-applications as needed to make selection decisions as follows:

- Small, impoverished community status;
- FEMA-validated residential or commercial Building Code Effectiveness Grading Schedule (BCEGS) rating from a grade of 1 to 10;
- FEMA-validated Benefit Cost Ratio for projects.
- Virgin Islands (St. Croix): \$312,500.00 grant in pre-disaster mitigation (PDM) funds for the acquisition of a 1,500kw emergency generator unit (EGU) and installation fees, to ensure continuity of the facility's operations during failure of the island's sole power generating system. In the aftermath of hurricanes such as Hugo, Marilyn, Georges, Lenny and Omar — which heavily impacted the territory and caused widespread damages and prolonged power outages — protecting and providing backup power generation to the hospital became an evident need for the Juan F. Luis Hospital and Medical Center.

Contact Regional or Area Office for recent examples that will align most closely with applicant's needs

| Funding Information   |                                                                                                         |                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-----------------------|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available | Average Award                                                                                           | Match Requirements                                                                                                                                                                                                                   | Other                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| \$90,000,000          | Contact Regional or Area Office for recent examples that will align most closely with applicant's needs | The applicant or sub-applicant is responsible for the remaining 25 percent of eligible activity costs. PDM grants awarded to small impoverished communities may receive a federal cost share of up to 90 percent of the total amount | All States and U.S. Territories are eligible to receive an allocation of \$575,000. Ten percent of the appropriated PDM funding, or \$10 million, was set aside for Federally-recognized Tribal applicants to receive an allocation of \$575,000 per tribe. The balance of PDM Grant Program funds was distributed on a competitive basis to all eligible applicants. No applicant may receive more than 15 percent, or \$15 million, of the appropriated PDM funding |

## Department of Homeland Security (DHS) – Federal Emergency Management Agency (FEMA)

| Risk Mapping, Assessment, and Planning (Risk MAP)<br>PROGRAM PROVIDES DATA AND INFORMATION ONLY                                    |                                             |                             |                                                                                                                                                                         |
|------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                    | Phone/Fax                                   | Email Address               | Website                                                                                                                                                                 |
| Sally Russell Cox<br>Division of Community and<br>Regional Affairs<br>550 West 7th Avenue, Suite 1640,<br>Anchorage, AK 99501-3569 | Phone: (907) 269-4588<br>Fax: (907)269-4066 | Email: sally.cox@alaska.gov | <a href="https://www.commerce.alaska.gov/web/dcra/PlanningLandManagement/RiskMAP.aspx">https://www.commerce.alaska.gov/web/dcra/PlanningLandManagement/RiskMAP.aspx</a> |

Purpose: To increase community resilience to natural hazards based on informed local decisions and actions. Risk MAP provides quality data, risk assessment tools, maps and technical assistance to communities in order to increase local understanding of their natural hazard risk. Local understanding of risk can lead to informed community decisions and actions to reduce risk, thereby increasing a community's ability to prepare for, respond to and recover from local impacts by natural hazards and disasters.

Program Activities: Risk MAP is implemented through a Cooperating Technical Partnership between FEMA and participating states. A State Risk MAP Coordinator serves a liaison between FEMA, FEMA's contractors and participating local governments, Tribes, regional organizations and government agencies. The development of collaborative partnerships between these entities is foundational to the Risk MAP process. FEMA asks states that are Cooperating Technical Partners to identify the state's mapping priorities through a mapping business plan that is updated annually. This is based on the development of a prioritization methodology using FEMA- and locally- identified criteria. The Risk MAP process is carried out in several phases. The entire process is designed to be approximately five years, however this can vary depending on project scope and delays. Discovery, the first phase, involves the identification and prioritization of natural hazards the community would like to have studied. During the Data Collection and Analysis Phase, new or existing data is collected on the hazards identified by the community, and risk and vulnerability assessments are conducted. If it's determined a new regularity Flood Insurance Study and Rate Maps are needed, an engineering flood study will take place during this phase. During the Risk Reduction phase, the results of the risk assessments and a draft Risk Report (which summarizes the risk and vulnerability assessments) will be shared with the community and assistance will be provided to the community to identify strategies for risk reduction. Resilience is the final phase of the Risk MAP process. FEMA and the State Risk MAP Coordinator will work with the community to integrate Risk MAP information into local plans, implement the actions identified during a Resilience Workshop, and seek funding to implement projects identified during the Risk Reduction Phase. Because Risk MAP is the FEMA program which, since 2011, has provided flood studies for updated and new Flood Insurance Studies and Flood Insurance Rate Maps, the program has focused primarily on communities that participate in the National Flood Insurance Program. In Alaska, a new focus will be on Alaska Native villages that are imminently threatened by the impacts of erosion, flooding and permafrost degradation. The Risk MAP process can provide tools and products which can assist these communities in making

decisions about how to adapt to natural hazard threats, including whether to protect-in-place, migrate community infrastructures away from hazard prone areas, or to relocate the entire community. This new Risk MAP focus is expected to result in new, culturally-appropriate ways to communicate risk to remote Alaskan communities, such as translating Risk MAP reports, products and tools into Native Language, developing formats most useful to communities and using interpreters at meetings in Villages where English is a second language. Post-Discovery Data Collection and analysis will incorporate local indigenous knowledge with science as well as local observations and monitoring. The prioritization process and schedule for Alaska Native villages is outlined below. The final, non-regulatory report of the Risk MAP process, the Risk Report, can be used to update local plans and regulations, communicate risk, inform the modification of development standards, identify mitigation projects and ultimately reduce risk. Each Risk MAP project is tailored to the needs of each community and may involve different products and services.

Eligible Applicants: State, regional, Tribal, and local communities

Prioritization Process: Prioritization takes place before communities are selected for Risk MAP studies. For communities that do not participate in the NFIP, the Denali Commission's Statewide Threat Assessment will be used to develop an Alaska Risk Map prioritization methodology. The Denali Commission's Statewide Threat Assessment is a methodology for prioritization of needs based on the risk to lives, health and infrastructure. Assessment will evaluate erosion, flood and permafrost data and assign a risk index for each threat followed by an aggregate risk index.

Prioritization Schedule:

- Individual Threat Scoring Criteria-Feb 2018
- Aggregate Scoring March 2018
- Public Meeting March 2018
- GIS Database and Final Report June 2018

Recent Funding Activities:

- Clackamas County (Oregon) received valuable information on both the short term (velocity) and long term (channel migration) behavior of the stream for use in mitigation and planning needs. Risk MAP's emphasis on regular and constructive communication with communities within a study watershed enabled the identification of the need to adjust the scope of work and to meet the data needs of the county.

\*Contact Regional or Area Office for recent examples of leveraging this program in ways that will align most closely with applicant's needs

## U.S. Department of Housing and Urban Development (HUD)

| Community Development Block Grant                                           |                                             |                    |                                                                                                                                                                                                       |
|-----------------------------------------------------------------------------|---------------------------------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                             | Phone/Fax                                   | Email Address      | Website                                                                                                                                                                                               |
| Anchorage Field Office<br>3000 C Street<br>Suite 401<br>Anchorage, AK 99503 | Carma E. Reed<br>Director<br>(907) 677-9890 | Carma.Reed@hud.gov | <a href="http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment">http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment</a> |

**Purpose:** The Alaska Community Development Block Grant Program awards grants to Alaskan communities for public facilities and planning activities which will address issues detrimental to the health and safety of local residents, and will reduce the costs of essential community services.

**Program Activities:** The grant program provides funds for capital projects, such as:

- Health clinics
- Daycare centers
- Homeless shelters
- Water and sewer systems and waste disposal facilities
- Transportation improvements
- Fire protection facilities
- Planning activities

**Eligibility:** Funds are allocated by formula to metropolitan cities, urban counties and States. Alaska's two CDBG grantees – the State of Alaska and the Municipality of Anchorage.

**Restrictions/Requirements:** not less than 70 percent of CDBG funds must be used for activities that benefit low- and moderate-income persons. In addition, each activity must meet one of the following national objectives for the program: benefit low- and moderate-income persons, prevention or elimination of slums or blight, or address community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community for which other funding is not available.

**Special Application Procedures/Requirements:** Grantees prepare the Consolidated Plan every 3-5 years and the Action Plan annually to guide the expenditure of their HUD formula funds. Grantees assess and adjust their CDBG program design so that it is responsive to changing community needs.

| Funding Information        |               |                    |                                                                                                                                                               |
|----------------------------|---------------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available      | Award Ceiling | Match Requirements | Other                                                                                                                                                         |
| *For Alaska<br>\$2,400,000 | \$850,000     | None               | 70% goes to larger cities and urban counties<br>30% goes to States to fund smaller cities and rural areas not eligible to participate in entitlement program. |

## U.S. Department of Housing and Urban Development (HUD)

| Indian Community Development Block Grant                                      |                                                                     |                                                                                                                                    |                                                                                                                                                                      |
|-------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                               | Phone/Fax                                                           | Email Address                                                                                                                      | Website                                                                                                                                                              |
| Anchorage Field Office<br>3000 C. Street,<br>Suite 401<br>Anchorage, AK 99503 | D.C. (202) 402-5186<br>Alaska (907) 677-9800<br>TTY: (907) 677-9825 | <a href="mailto:AskGMO@hud.gov">AskGMO@hud.gov</a><br><a href="mailto:Frederick.J.Griefer@hud.gov">Frederick.J.Griefer@hud.gov</a> | <a href="https://www.hud.gov/program_offices/public_indian_housing/ih/grants/icdb">https://www.hud.gov/program_offices/public_indian_housing/ih/grants/icdb</a><br>g |

Purpose: Development of viable Indian and Alaska native communities, including decent housing, a suitable living environment, and economic opportunities, principally for persons of low and moderate income.

Program Activities: The competitive ICDBG program may be used for new construction, clearance activities, purchase of construction equipment for use as part of a solid waste facility, rehabilitation, and acquisition of residential units and public facilities as well as housing services, economic development projects.

- New Housing Construction: ICDBG funds to build new housing can only be used if a Community Based Development Organization (CBDO) will build the housing. If the organization has previously been determined by ONAP to be a CBDO, you do not have to resubmit documentation of this in the application. In addition, new housing construction can only be built when you show in your application that:
  - No other housing is available in the immediate reservation area that is suitable for the households to be assisted; and
  - No other sources, including Indian Housing Block Grants (IHBG), can meet the needs of the household(s) to be served (applicants that receive minimum IHBG funding are exempt from this provision); and
  - Rehabilitation of the unit occupied by the household(s) to be assisted is not economically feasible; or the household(s) to be assisted is currently living in overcrowded conditions (more than one household per house); or the household to be assisted has no current residence.
- Housing Rehabilitation Cost Limits: HUD will not provide more than \$75,000 for each housing unit rehabilitated with ICDBG funds. The rehabilitation costs may exceed these limits but your budget must show that you will not spend more than these amounts of ICDBG funds on each unit.
- Economic Development Projects: Applicants must provide a financial analysis prepared by a qualified party. Specifically: (1) the qualified party preparing the analysis must have recent and relevant experience in the type of project being proposed; (2) the financial analysis must



demonstrate that the project is financially feasible and has a reasonable chance of success; (3) the analysis should include information about the project's market share, sales levels, growth potential, revenue projections, project expenses and debt service, if applicable; and (4) the analysis must also demonstrate the public benefit resulting from the ICDBG assistance. In addition, the analysis must establish that, to the extent practicable: (1) reasonable financial support will be committed from non-federal sources prior to disbursement of federal funds; (2) any grant amount provided will not substantially reduce the amount of non-federal financial support for the activity; (3) not more than a reasonable rate of return on investment is provided to the owner; and (4) that grant funds used for the project will be disbursed on a pro-rata basis with amounts from other sources.

- **Public Services:** You may not receive a Single Purpose grant solely to fund public service activities. However, your application may contain a public service component for up to 15 percent of the total grant. In addition, the public service(s) may be unrelated to the other project(s) in the application. If your application does not receive full funding; HUD will reduce the public service allocation so that it comprises no more than 15 percent of the total grant award.
- **Broadband Access:** The provision of broadband access is an eligible activity. ONAP encourages tribes and tribally designated housing entities to consider broadband connectivity in planned programs in their service areas. In addition, ONAP supports the incorporation of "Dig Once" policies, where broadband connections are incorporated upfront into infrastructure activities (roads, utilities, etc.) to help promote broadband competition, reduce costs for broadband providers, and decrease road-related costs from repeated excavation
- **Infrastructure Projects:** If your infrastructure project will support future housing or community development project(s), you must submit evidence that the housing or community development project(s) will be completed within three years of the grant award.

**Eligibility:** Any Indian tribe, band, group, or nation (including Alaska Indians, Aleut, and Eskimos) or Alaska Native village which has established a relationship to the Federal government as defined in the program regulations. In certain instances, tribal organizations may be eligible to apply

**Tribal Organizations:** Due to the unique structure of tribal entities eligible to submit ICDBG applications in Alaska, a tribal organization that submits an application for activities in the jurisdiction of one or more eligible tribes or villages must include a concurring resolution from each such tribe or village authorizing the submission of the application. The hierarchy for funding priority continues to be the IRA Council, the Traditional Village Council, the ANCSA Village Corporation, and the ANCSA Regional Corporation. Any questions regarding eligibility determinations and related documentation requirements for entities in Alaska should be referred to the Alaska Area ONAP prior to the application deadline if you plan to submit an application for an Alaska tribe or village.

**Restrictions:** HUD does not award grants to individuals. HUD will also not evaluate applications from ineligible applicants.

**Consolidated Plan Requirement:** HUD's requirement for consistency with the Consolidated Plan is not required for an ICDBG application.

**Outstanding ICDBG Obligation:** If you have an outstanding ICDBG obligation to HUD that is in arrears, or have not agreed to a repayment schedule you will be disqualified from the competition.

**Application Requirements:** For the standard ICDBG program, applicant must submit an application under the annual Notice of Funding Availability (NOFA). An applicant demonstrating good cause may request a waiver from the requirement for electronic submission. For example, a lack of available Internet access in the geographic area in which the applicant's business offices are located. Applicants that cannot submit their applications electronically and must seek a waiver of the electronic grant submission requirements must submit a waiver request so that the request is received at least 15 days before the application deadline. If HUD waives the requirement, HUD must receive your paper application before the deadline of this NOFA.

Examples of good cause may include lack of reliable Internet, broadband, or other infrastructure conducive to electronic application submissions. Applicants granted a waiver of the electronic submission requirement will receive specific instructions about submitting paper applications with the approval of the waiver.

**Estimated Application Cycle:** The FY17 application period opened on March 9, 2017 and closed on May 18, 2017. The length of the project period is based on the implementation schedule submitted by the applicant and approved by HUD.

**Funding Priorities:**

- Review criteria include (1) Capacity of Applicant; (2) Need; (3) Soundness of Approach; (4) Leveraging Resources; and (5) Comprehensiveness and Coordination.
- HUD awards bonus points to projects where the preponderance of work will occur in a designated zone, community or region. These points will be awarded only if the application otherwise meets or exceeds the Program's minimum fundable score based on the rating factors of the NOFA.
- HUD encourages activities in communities with Preferred Sustainability Status (PSS) and/or Promise Zones (PZ), HUD will award two (2) points for qualified activities within a designated zone or area and supporting either or both initiative(s). In no case will HUD award more than two bonus points for these activities.

| Funding Information   |                                                                                                                               |                    |                                                                                                                                                        |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available | Average Award Amount                                                                                                          | Match Requirements | Other                                                                                                                                                  |
| FY16 60,000,000       | <p>\$7,056,169 was allocated to Alaska in FY16.</p> <p>\$600,000 was the maximum available to individual tribes in Alaska</p> | None required.     | In FY16 \$4,000,000 was retained to fund Imminent Threat grants to alleviate or remove threats to health or safety that require an immediate solution. |

## U.S. Department of Housing and Urban Development (HUD)

| Imminent Threat Funding Opportunity                                           |                                                                     |                                                    |                                                                                                                                                                   |
|-------------------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                               | Phone/Fax                                                           | Email Address                                      | Website                                                                                                                                                           |
| Anchorage Field Office<br>3000 C. Street,<br>Suite 401<br>Anchorage, AK 99503 | D.C. (202) 402-5186<br>Alaska (907) 677-9800<br>TTY: (907) 677-9825 | <a href="mailto:AskGMO@hud.gov">AskGMO@hud.gov</a> | <a href="https://www.hud.gov/program_offices/public_indian_housing/ih/grants/icdbg">https://www.hud.gov/program_offices/public_indian_housing/ih/grants/icdbg</a> |

**Purpose:** The ICDBG program has a national set-aside of approximately \$3,500,000 to fund Imminent Threat applications. These grants provide a solution to problems of an urgent nature that have an immediate negative impact on public health or safety of tribal residents and require immediate action. These are non-competitive grants up to \$450,000 (\$900,000 for Presidentially-Declared Disasters) on a first come first serve basis until the amount set aside for this purpose is expended. 70 percent of each grant must support activities that benefit low and moderate income persons.

**Application Submission:** The initial funding request should be submitted to the Area ONAP for your region, and should include an independent verification from a third party (e.g., Indian Health Service, Bureau of Indian Affairs) of the existence, immediacy, and urgency of the threat;

- The threat must not be recurring in nature, i.e., it must represent a unique and unusual circumstance that has been clearly identified by the tribe or village.
- The threat must affect or impact an entire service area and not solely an individual family or household.
- Preliminary budget information (if the request is approved you will be asked to provide a detailed budget).
- Evidence of capacity to implement and complete grant within 12 months of grant award (unless there is good cause for not being able to do so).
- It must be established that funds are not available from other tribal or federal sources to address the problem. The tribe or village must verify that federal or local agencies that would normally provide assistance for such improvements have no funds available by providing a written statement to that effect.
- The tribe or village must also verify in the form of a tribal council resolution (or equivalent) that it has no available funds, including unobligated Indian Housing Block Grant funds, for this purpose.
- Applicants for imminent threat funding should contact their Area Office of Native American Programs (ONAP) for further information.  
[http://portal.hud.gov/hudportal/HUD?src=/program\\_offices/public\\_indian\\_housing/ih/codetalk/onap/map/nationalmap](http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/ih/codetalk/onap/map/nationalmap).

## U.S. Department of Housing and Urban Development (HUD)

| Mortgage Insurance for Disaster Victims – 203(h)                                                                               |                                                                     |                                                      |                                                                                                                                                                                                                                                                                          |
|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                | Phone/Fax                                                           | Email Address                                        | Website                                                                                                                                                                                                                                                                                  |
| Santa Ana Homeownership Center<br>Santa Ana Federal Building<br>34 Civic Center Plaza<br>Room 7015<br>Santa Ana, CA 92701-4003 | D.C. (800) 569-4287<br>Alaska (907) 677-9800<br>TTY: (907) 677-9825 | <a href="mailto:answers@hud.gov">answers@hud.gov</a> | <a href="https://www.hud.gov/program_offices/housing/sfh/ins/203h-dft">https://www.hud.gov/program_offices/housing/sfh/ins/203h-dft</a><br><a href="https://www.hud.gov/program_offices/housing/sfh/sfhocs/sna_hoc/">https://www.hud.gov/program_offices/housing/sfh/sfhocs/sna_hoc\</a> |

**Purpose:** This program helps victims in presidentially designated disaster areas recover by making it easier for them to obtain mortgage loans and become homeowners or reestablish themselves as homeowners.

**Program Activities:** Insured loans may be used to finance the purchase or reconstruction of a one-family home that will be the principal residence of the homeowner.

**Eligibility:** Eligible customers are anyone whose home has been destroyed or severely damaged in a Presidential declared disaster area, even if they were renting the property.

**Restrictions:** The borrower's application for mortgage insurance must be submitted to an FHA-approved lending institution within one year of the President's declaration of the disaster.

**Application Requirements:** No down payment is required. The borrower is eligible for 100 percent financing. Closing costs and prepaid expenses must be paid by the borrower in cash or paid through premium pricing by the seller, subject to a limitation on seller concessions. Mortgagees collect from the borrowers an up-front insurance premium (which may be financed) at the time of purchase, as well as monthly premiums that are not financed, but instead are added to the regular mortgage payment.

## U.S. Department of Housing and Urban Development (HUD)

| Indian Housing Block Grant Program- Native American Housing and Self-Determination Act (NAHASDA) |                                                                     |                                                                  |                                                                                         |
|--------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| Mailing Address                                                                                  | Phone/Fax                                                           | Email Address                                                    | Website                                                                                 |
| Department of Housing and Urban Development<br>3000 C. Street, Suite 401<br>Anchorage, AK 99503  | Phone: (907) 677-9800<br>Fax: (907) 677-9803<br>TTY: (907) 677-9825 | <a href="mailto:AK_Webmanager@hud.gov">AK_Webmanager@hud.gov</a> | <a href="https://www.hud.gov/hudprograms/ihbg">https://www.hud.gov/hudprograms/ihbg</a> |

Purpose: Supports a range of affordable housing activities on Indian reservations and Indian areas.

Program Activities: IHBG funding can be used for a variety of activities including new construction, rehabilitation, acquisition, housing services, and crime prevention. The Title VI loan guarantee program can be used to leverage all the above activities with a private market loan.

IHBG Eligible Activities: The six categories of eligible activities for providing affordable housing (or related housing services) are:

1. **Indian Housing Assistance:** Modernization and operating assistance for housing developed or operated through HUD.
2. **Housing Development:** Acquisition, new construction, reconstruction, and moderate or substantial rehabilitation. Property acquisition, site improvement, utility development, demolition & conversion, financing, administration, planning.
3. **Housing Services:** Housing counseling, resident management, energy auditing, other services assisting owners and tenants.
4. **Housing Management Services:** Work specifications, loan processing, inspections, tenant selection, managing tenant based rental assistance and affordable housing projects.
5. **Crime Prevention:** Safety, security, and law enforcement.
6. **Model Programs** to develop and support affordable housing.

A portion of each grant may also be used for housing activities wholly self-determined by the tribe.

Broadband Access: The provision of broadband access is an eligible activity. ONAP encourages tribes and tribally designated housing entities to consider broadband connectivity in planned programs in their service areas. In addition, ONAP supports the incorporation of "Dig Once" policies, where broadband connections are incorporated upfront into infrastructure activities (roads, utilities, etc.) to help promote broadband competition, reduce costs for broadband providers, and decrease road-related costs from repeated excavation.

Eligibility: Federally-recognized Tribes and their Tribally Designated Housing Entities are eligible to participate in this program.

Application Requirements: Every tribe that submits an Indian Housing Plan (IHP) (which is approved) is awarded a block grant. The IHP has two parts: a 5-year plan and a 1-year plan. The 5-year plan must contain a mission statement, goals, objectives, and an activities plan. The 1-year plan must contain goals, objectives, a statement of needs, an operating budget, a statement of the affordable housing resources currently available, and certifications of compliance.

| Funding Information                                       |                                                               |                    |       |
|-----------------------------------------------------------|---------------------------------------------------------------|--------------------|-------|
| Total Funds Available                                     | Average Award Amount                                          | Match Requirements | Other |
| Annual formula block grant to Indian Tribes and/or TDHEs. | Alaska recipients received \$94,588,589 State-wide in FY 2015 | NA                 |       |

## U.S. Department of Housing and Urban Development (HUD)

| Section 184 Loan Guarantee Program                                                                             |                             |                                                                      |                                                                                         |
|----------------------------------------------------------------------------------------------------------------|-----------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| Mailing Address                                                                                                | Phone/Fax                   | Email Address                                                        | Website                                                                                 |
| Department of Housing and Urban Development<br>451 7 <sup>th</sup> St SW, Room #5143<br>Washington, D.C. 20410 | Toll-free at 1-800-561-5913 | <a href="mailto:section184inbox@hud.gov">section184inbox@hud.gov</a> | <a href="https://www.hud.gov/hudprograms/ihbg">https://www.hud.gov/hudprograms/ihbg</a> |

**Purpose:** The program addresses the special needs of American Indians and Alaska Natives by making it possible to achieve homeownership with market-rate financing. Recipients are able to purchase, construct, refinance, and/or rehabilitate single-family homes on trust or restricted land, and in tribal areas of operation.

The program makes it possible for Indian tribes, Indian housing authorities, and tribally designated housing entities to promote the development of sustainable reservation communities by making homeownership a realistic option for tribal members

**Program Activities:** This program offers HUD approved loan guarantees to private sector lenders who make home mortgage loans to eligible participants without income restrictions. Tribes can also use the program to diversify the type of housing on native lands by developing housing for homeownership or as long-term rentals, without affordability restrictions. Benefits of the loan include:

- **Low Down Payment:** 2.25% on loans over \$50,000 and only 1.25% on loans under \$50,000
- **Low Interest Rates:** based on market rates, not on applicant's Credit Scores
- **Annual mortgage premium .15 percent payable monthly:** a one-time up front 1.5% guarantee fee is paid at closing and can be financed into the loan.
- **Protection from predatory lending**
- **Low Risk:** Despite the economic downturn, lenders have not reduced their activity in the Section 184 loan market as they have in other markets since our loans are guaranteed 100%.
- **Knowledgeable Staff:** Our staff understands the unique circumstances associated with lending on Native Lands and work with borrowers to avoid default and foreclosure

**Eligibility:** Native Americans, Tribes, or Tribally Designated Housing Entities.

Restrictions: Can only be fixed-rate loans for 30 years or less. Section 184 cannot be used for commercial structures or with Adjustable Rate Mortgages (ARMs). For Tribes interested in Commercial Financing see HUD's Title VI Program.

Application Requirements: There is no income limit or minimum required to participate, but borrowers must qualify for the loans. In an effort to meet program demand and reduce reliance on federal appropriations, HUD started collecting a 1.5 percent up-front fee in fiscal year 2014. In fiscal year 2015, HUD added 0.15 percent annual premium payment. In fiscal year 2017, HUD anticipates an increase in the annual fee from 0.15 to 0.25 percent, while the upfront loan guarantee fee will remain at 1.50 percent. HUD will outreach to tribes before implementation of this fee increase.



## U.S. Department of Housing and Urban Development (HUD)

| Title VI Loan Guarantee Program                                           |                                 |                                                                  |                                                                                                                                                                                                                               |
|---------------------------------------------------------------------------|---------------------------------|------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                           | Phone/Fax                       | Email Address                                                    | Website                                                                                                                                                                                                                       |
| Office Loan Guarantee<br>451 Seventh Street, S.W.<br>Washington, DC 20410 | Robert Lamp<br>(202) 402-4134   | <a href="mailto:Robert.A.Lamp@hud.gov">Robert.A.Lamp@hud.gov</a> | <a href="http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/ih/homeownership/titlevi">http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/ih/homeownership/titlevi</a> |
| Alaska Office<br>3000 C Street<br>Suite 401<br>Anchorage, AK 99503        | Bill Zachares<br>(907) 677-9860 | <a href="mailto:bill.zachares@hud.gov">bill.zachares@hud.gov</a> |                                                                                                                                                                                                                               |

**Purpose:** To obtain financing for up to five times the amount of the Tribe's annual NAHASDA IHBG. These guaranteed loans are for the IHBG recipients (Indian tribes and their tribally designated housing entities (TDHE)) that are in need of additional funds for housing activities. The tribe or TDHE pledges future IHBG grant funds as security for repayment of the loan obligation to a private lender or investor who then provides lump sum project financing.

**Program Activities:** Financing can be used for any affordable housing purpose in accordance with an approved Indian Housing Plan. It may be used to:

- Create new housing
- Rehabilitate housing
- Build infrastructure
- Construct community facilities
- Acquire land to be used for housing
- Prepare architectural & engineering plans
- Fund financing costs

**Broadband Access:** The provision of broadband access is an eligible activity. ONAP encourages tribes and tribally designated housing entities to consider broadband connectivity in planned programs in their service areas. In addition, ONAP supports the incorporation of "Dig Once" policies, where broadband connections are incorporated upfront into infrastructure activities (roads, utilities, etc.) to help promote broadband competition, reduce costs for broadband providers, and decrease road-related costs from repeated excavation

Eligibility: Federally Recognized Tribes and their Tribally Designated Housing Entities are eligible to participate in this program.

Restrictions: The loan funds must be used for affordable housing activities eligible under NAHASDA.

Application Requirements: A tribe/TDHE makes a request for a PLA to its Area Office of Native American Programs, which is then reviewed by the Area Office and OLG. Once accepted, HUD will issue a preliminary letter of acceptance (PLA). Because project planning takes time, HUDs PLA's are good for 180 days (6 months), with extensions available on a case-by-case basis. Due to project changes, the tribe/TDHE is expected to submit updated material to its selected lender for inclusion in the lender's Firm Commitment Request for the guarantee.

#### Recent Funding Activities:

- The Taguiugmiullu Nunamiullu Housing Authority (TNHA) initiated a project to develop 24 “next generation,” Sustainable Northern Shelter (SNS) affordable single family homes in 6 villages throughout the North Slope region of Alaska. The goal of the project was to address the need for sustainable rural housing for northern climates utilizing a simply constructed home that uses very little water or energy. These units have a total budget of \$9,821,818. The bulk of those funds come from a Title VI Guaranteed Commercial Bank Loan for \$6,672,170, combined with \$1,478,468 from State Supplemental Housing Grant Funds. The remaining funds for this project are from an Indian Housing Block Grant

## U.S. Department of Housing and Urban Development (HUD)

| HOME Investment Partnerships Program (HOME)                                 |                                            |                                                                  |                                                                                                                                   |
|-----------------------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                             | Phone/Fax                                  | Email Address                                                    | Website                                                                                                                           |
| Anchorage Field Office<br>3000 C Street<br>Suite 401<br>Anchorage, AK 99503 | Phone (907) 677-9800<br>Fax (907) 677-9803 | <a href="mailto:AK_Webmanager@hud.gov">AK_Webmanager@hud.gov</a> | <a href="https://www.hudexchange.info/programs/home/home-overview/">https://www.hudexchange.info/programs/home/home-overview/</a> |

**Purpose:** Provides formula grants to states and localities that communities use - often in partnership with local nonprofit groups - to fund a wide range of activities including building, buying, and/or rehabilitating affordable housing for rent or homeownership or providing direct rental assistance to low-income people. It is the largest Federal block grant to state and local governments designed exclusively to create affordable housing for low-income households.

**Program Activities:** Participating jurisdictions (PJs) may choose among a broad range of eligible activities, using HOME funds to provide home purchase or rehabilitation financing assistance to eligible homeowners and new homebuyers; build or rehabilitate housing for rent or ownership; or for "other reasonable and necessary expenses related to the development of non-luxury housing," including site acquisition or improvement, demolition of dilapidated housing to make way for HOME-assisted development, and payment of relocation expenses. PJs may use HOME funds to provide tenant-based rental assistance contracts of up to 2 years if such activity is consistent with their Consolidated Plan and justified under local market conditions. This assistance may be renewed. Up to 10 percent of the PJ's annual allocation may be used for program planning and administration.

**Eligibility:** Local jurisdictions eligible for at least \$500,000 under the formula (\$335,000 in years when Congress appropriates less than \$1.5 billion for HOME) can receive an allocation. The formula allocation considers the relative inadequacy of each jurisdiction's housing supply, its incidence of poverty, its fiscal distress, and other factors.

**Restrictions:** PJs must match every dollar of HOME funds used (except for administrative costs and CHDO predevelopment loans for projects that do not move forward) with 25 cents from nonfederal sources, which may include donated materials or labor, the value of donated property, proceeds from bond financing, and other resources. The match requirement may be reduced if the PJ is distressed or has suffered a Presidentially declared disaster. In addition, PJs must reserve at least 15 percent of their allocations to fund housing to be owned, developed, or sponsored by experienced, community-driven nonprofit groups designated as Community Housing Development Organizations (CHDOs). PJs have two years to commit funds (including reserving funds for CHDOs) and five years to spend funds.

Application Requirements: HUD does not provide HOME assistance directly to individuals or organizations. If you are interested in participating in this program, you need to contact your local or state government to find out how the program operates in your area. Participation requirements may differ from one grantee to another.

## DOI – Department of Interior

| The Alaska Climate Science Center- TECHNICAL ASSISTANCE ONLY                                                                                                                                                                             |                                              |                                                                                                                                                                            |                                                                               |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                                                                                                          | Phone/Fax                                    | Email Address                                                                                                                                                              | Website                                                                       |
| USGS Alaska Climate Science Center<br>4210 University Drive<br>Anchorage, AK 99508<br><br>Fairbanks Office<br>Scenarios Network for Alaska and Arctic Planning<br>University of Alaska Fairbanks<br>PO Box 757245<br>Fairbanks, AK 99775 | Phone: (907) 786-7000<br>Fax: (907) 786-7020 | <a href="mailto:jlittell@usgs.gov">jlittell@usgs.gov</a><br><a href="mailto:sgray@usgs.gov">sgray@usgs.gov</a><br><a href="mailto:tsrupp@alaska.edu">tsrupp@alaska.edu</a> | <a href="https://www.doi.gov/csc/alaska/">https://www.doi.gov/csc/alaska/</a> |

**Purpose:** The Alaska Climate Science Center (AK CSC) works to improve the understanding of how Alaska's ecosystems, natural resources, and cultural resources will respond to changing climate regimes, while supporting effective management, sustainable use, and sustainable communities. The National Climate Change and Wildlife Science Center (NCCWSC) is a part of the U.S. Geological Survey's Climate and Land Use Change Mission Area (CLU) and acts as the managing entity for the eight Department of the Interior (DOI) Climate Science Centers (CSCs).

**Program Activities:** The NCCWSC and CSCs partner closely with natural and cultural resource managers and scientists from inside and outside of government to gather the information and build the tools needed to help fish and wildlife and their habitats and ecosystems adapt to the impacts of climate change. The NCCWSC and CSCs prioritize the delivery of science, research data products, and decision-support tools that are usable and focused on key priorities -- as defined by managers -- and are delivered to users effectively. The Center and its partners provide expertise in climate science, ecology, environmental impacts assessment, modeling, cultural impacts, and advanced information technology.

**Funding Priorities:**

- Research Project: Impacts of Changing Permafrost on Existing and Future Infrastructure
- Research Project: Glacier Impacts on Current and Future Streamflow

## DOI – Department of Interior

| Alaska National Interest Lands Conservation Act (ANILCA) Sec. 1318 Historic Assistance: TECHNICAL ASSISTANCE ONLY |                       |                                                                                    |                                                                                               |
|-------------------------------------------------------------------------------------------------------------------|-----------------------|------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                   | Phone/Fax             | Email Address                                                                      | Website                                                                                       |
| Cultural Resources NPS Alaska Region<br>240 W. 5th Ave.<br>Anchorage, AK 99501                                    | Phone: (907) 644-3456 | <a href="mailto:akro_culturalresources@nps.gov">akro_culturalresources@nps.gov</a> | <a href="https://www.nps.gov/orgs/1437/index.htm">https://www.nps.gov/orgs/1437/index.htm</a> |

Purpose: Technical assistance in preserving cultural resources.

Program Activities: Wide variety of activities related to cultural resources.

Eligible Applicants: All Tribes and Corporations in Alaska

## DOI – Department of Interior

| Landscape Conservation Cooperatives                                                |                      |               |                                                                                                                                                                                                                                                                                                                     |
|------------------------------------------------------------------------------------|----------------------|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                    | Phone/Fax            | Email Address | Website                                                                                                                                                                                                                                                                                                             |
| U.S. Fish & Wildlife Service<br>1011 East Tudor Road<br>Anchorage, Alaska<br>99503 | Phone (907) 786-3532 | Unavailable   | <a href="https://www.fws.gov/alaska/lcc/index.htm">https://www.fws.gov/alaska/lcc/index.htm</a><br><a href="https://westernalaskalcc.org/SitePages/Western%20Alaska%20LCC.aspx">https://westernalaskalcc.org/SitePages/Western%20Alaska%20LCC.aspx</a><br><a href="http://arcticlcc.org/">http://arcticlcc.org/</a> |

Purpose: Science and technical assistance.

Program Activities: Examples: provide tools to assess coastal hazards, including accelerated coastal erosion associated with climate change. Specific projects include: acquisition and analysis of imagery to quantify historical erosion rates and enable communities to consider the stability of existing and future infrastructure; data and modeling needed to predict the severity of flooding based on the circumstances and magnitude of storms; community vulnerability assessments that incorporate coastal erosion as well as other aspects of coastal change. These projects are underway or are newly completed. A shared effort is planned by LCCs in Alaska to work with partners and communities to utilize these tools and information during the winter of 2015-16.

Eligible Applicants: Any village or other entity.

## DOI – Department of Interior

| North Slope Science Initiative                                                                          |                       |                                                      |                                                                     |
|---------------------------------------------------------------------------------------------------------|-----------------------|------------------------------------------------------|---------------------------------------------------------------------|
| Mailing Address                                                                                         | Phone/Fax             | Email Address                                        | Website                                                             |
| Alaska State Office (910), Bureau of Land Management, 222 West 7th Avenue, #13, Anchorage, Alaska 99513 | Phone: (907) 271-3218 | <a href="mailto:slongan@blm.gov">slongan@blm.gov</a> | <a href="http://www.northslope.org/">http://www.northslope.org/</a> |

**Purpose:** To facilitate and improve collection and dissemination of ecosystem information pertaining to the Alaskan North Slope region, including coastal and offshore regions. To improve scientific and regulatory understanding of terrestrial, aquatic, and marine ecosystems for consideration in the context of resource development activities and climate change.

**Program Activities:** Provide resource managers with the data and analyses they need to help evaluate multiple simultaneous goals and objectives related to each agency's mission on the North Slope. The NSSI uses and complements the information produced under other North Slope science programs. The NSSI also facilitates information sharing among agencies, non-governmental organizations, industry, academia, international programs, and members of the public to increase communication and reduce redundancy among science programs.



## DOI – Department of Interior

| Subsistence – ANLICA Title VIII                                                                                                    |                                            |                                                              |                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                    | Phone/Fax                                  | Email Address                                                | Website                                                                             |
| Office of Subsistence Management<br>U.S. Fish and Wildlife Service<br>1011 E. Tudor Road, Mail Stop 121<br>Anchorage, Alaska 99503 | Phone (907) 786-3888<br>Fax (907) 786-3898 | <a href="mailto:subsistence@fws.gov">subsistence@fws.gov</a> | <a href="https://www.doi.gov/subsistence%20">https://www.doi.gov/subsistence%20</a> |

Purpose: Technical assistance related to subsistence.

Program Activities: Examples: 1) subsistence mapping in coastal communities to document where people go for particular resources at particular times of the year; and 2) document the flow of resources through sharing networks, which could be greatly disrupted if whole communities and groups of families are relocated.

Eligible Applicants: Any Tribe or village in Alaska.

## DOI – Department of Interior

| Tribal Climate Resilience                                                                                                                                       |                                                                        |                                                                      |                                                                                                                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                                 | Phone/Fax                                                              | Email Address                                                        | Website                                                                                                                                                                                 |
| Helen Riggs, Attn: Climate Change<br>Deputy Bureau Director, Trust Services<br>Bureau of Indian Affairs<br>1849 C St. NW, MS-4620-MIB<br>Washington, D.C. 20240 | Alaska Regional Office<br>Phone: (907) 271-4085<br>Fax: (907) 271-1349 | <a href="mailto:climate.funding@bia.gov">climate.funding@bia.gov</a> | <a href="https://www.indianaffairs.gov/WhoWeAre/BIA/climatechange/Resources/Funding/index.htm">https://www.indianaffairs.gov/WhoWeAre/BIA/climatechange/Resources/Funding/index.htm</a> |

**Purpose:** The overarching goal of BIA Tribal Climate Resilience is to mainstream climate considerations at the project level through leadership engagement, delivery of data and tools, training, and tribal capacity building. Funding is available for tribal climate adaptation, and ocean & coastal planning. Engagement and technical support, not operational funds.

**Program Activities:** BIA is investing in technical assistance to support adaptation planning, including coordination, training, travel support for relevant training, and digital access to data and tools. There are nine total award categories. Because funding is limited, awards shall not exceed \$250,000 for any one proposal in Categories 1-5, \$75,000 for any one proposal in Categories 6-8, and \$50,000 for any one proposal in Category 9. Overall project costs may exceed the amount of the BIA contribution. Funds provided under a self-determination contract may be used to meet matching or cost participation requirements under other federal and non-federal programs. Categories of available funding:

Climate Change Adaptation Planning:

Category 1. Trainings and Workshops

Category 2. Climate Adaptation Planning

Category 3. Travel Support – Climate Ocean and Coastal Management Planning

Category 4. Ocean and Coastal Management Planning

Category 5. Travel Support - Ocean & Coastal

Category 6. Youth Management Internships

Category 7. Youth Research Internships

Category 8. Youth Engagement

Category 9. Capacity Building

In FY2017, in collaboration with six DOI Climate Science Centers (CSCs) and six tribal organizations, the BIA will have in place six tribal climate liaisons to support climate adaptation needs for tribes in the CSC Regions of Alaska, Northwest, Southwest, North Central, Midwest, and Northeast/Southeast.

In addition to direct support for adaptation planning, the BIA also provides tribal managers with access to climate change adaptation information and tools, such as: the Tribal Climate Resilience Resource Guide, an accessible compendium of federal government-wide resources for tribes released by White House Council on Native American Affairs' (WHCNA) Climate Subgroup in 2016; and the Guide's Tribal Climate Resilience Fact Sheets, tailored to each tribe, that can help in navigating federal programs and lists funded projects, adaptation plans and other work products, including stories and tools, in the U.S. Climate Resilience Toolkit Tribal Nations topic. These resources can all be accessed via the BIA Climate website.

Eligible Applicants: Federally Recognized Tribes and tribal organizations with 632 contracting authority.

Restrictions/Requirements: Current administrative limit is \$250k per award. A Notice of Availability of Funding will be released that provides the restrictions and requirements for each category.

Special Application Procedures/Requirements: Applications must be accompanied by a tribal resolution. See past years' funding kits on the BIA climate website for examples.

Estimated Application Cycle: Annually, pending funding availability.

Funding Example: One example of a successful Category two proposal from the Central Council of the Tlingit & Haida Indian Tribes of Alaska was the development of a climate adaptation plan to be shared with the Southeast Alaska Tribes. It would include areas of concern and impact probability

utilizing a climate matrix to help Central Council and other Southeast Alaska Tribes prepare for and increase their resilience to climate and weather related events. Planning for the future will allow the Central Council to benefit from emerging opportunities, protect against undesired impacts, and ensure the cultural and subsistence resources are available for future generations

See also the BIA's Climate Change website for funding announcements, past years' awards summaries, and prior years' funding application kits. The website also has information on the BIA Regional Climate POCs, who may be able to provide technical assistance, and for more general information on the BIA's Tribal Climate Resilience program.

## DOI – Department of Interior

| Indian Energy Resource Development Program             |                       |                    |                                                                                                                                       |
|--------------------------------------------------------|-----------------------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                        | Phone/Fax             | Email Address      | Website                                                                                                                               |
| 13922 Denver West Pkwy,<br>Suite 200 Lakewood CO 80401 | Phone: (303) 969-5270 | IEEDgrants@bia.gov | <a href="http://www.bia.gov/WhoWeAre/AS-IA/IEED/DEMD/TEDCP/index.htm">http://www.bia.gov/WhoWeAre/AS-IA/IEED/DEMD/TEDCP/index.htm</a> |

**Purpose:** Assist tribes in development of tribal laws, codes, regulations, and business structures needed for the development of energy resources. This includes the Tribal Energy Development Capacity (TEDC) grant program to build capacity to develop conventional or renewable energy resources on Indian lands.

**Program Activities:** The TEDC grant program helps tribes in assessing, developing, or obtaining the managerial, organizational and technical capacity needed to develop energy resources on Indian land and to account properly for resulting energy production and revenues.

**Eligible Applicants:** Federally Recognized Tribes.

**Restrictions/Requirements:** Activities ineligible for TEDC grant funding:

- Feasibility studies and energy resource assessments;
- Establishment or operation of a tribal office;
- Purchasing office equipment;
- Purchase of resource assessment data;
- Research and development of speculative or unproven technologies;
- Purchase or lease of equipment for the development of energy resources;
- Payment of fees or procurement of any services associated with energy assessment or exploration or development activity;
- Payment of tribal salaries for employees not directly involved in conducting the project and payment of salaries beyond the one-year project;
- Indirect costs and overhead as defined by the Federal Acquisition Regulations (FAR);
- Purchase or lease of project equipment such as computers, vehicles, field gear, etc;

- Legal fees;
- Contract negotiation fees; and
- Any other activities not authorized by the tribal resolution or by the approved proposal.

Special Application Procedures/Requirements: Application Procedures and Requirements will be published in the Federal Register NOFA Solicitation.

Funding Priorities: Business and Regulatory development. Tribal Utility Authority Development has been a key activity for TEDC projects.

Funding Information: Varies depending on appropriations.

## DOI – Department of Interior

| Tribal Transportation Program                                                                                                                                  |                                              |               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                                | Phone/Fax                                    | Email Address | Website                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| (East):<br>Office of Indian Services<br>Division of Transportation<br>Bureau of Indian Affairs<br>1849 C Street, N.W.<br>MS-4513-MIB<br>Washington, D.C. 20240 | Phone: (202) 513-7714<br>Fax: (202) 208-4696 | Unavailable   | <a href="http://www.bia.gov/WhoWeAre/BIA/OIS/Transportation/index.htm">http://www.bia.gov/WhoWeAre/BIA/OIS/Transportation/index.htm</a> ; DOT:<br><a href="http://flh.fhwa.dot.gov/programs/ttp/">http://flh.fhwa.dot.gov/programs/ttp/</a><br><br>Estimated tribal shares located at:<br><a href="http://www.bia.gov/WhoWeAre/BIA/OIS/Transportation/index.htm">http://www.bia.gov/WhoWeAre/BIA/OIS/Transportation/index.htm</a> and<br><a href="https://flh.fhwa.dot.gov/programs/ttp/documents/fy16-20-tribal-shares.pdf">https://flh.fhwa.dot.gov/programs/ttp/documents/fy16-20-tribal-shares.pdf</a> |

**Purpose:** To provide a program and funding to federally recognized tribes to access basic community services that enhance the quality of life in Indian country through planning, design, construction and/or reconstruction of roads, bridges, docks, trails and other eligible tribal transportation facilities. Note that this program is the same as the Department of Transportation's (DOT) TTP.

**Program Activities:** The TTP is authorized to provide funding for the planning, design, construction and reconstruction of surface transportation facilities, as well as other eligible facilities (see 23 USC 202(a) and 25 CFR Part 170). This may include roads and facilities that are the priority of the tribe and are included on the National Tribal Transportation Facility Inventory. This may also include roads and facilities as approved by the Federal Highway Administration on the Tribal Transportation Program Transportation Improvement Program (TTPTIP, 25 CFR 170.112). This includes projects meeting specific needs such as evacuation routes, access roads and bridges. TTP funds can be used to maintain TTP facilities in accordance with 23 USC 202(a)(8). Upon approval by the BIA and/or FHWA, the purchase, leasing or renting of construction and maintenance equipment is allowable, including facilities supporting the maintenance and storage of such equipment.

**Eligible Applicants:** Federally Recognized Tribes.

Restrictions/Requirements: The amount of TTP allocation funds that can be used for particular activities have certain conditions placed on them. They are:

- Tribal Shares (23 U.S.C. 202(b)(B) and (C))- With the exception of the funds made available as set asides and identified below, the remaining funds following the funding methodology described in section 202(b) is provided to each tribe for eligible activities as described in 23 U.S.C. 202(a) and 25 CFR Part 170. Included in this amount is an allowable use of up to 25% or \$500,000, whichever is greater, for purposes of maintenance of eligible tribal transportation program facilities.
- Planning (23 U.S.C. 202(c) – Up to 2% of total fiscal year TTP funds as determined by the tribal shares formula, found at 23 U.S.C. 202(b), is available to all tribes for transportation planning.
- Tribal Transportation Facility Bridges (23 U.S.C. 202(d)) – A set aside of not more than 3 percent of the funds made available under the TTP is available to tribes for improving deficient bridges. The respective tribes must submit an application in accordance with 23 CFR 661 to receive funding under this set aside. These funds may be used for the planning, design and construction or reconstruction of deficient bridges.
- Safety (23 U.S.C. 202(e)) – Up to 2% of the funds made available under the TTP is set aside for tribes making application for the identification and analysis of highway safety issues and opportunities on tribal land. A notice of funding opportunity (NOFO) is published annually by the Secretary of Transportation on available funds and requests for projects.

Special Application Procedures/Requirements:

- All projects and certain activities must be identified on the National Tribal Transportation Facility Inventory and on a FHWA –approved Transportation Improvement Program prior to the obligation and expenditure of any TTP funds;
- Project requests for Safety and Tribal Transportation Facility Bridges require an application by the tribe and or BIA Region prior to review and award.

Estimated Application Cycle: For Safety – Annually; For Tribal Transportation Facility Bridges – Based on deficient bridges as identified in the National Bridge Inventory (23 U.S.C. 144).

Funding Priorities: TTP is formula funded.

Recent Funding Activities:

- The Fort Peck Assiniboiné Sioux Tribes of Montana received \$180,000 to pave Poplar
- Airport Access Road, which is expected to improve response times of law enforcement and other first responders during emergencies.



- The Turtle Mountain Band of Chippewa in North Dakota received \$624,063 to reconstruct more than 14 miles of road on the reservation's most dangerous road corridor. The project will correct substandard road conditions, such as surface cracking and deterioration, narrow road shoulders and poor line of sight

## DOT – Department of Transportation

### The Better Utilizing Investments to Leverage Development (BUILD) Transportation Grants (Formerly Transportation Investments Generating Economic Recovery (TIGER))

| Mailing Address                                 | Phone/Fax             | Email Address                                                | Website                                                                                               |
|-------------------------------------------------|-----------------------|--------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| 1200 New Jersey Ave. SE<br>Washington, DC 20590 | Phone: (202) 366-0301 | <a href="mailto:BUILDgrants@dot.gov">BUILDgrants@dot.gov</a> | <a href="https://www.transportation.gov/BUILDgrants">https://www.transportation.gov/BUILDgrants</a> . |

**Purpose:** BUILD Transportation grants replace the pre-existing Transportation Investment Generating Economic Recovery (TIGER) grant program. FY 2018 BUILD Transportation grants are for investments in surface transportation infrastructure and are to be awarded on a competitive basis for projects that will have a significant local or regional impact.

**Program Activities:** BUILD funding can support roads, bridges, transit, rail, ports or intermodal transportation. Projects for BUILD will be evaluated based on merit criteria that include safety, economic competitiveness, quality of life, environmental protection, state of good repair, innovation, partnership, and additional non-Federal revenue for future transportation infrastructure investments.

**Priorities:** To reflect the Administration’s Infrastructure Initiative, DOT intends to award a greater share of BUILD Transportation grant funding to projects located in rural areas that align well with the selection criteria than to such projects in urban areas. The notice highlights rural needs in several of the evaluation criteria, including support for rural broadband deployment where it is part of an eligible transportation project.

**Eligible Applicants:** (1) A State or group of States; (2) a metropolitan planning organization that serves an Urbanized Area (as defined by the Bureau of the Census) with a population of more than 200,000 individuals; (3) a unit of local government or group of local governments; (4) a political subdivision of a State or local government; (5) a special purpose district or public authority with a transportation function, including a port authority; (6) a Federal land management agency that applies jointly with a State or group of States; (7) a tribal government or a consortium of tribal governments; or (8) a multi-State or multijurisdictional group of public entities. Multiple States or jurisdictions that submit a joint application should identify a lead applicant as the primary point of contact. Joint applications should include a description of the roles and responsibilities of each applicant and should be signed by each applicant. The applicant that will be responsible for financial administration of the project must be an eligible applicant.

Merit Criteria: Safety • State of Good Repair • Economic Competitiveness • Environmental Protection • Quality of Life • Innovation • Partnership • Non-Federal Revenue for Transportation Infrastructure Investment Other criteria • Demonstrated Project Readiness • Project Costs and Benefits  
Additional considerations • Geographic diversity among recipients

Funding Range: The Consolidated Appropriations Act of 2018 made available \$1.5 billion for National Infrastructure Investments, otherwise known as BUILD Transportation Discretionary grants, through September 30, 2020. For this round of BUILD Transportation grants, the maximum grant award is \$25 million, and no more than \$150 million can be awarded to a single State, as specified in the FY 2018 Appropriations Act. At least 30 percent of funds must be awarded to projects located in rural areas.

Upcoming deadline: The deadline to submit an application for the FY 2018 BUILD Transportation Discretionary Grants program is July 19, 2018.

DOT – Department of Transportation  
Federal Aviation Administration (FAA)

| Federal Aviation Administration-Airport Improvement Program                                               |                                              |                              |                                                                                                                                                                                                               |
|-----------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                           | Phone/Fax                                    | Email Address                | Website                                                                                                                                                                                                       |
| Alaskan Region<br>Airports Division (AAL-600)<br>222 W. 7th Ave<br>M/S #14<br>Anchorage, AK<br>99513-7587 | Phone: (907) 271-5438<br>Fax: (907) 271-2851 | <a href="#">Contact Form</a> | <a href="http://www.faa.gov/airports/aip/">http://www.faa.gov/airports/aip/;</a><br><a href="https://www.faa.gov/airports/alaskan/about_a/irports/">https://www.faa.gov/airports/alaskan/about_a/irports/</a> |

Purpose: The Airport Improvement Program (AIP) provides grants for the planning and development of public-use airports in the National Plan of Integrated Airport Systems (NPIAS).

Program Activities: The AIP is authorized to provide grant funding for eligible airport improvements as requested by eligible airport sponsors. This would potentially include:

- Measures to safeguard airport infrastructure from erosion
- Projects addressing capacity, security, and environmental concerns
- Airfield capital improvements/rehabilitation
- Terminals, hangars, and non-aviation development (subject to limitations)
- Professional services necessary for eligible projects (planning, surveying, and design)

Eligible Applicants: Public agencies that own or lease NPIAS airports can apply for AIP grants.

“Public agency” is defined to include Indian tribes and pueblos; the term “Indian tribes is interpreted to include Alaskan Native Villages. AIP funds may be available for airports that serve tribal communities but are not owned by a tribe, provided the airports are public use.

Restrictions/Requirements: Once a grant is issued, construction should be completed in a timely manner, normally within 1-2 construction seasons.

Special Application Procedures/Requirements: Pre-application coordination is important – the issuance of a grant is the final step in a long process involving many months/years of advance planning. Tribes that own/lease an airport in the NPIAS may contact their local FAA Airports District Office (ADO) to coordinate future planning and development projects at their airport. ADO contact information can be found at:  
[https://www.faa.gov/about/office\\_org/headquarters\\_offices/arp/regional\\_offices/](https://www.faa.gov/about/office_org/headquarters_offices/arp/regional_offices/)

Estimated Application Cycle: Deadlines for annual grant applications are published in the Federal Register (typically in May to early July).

Funding Range: State of Alaska: 93.75% Federal, 6.25% cost sharing.

Match Requirements: AIP provides up to 90% of eligible costs. Airport sponsor typically provides match. State aeronautical agencies may be able to assist.

DOT – Department of Transportation  
Federal Highway Administration (FHWA)

| Tribal Transportation Program (TTP)                |             |               |                                                                                                   |
|----------------------------------------------------|-------------|---------------|---------------------------------------------------------------------------------------------------|
| Mailing Address                                    | Phone/Fax   | Email Address | Website                                                                                           |
| 1200 New Jersey Avenue, SE<br>Washington, DC 20590 | Unavailable | Unavailable   | DOT:<br><a href="http://flh.fhwa.dot.gov/programs/ttp/">http://flh.fhwa.dot.gov/programs/ttp/</a> |

**Purpose:** The goal of the TTP is to provide funding to Tribes to address their transportation needs and provide access to basic community services to enhance the quality of life in Indian country. The TTP replaced the former Indian Reservation Roads (IRR) program. Note that this program is the same as the DOI BIA TTP, although DOT can additionally provide strategic planning.

**Program Activities:** Eligible uses for TTP funds are identified in 23 USC 202(a). These include transportation planning, design, construction, and maintenance of roads and bridges as well as any other project that would be eligible under Title 23. The planning and construction of emergency escape or relocation routes are eligible activities.

**Recent Examples:**

- The Fort Peck Assiniboine Sioux Tribes of Montana received \$180,000 to pave Poplar Airport Access Road, which is expected to improve response times of law enforcement and other first responders during emergencies.
- The Nome Eskimo Community in Alaska-- \$60,868 to improve warning lights near the Nome Elementary School, which will make it safer for pedestrians and drivers alike in the extended darkness of Alaska's long winter months.
- The Native Village of Chuathbaluk received a \$100,000 grant to build 3 safety shelters along the Kuskokwim River. This pilot project is designed to create safe havens with emergency supplies and heat for people stranded by vehicle breakdowns or impassable rivers along the Kuskokwim River near the remote villages of Chuathbaluk, Aniak and Napaimute.

**Restrictions/Requirements:** The project must be on or for a facility that provides access to or is located within tribal land. Recipients must provide annual project data to DOT and DOI. FHWA and BIADOT have developed an easy on-line reporting mechanism thru OMB-MAX.

| Funding Information                                                               |               |                                                                               |       |
|-----------------------------------------------------------------------------------|---------------|-------------------------------------------------------------------------------|-------|
| Total Funds Available                                                             | Average Award | Match Requirements                                                            | Other |
| FY16 - \$465M<br>FY17 - \$475M<br>FY18 - \$485M<br>FY19 - \$495M<br>FY20 - \$505M | *Allocations  | Funds are distributed through a statutory formula. The federal share is 100%. |       |

DOT – Department of Transportation  
Federal Transit Administration (FTA)

| Public Transportation on Indian Reservations Program Tribal Transit Program (TTP)                                      |                                          |                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------|------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                        | Phone/Fax                                | Website                                                                                                   |
| Office of Program Management<br>Federal Transit Administration<br>1200 New Jersey Avenue, S.E.<br>Washington, DC 20590 | Phone: 202-366-2053<br>Fax: 202-366-7951 | <a href="http://www.fta.dot.gov/grants/15926_3553.html">http://www.fta.dot.gov/grants/15926_3553.html</a> |

**Purpose:** The primary purpose of these competitively selected grants is to support planning, capital, and, in limited circumstances, operating assistance for tribal public transit services.

**Program Activities:** Operating assistance to enable tribes to start new transit services; capital to enable tribal investment in new or replacement equipment; and funding for tribal transit planning studies.

Examples of eligible resilience projects may include elevating or relocating transit assets that are located in a special flood hazard area, protecting transit assets vulnerable to high winds, installing mitigation measures that prevent the intrusion of floodwaters into underground segments of a public transportation system, strengthening systems that remove rainwater from public transportation facilities, and other projects that address identified vulnerabilities. However, relocating non-transit assets would not be considered an eligible resilience project.

**Eligible Applicants:** Federally recognized Indian Tribes and Alaskan Native villages, groups, or communities.

**Restrictions/Requirements:** Funds distributed to Indian tribes under the TTP should NOT replace or reduce funds that Indian tribes receive from States through FTA's Formula Grants for Rural Areas Program.

**Special Application Procedures/Requirements:** FTA is interested in the Indian tribe's financial commitment to the proposed project, thus the proposal should include a description of the Indian tribe's financial commitment.



DOT – Department of Transportation  
Federal Transit Administration (FTA)

| Federal Transit Administration (FTA)                                                                                   |                                              |               |                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|---------------|-----------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                        | Phone/Fax                                    | Email Address | Website                                                                                                   |
| Office of Program Management<br>Federal Transit Administration<br>1200 New Jersey Avenue, S.E.<br>Washington, DC 20590 | Phone: (202) 366-2053<br>Fax: (202) 366-7951 | Unavailable   | <a href="http://www.fta.dot.gov/grants/15926_3553.html">http://www.fta.dot.gov/grants/15926_3553.html</a> |

**Purpose:** The primary purpose of these competitively selected grants is to support planning, capital, and, in limited circumstances, operating assistance for tribal public transit services.

**Program Activities:** Operating assistance to enable tribes to start new transit services; capital to enable tribal investment in new or replacement equipment; and funding for tribal transit planning studies.

**Eligible Applicants:** Federally recognized Indian Tribes and Alaskan Native villages, groups, or communities.

Examples of eligible resilience projects may include elevating or relocating transit assets that are located in a special flood hazard area, protecting transit assets vulnerable to high winds, installing mitigation measures that prevent the intrusion of floodwaters into underground segments of a public transportation system, strengthening systems that remove rainwater from public transportation facilities, and other projects that address identified vulnerabilities. However, relocating non-transit assets would not be considered an eligible resilience project.

**Restrictions/Requirements:** Funds distributed to Indian tribes under the TTP should NOT replace or reduce funds that Indian tribes receive from States through FTA's Formula Grants for Rural Areas Program.

**Special Application Procedures/Requirements:** FTA is interested in the Indian tribe's financial commitment to the proposed project, thus the proposal should include a description of the Indian tribe's financial commitment.

**Funding Priorities:** Priority consideration will be given to eligible projects that support one or more of the following elements of the Secretary of

Transportation's Ladders of Opportunity initiative:

- Enhancing access to work for tribal members lacking ready access to transportation, especially in low-income communities;
- Supporting economic opportunities by offering transit access to employment centers, educational and training opportunities, and other basic needs; and
- Supporting partnerships and coordinated planning that link tribal communities to other governmental, health, medical, education, social, human service, and transportation providers to improve coordinated planning and delivery of workforce development, training, and basic services that enhance employment outcomes.

| Funding Information                                                                                                          |               |                    |                                                                                                                                                                               |
|------------------------------------------------------------------------------------------------------------------------------|---------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Total Funds Available                                                                                                        | Average Award | Match Requirements | Other                                                                                                                                                                         |
| The FAST Act increased the Tribal Transit formula program to \$30 million and continued the \$5 million competitive program. | Unavailable   | 10%                | There is a 90 percent federal share for projects selected under the TTP competitive program, unless the Indian tribe can demonstrate a financial hardship in its application. |

## DOT – Department of Transportation Pipeline and Hazardous Materials Administration (PHMSA)

| Hazardous Materials Emergency Preparedness (HMEP) Grant                                                                                                                                                     |                       |                     |                                                                                                             |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------|-------------------------------------------------------------------------------------------------------------|
| Mailing Address                                                                                                                                                                                             | Phone/Fax             | Email Address       | Website                                                                                                     |
| US Department of Transportation<br>Pipeline and Hazardous Materials Safety<br>Administration<br>Hazmat Grants Program/ PHH-50<br>East Bldg, 2nd Floor<br>1200 New Jersey Avenue, SE<br>Washington, DC 20590 | Phone: (202)366 -1109 | hmep.grants@dot.gov | <a href="http://www.phmsa.dot.gov/hazmat/grants-program">http://www.phmsa.dot.gov/hazmat/grants-program</a> |

**Purpose:** The HMEP grant program is designed to allow grantees the flexibility to implement hazardous materials training and planning programs related to transportation that address differing needs for each location based on demographics, emergency response capabilities, commodity flow studies, and hazard analysis.

**Program Activities:** Develop, improve, and implement emergency plans – Train public sector hazardous materials (hazmat) emergency response employees to respond to accidents and incidents involving hazardous materials in transportation – Determine flow patterns of hazardous materials within a state and between states – Determine the need within a region for regional hazardous materials emergency response teams.

- Hazmat Training Course Examples:
- Hazmat Awareness, Operations, Technician, Specialist, and Refresher Courses
- Hazmat Incident Commander
- Hazmat Officer/Safety Officer
- Industrial Fire Fighting- (rail yards, fuel transfer facilities, and ports)
- Confined Space Rescue Hazmat Basic Life Support/Advance Life Support
- Chemistry for Emergency Responders
- Marine Operations - Ship-board rescue, firefighting, and hazmat Airport Rescue Fire Fighting (aircraft response and rescue)
- Explosive Ordinance Disposal/Explosives in transportation

- Radiological (sources in transportation, but not Weapons of Mass Destruction.)
- Tank Car Specialty

Eligible Applicants: Federally recognized Tribes, States, and Territories

Estimated Application Cycle: Deadlines for annual grant applications can be found on [grants.gov](https://www.grants.gov) (typically in February to early May).

Funding Priorities: Specified in annual Notice of Funding Opportunity